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The DOI for this manuscript is

DOI:10.2151/jmsj.2024-015

J-STAGE Advance published date: February 7th, 2024 The final manuscript after publication will replace the preliminary version at the above DOI once it is available.

Meiyu-Baiu Rainstorm Associated Diurnal Variation and Kinetic Energy Source Analyzed by Multiscale Window Transform–based Energetics Analysis

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Submitted to Journal of Meteorological Society of Japan

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Abstract

The Meiyu-Baiu front is the main weather system that influences the Yangtze-Huai River area of China in early summer. Convective cells along the Meiyu-Baiu front are very active and often lead to regional flooding disasters. In this study, the multiscale window transform (MWT) and MWT-based multiscale energetics analysis are utilized to investigate the dynamic energy transfers during a typical Meiyu-Baiu rainstorm. It is found that baroclinic instability in the lower stratosphere is possibly a primary trigger for the rainstorm and its diurnal variation. The kinetic energy source for single rainstorm case varies in its evolution. During shallow convection, the rainstorm itself is a kinetic energy (KE) source. The baroclinic canonical transfer from the rainstorm window brings a lot of available potential energy (APE) to the background flow window, and is further converted into the background flow KE. In contrast, during deep convection, the primary source of KE is the background flow. The barotropic canonical transfer from the background flow contributes to the APE, thus bringing KE into the rainstorm. Implications on Meiyu-Baiu rainstorm forecasting are also discussed.

Key words: Meiyu-Baiu rainstorm, Diurnal variation, Multiscale window transform, Canonical transfer, Barotropic/Baroclinic instability

1 1. Introduction

2 The Meiyu-Baiu front, characterized by a quasi-stationary structure located along the northwestern rim of the North Pacific subtropical anticyclone (NPSA), is the main weather 3 4 system that extends from the Yangtze River basin in China to Japan from mid-June to mid-July 5 (e.g., Cho and Chen, 1995). This subtropical front has thick moist neutral stratification on the 6 southern flank and a sharp poleward moisture decrease (Ninomiya, 1984). Convective cells along 7 the Meiyu-Baiu front are very active (Nimomiya, 2000; Nimomiya and Shibagaki, 2007; Liu et 8 al., 2004) and can give rise to sudden heavy rainstorms, invoking severe flooding and 9 subsequently great economic loss.

10 Previous studies have indicated that the Meiyu-Baiu front has weaker baroclinicity over China than over Japan. The moisture gradient is obvious, but the thermal gradient is not (Chen 11 12 and Chang, 1980). In a weak baroclinic environment, the formation of Meiyu-Baiu rainstorms relies on the interaction among multiscale system components (Ding et al., 2007; Jiang and Ni, 13 2003). For example, the zonal cloud band usually contains meso- α - and meso- β -scale convective 14 15 cells. When a rainstorm occurs, there is a stationary trough and ridge at higher latitudes. In the mid-latitudes, the advection of warm air on the eastern edge of the Tibetan Plateau can give rise 16 to convection in southeastern China by inducing adiabatic ascending movement along the 17 westerlies (Ding et al., 2007; Ding, 1991; Zhu et al., 2007). A mass imbalance can be caused by 18 19 the difference in moisture along the southern and northern parts of the Meiyu-Baiu front, and 20 small-amplitude gravity waves arise that can organize convective cells along the front (Zhao et al., 2011). The magnitude of a Meiyu-Baiu rainstorm is believed to be determined by the 21 22 convective nonadiabatic heating of mesoscale systems (Sampe and Xie, 2010), but the geometric

23	and dynamic characteristics are still not well understood due to the lack of fine-scale
24	observations. Refined numerical simulations by non-hydrostatic high-resolution numerical
25	weather prediction (NWP) models can effectively make up for the lack of observational data.
26	Based on numerical simulations, the multiscale characteristics of the Meiyu-Baiu system have
27	been investigated in previous studies (e.g., Kawatani and Takahashi, 2003; Zhang et al., 2004; Li
28	et al., 2005; Sun et al., 2007; Ni and Zhou, 2004; Liao and Tan, 2005; Long and Cheng, 2004;
29	Shen et al., 2011; Chen and Gao, 2006; Chen and Qian, 2006; Zhao et al., 2011). Liao and Tan
30	(2005) conducted a case study of a Meiyu-Baiu rainstorm using a simulation by the fifth
31	generation NCAR/Penn State Mesoscale Model (MM5) to investigate the influence of interaction
32	between weather systems at different scales. They found that there are mainly four vertical
33	circulations near the Meiyu-Baiu front. Dynamic and structural characteristics of these
34	circulations vary in different stages during rainstorm evolution. Zhao et al. (2011) utilized the
35	Weather Research and Forecasting (WRF) model to simulate a Meiyu-Baiu rainstorm. The
36	meso- α -, meso- β -, and meso- γ -scale systems were separated by a spatial band-pass filter based
37	on a Morlet wavelet transform, and then three-dimensional dynamic and thermodynamic
38	structural features were analyzed. Their results indicate that there are significant dynamic and
39	thermodynamic differences among these three mesoscale systems in both the horizontal and
40	vertical directions. Meso- α - and meso- β -scale systems have obvious vertical circulations, while
41	meso- γ -scale systems usually develop within a meso- α - or meso- β -scale system, with a
42	characteristic inertial gravity wave. Despite these efforts to understand the dynamic and
43	thermodynamic features of the Meiyu-Baiu front, previous researches have focused mostly
44	processes on one individual scale.

45 Recently, the multiscale issue has caught much attention in the Meiyu-Baiu research. Fu et al. 46 (2018) applied a temporal scale separation method developed by Murakami (2011) to a case 47 study. They decomposed the original flow into a precipitation-related eddy flow and its 48 background circulation, and utilized the Climate Forecast System version 2 (CFSv2) data of the 49 National Centers for Environmental Prediction (NCEP) to calculate the energy budget within the 50 rainstorm process. Because of the low temporal resolution of the CFSv2 data, their research was 51 focused mainly on a weekly temporal scale with a coarser spatial resolution, which may have led 52 to the underestimation of energy transport. Besides, Meiyu-Baiu rainstorms have a short lifetime, 53 ranging from minutes to a few hours, hence a low temporal resolution may fail to capture the realistic features. Moreover, the method by Murakami (2011) is based on the classical Reynolds 54 decomposition, which does not apply to nonstationary background flow. This, among other 55 problems, motivates us to seek for a more sophisticated methodology to analyze the multiscale 56 57 interactions associated with the Meiyu-Baiu system.

58 Ever since the concept of available potential energy was promoted by Lorenz (1955), 59 energetic analysis has been a powerful diagnostic tool in atmospheric and oceanic research (e.g., 60 Dickinson 1969; Charney and Drazin 1961; Lorenz, 1972; Orlanski and Katzfey, 1991; Chang 61 1993; Hoskins et al., 1983; Trenberth 1986; Liang and Robinson, 2005; Su et al., 2016; Liang 62 2016). However, Lorenz's energy equation is in a global integration form, which cannot be used 63 for diagnosing regional processes, to which rainstorms are belonging. In order to establish a 64 faithful local Lorenz-type energetics formalism, two issues must be fixed: 1) how to separate transport and transfer processes out of the nonlinear terms in the resulting multiscale energy 65 66 equations, and 2) how to characterize the temporal variation of the resulting multiscale energy, 67 while applying scale decomposition in the time direction. These issues are resolved in a unified

treatment within the framework of multiscale window transform (MWT), a functional analysis
tool recently invented by Liang and Anderson (2007). In this study, we will employ MWT and
MWT-based multiscale energetics analysis to investigate the dynamic multiscale interactions
associated with a Meiyu-Baiu rainstorm. A brief introduction to MWT and MWT-based
methodology is provided in section 2. Section 3 introduces the data and experimental design.
Results are given in Section 4. Section 5 offers a discussion. A summary is provided in section 6.

74

75 **2. Methodology**

76 a. Multiscale window transforms

77 Details regarding MWT have been addressed by Liang and Anderson (2007). MWT is a 78 new functional tool developed to generalize the classical mean-eddy decomposition in fluid 79 mechanics to include three or more ranges of scales, and to ensure a faithful representation of 80 localized energy processes (Liang and Anderson, 2007). It has been utilized to investigate 81 blocking high (Li et al., 2020) and squall line convection, basing on the nonhydrostatic framework simulation by WRF (Guo and Liang, 2022). MWT can decompose a function space 82 83 into a direct sum of orthogonal subspaces, referring to scale windows. In our case study, five 84 related variable fields (e.g., potential temperature, geopotential, zonal wind, meridional wind, 85 and vertical wind at pressure coordinates) are utilized to present the dynamic features and 86 calculate the energy transfer of a Meiyu-Baiu rainstorm. We decompose these fields into three scale windows: the background flow window, the mesoscale window, and the rainstorm window. 87 b. MWT-based multiscale energetics 88

MWT-based multiscale energy analysis utilizes the decomposing fields processed in a
former MWT procedure to further calculate some diagnosed variables that can be employed in

91 dynamic process investigation. Detailed derivations of the equations can be found in Liang (2016,

92 Section 4). Here, we just introduce some formulas and clarify their meteorological meanings

93 when applied in the following discussion.

94 Kinetic energy (KE) is an essential variable to be diagnosed during rainstorm dynamic analysis.

95 For every scale window, kinetic energy is calculated by two-dimensional horizontal wind as96 follows:

97
$$K^{\varpi} = \frac{1}{2} \hat{\mathbf{v}}_h^{\sim \varpi} \cdot \hat{\mathbf{v}}_h^{\sim \varpi}$$
(1)

98 K^{ϖ} is the kinetic energy in scale window ϖ . $\hat{\mathbf{v}}^{\sim \varpi}$ and $\hat{\mathbf{v}}_{h}^{\sim \varpi}$ are three-dimensional wind and two-99 dimensional horizontal wind in scale window ϖ , respectively. Then, the flux of KE in window ϖ 100 is presented as

101
$$\mathbf{Q}_{K}^{\varpi} = \frac{1}{2} \left(\widehat{\mathbf{v} \mathbf{v}_{h}} \right)^{-\varpi} \cdot \widehat{\mathbf{v}}_{h}^{-\varpi}$$
(2)

102 For the transfer of KE between different scale windows, canonical transfer is introduced here. 103 The canonical transfer of KE from the background flow window to the mesoscale window can 104 present barotropic instability, which is a tool for investigating dynamic processes. Canonical 105 transfer of KE to window ϖ is

106

$$\Gamma_{K}^{\overline{\omega}} = \frac{1}{2} [(\widehat{\mathbf{v}\mathbf{v}_{h}})^{\sim\overline{\omega}} : \nabla \widehat{\mathbf{v}}_{h}^{\sim\overline{\omega}} - \nabla \cdot (\widehat{\mathbf{v}\mathbf{v}_{h}})^{\sim\overline{\omega}} \cdot \widehat{\mathbf{v}}_{h}^{\sim\overline{\omega}}]$$
(3)

107 We calculate pressure flux in window ϖ as

108
$$\mathbf{Q}_{P}^{\varpi} = \hat{\mathbf{v}}^{\sim \varpi} \widehat{\Phi}^{\sim \varpi}$$
(4)

109 Here, $\widehat{\Phi}^{\sim \overline{\omega}}$ is the geopotential in window $\overline{\omega}$.

110 APE, which is usually the source of KE, is also diagnosed. APE is presented as

111
$$A^{\overline{\omega}} = \frac{1}{2}c(\hat{T}^{-\overline{\omega}})^2 \tag{5}$$

$$c = \frac{g}{\bar{r}(g/c_p - L)} \tag{6}$$

 $\hat{T}^{\sim \varpi}$ is the potential temperature in window ϖ , and c_p is specific heat at constant pressure. L =113 $\frac{\partial \bar{T}}{\partial z}$ is the lapse rate, and g is acceleration of gravity. The buoyancy conversion rate, which 114 115 indicates the conversion rate from KE to APE, is calculated as $b^{\varpi} = \widehat{\omega}^{\sim \varpi} \widehat{\alpha}^{\sim \varpi}$ 116 (7) ω is the vertical wind in pressure coordinates and α is the reciprocal of density. APE flux in 117 scale window ϖ is calculated as 118 $\mathbf{Q}_{A}^{\varpi} = \frac{1}{2}c\widehat{T}^{\sim \varpi}(\widehat{\mathbf{v}T})^{\sim \varpi}$ 119 (8) Similar to canonical transfer of KE, canonical transfer of APE from the background flow 120 121 window to the mesoscale window can present baroclinic instability. Here, we get canonical transfer of APE to window ϖ as 122 $\Gamma_A^{\varpi} = \frac{c}{2} [(\widehat{\mathbf{v}T})^{\sim \varpi} \cdot \nabla \widehat{T}^{\sim \varpi} - \widehat{T}^{\sim \varpi} \nabla \cdot (\widehat{\mathbf{v}T})^{\sim \varpi}]$ (9) 123 124 Then, the apparent source/sink, which is usually negligible, is calculated as $S_A^{\varpi} = \frac{1}{2} \widehat{T}^{\sim \varpi} (\widehat{\omega T})^{\sim \varpi} \frac{\partial c}{\partial n} + \frac{1}{\overline{r}} (\widehat{\omega \alpha})^{\sim \varpi}$ 125 (10)126 Finally, the multiscale kinetic and available energy equations are $\frac{\partial K^{\varpi}}{\partial t} + \nabla \cdot \mathbf{Q}_{K}^{\varpi} = \Gamma_{K}^{\varpi} - \nabla \cdot \mathbf{Q}_{P}^{\varpi} - b^{\varpi} + F_{K,p}^{\varpi} + F_{K,h}^{\varpi}$ 127 (11) $\frac{\partial A^{\varpi}}{\partial t} + \nabla \cdot \mathbf{Q}_{A}^{\varpi} = \Gamma_{A}^{\varpi} + b^{\varpi} + S_{A}^{\varpi} + F_{A}^{\varpi}$ 128 (12)Here, $F_{K,p}^{\varpi}$ and $F_{K,h}^{\varpi}$ are the residue items of the KE equation. S_A^{ϖ} is the apparent source/sink of 129 $A^{\overline{\omega}}$ and is usually negligible. $F_A^{\overline{\omega}}$ is the residue item of the APE equation. 130 131

132 **3. WRF Simulation and Validation**

133	With an advanced research version of the Weather Research and Forecasting (WRF) model
134	(Version 3.9.1, Skamarock et al., 2008), a high-resolution numerical simulation of a Meiyu-Baiu
135	rainstorm that occurred during 27-28 June 2016 was conducted. NCEP FNL (Final Operational
136	Global Analysis) data were utilized to provide the initial and boundary conditions for the WRF
137	simulation. A single domain covered the main area of the Meiyu-Baiu front at a horizontal
138	resolution of 3 km. The number of horizontal grid points was 550×450, with the center of the
139	model domain at 117.5 °E, 31.0 °N. There were 51 vertical sigma levels, and the top of the
140	model was at 10 hPa. The model output was saved in 10-min intervals. The physical
141	parameterization schemes used for the simulation were the WSM (WRF Single-Moment) 6-class
142	graupel scheme (Hong and Lim, 2006), RRTM (Rapid and accurate Radiative Transfer Model)
143	longwave radiation scheme (Mlawer et al., 1997), Goddard shortwave scheme (Chou and Suarez,
144	1999), Monin-Obukhov (Janjić) surface-layer scheme (Janjić, 2002; Mellor and Yamada, 1982),
145	RUC (Rapid Update Cycle) land-surface scheme (Smirnova et al., 1997), and Mellor-Yamada-
146	Janjić (MYJ) TKE (Turbulent Kinetic Energy) boundary-layer scheme (Janjić, 2002). The
147	cumulus parameterization scheme was not used.
148	The simulation experiment starts at 1800 UTC 26 June 2016, with an integration period of 54
149	h. The initial 6 h simulation is treated as a spin-up. The valid simulation from 0000 UTC 27 June
150	to 0000 UTC 29 June 2016 is used for analysis. For this study case, a gusty Meiyu-Baiu
151	rainstorm occurred from 1200 UTC 27 to 0900 UTC 28 June.
152	To validate the simulation, the 21 h (between 1200 UTC 27 and 0900 UTC 28 June)
153	accumulated precipitation is shown in Figure 1 against the merged product of satellite-derived
154	precipitation from CMORPH (the Climate Prediction Center MORPHing technique) and hourly
155	precipitation observed at the automatic weather stations in China. The merged data are utilized as

156 observations, which can correctly capture the main spatial characteristics of short-duration heavy rainfall, with 1 h temporal resolution and 0.1 ° spatial resolution (Zhou et al., 2015). PDF 157 158 (Possibility Density Function) and OI (Optimum Interpolation) are employed within the merged 159 algorithm. Figure 1 shows that the simulated precipitation patterns are similar to the observations, 160 with the rain belt located from northern Hunan province to southern Jiangsu province. Two 161 sensitive domains, with maximum rainfall greater than 80 mm, are chosen to investigate the 162 multiscale energetic processes during the rainstorm. They are in northern Hunan province 163 (Domain A) and southern Anhui province (Domain B), respectively. Detailed synoptic 164 characteristics can be captured in Figure 2. The synoptic pattern is a typical one that the 165 northwestern rim of the North Pacific subtropical anticyclone locates along the Yangtze River, 166 together with a cold vertex at higher latitude. Two surface pressure troughs, respectively locate at 167 domain A and B, could invoke upward movement. Additionally, abundant transportation of water vapor by Low Level Jet and instability of low troposphere in the northern part of Low 168 Level Jet contribute a lot to the occurrence of rainstorm. The simulated geopotential heights at 169 170 100hPa, 500 hPa, and 700 hPa are presented in Figure 3. Similar synoptic characteristics can be 171 captured. During this rainstorm, geopotential height troughs locate in Domain A and B (Figure 172 3(c2)). At 100 hPa, the geopotential height decreases and shear vorticity judged from the wind vectors increases, both in Domain A and B (Figure 3(a2)). With the favor of such synoptic 173 174 background, convection is clearly seen with the maximum reflectivity over than 35 dBZ from 175 1800 UTC to 0600 UTC 28 June (Figure 4).

176

177 4. Multiscale energetics analysis

178 Five related variable fields from the simulation, namely potential temperature, geopotential, zonal wind, meridional wind, and vertical wind in pressure coordinates, are interpolated to 20 179 180 pressure levels (ranging from 1000 hPa to 10 hPa) vertically and 0.03 ° horizontally, and are then 181 processed in the MWT framework. WRF outputs provide consistent simulated fields with 256 182 time series from 0000 UTC 27 June to 1830 UTC 28 June in a 10 min interval. As precipitation 183 phenomena is greatly associated with vertical velocity, the values of time ranges for the 184 decomposed windows are adopted basing on the temporal scale for meso-scale systems along 185 Meiyu-Baiu front and spectrum of simulated vertical velocity at 700 hPa (Figure 5). Then, WRF 186 simulations are decomposed into three temporal scale windows: the background flow window (from 21.33 h to 42.67 h, window 0), the mesoscale window (from 5.33 h to 21.33 h, window 1), 187 188 and the rainstorm window (from 20 min to 5.33 h, window 2). The MWT-based multiscale 189 energetic analysis within the defined sensitive domains (A and B in Figure 1) is discussed in this 190 study.

191

a. Rainstorm diurnal variation

193 Meiyu-Baiu rainstorms typically have diurnal variation in the amount and intensity of 194 precipitation. Previous studies have attempted to find a proper way to explain this diurnal 195 variation (e.g., Zhou et al., 2008; Bao et al., 2011; Xue et al., 2018). The effect of nocturnal low-196 level jets (LLJs) is considered to be the main factor leading to diurnal variation in precipitation 197 (Wallace, 1975; Helfand and Schubert, 1995; Carbone and Tuttle, 2008; Sato, 2013), with emphasis on the boundary layer. Xue et al. (2018) explained the diurnal variation in Meiyu-Baiu 198 199 precipitation with the Blackadar boundary layer inertial oscillation theory, considering its 200 convergence forcing by low-level ageostrophic winds. In this study, obvious diurnal variation in

the rainstorm is observed in both of the sensitive domains, with an early morning peak (Figure 6).
Equipped with the new methodology, we anticipate new insights to explain this diurnal variation.

204 We first examine the vertical structure of the diurnal variation. Vertical velocity and 205 geopotential height are used to illustrate the dynamic patterns (Figures 7-8). In all three scale 206 windows, vertical velocity shows an oscillating pattern with time. When strong precipitation 207 occurs, an obvious negative signal (i.e., representing vertical upward movement) exists in the 208 background flow window from 850 hPa to 350 hPa, corresponding well to the precipitation peak 209 phase in Figure 6. For the geopotential field, the lower layer and upper layer of troposphere 210 separately occupies an opposite signal, with an oscillation about 12 hours in the mesoscale 211 window. In the background flow window, high geopotential values occur mainly above 500 hPa. 212 Several hours before the rainstorm, the upper boundary around 100 hPa in the background flow 213 window shows a downward movement and forms a time-dimensional trough during the 214 rainstorm peak period, which may reflect some dynamic processes at the top of the troposphere. 215

We now investigate these dynamic processes. Among the multiscale energetics as shown in 216 section 2, the canonical transfers $\Gamma_A^{\overline{\omega}}$ and $\Gamma_K^{\overline{\omega}}$ can figure important processes in the multiscale 217 interactions. It has been rigorously proved that they quantitatively measure the two fundamental 218 219 instabilities, namely, baroclinic instability and barotropic instability, in geophysical fluid 220 dynamics (Liang and Robinson, 2005). Figure 9 illustrates the baroclinic canonical transfer in 221 different windows. In Figure 9 (a1) and (a2), there is an obvious baroclinic canonical transfer 222 from the background flow window to the mesoscale window at 100 hPa, which indicates a 223 baroclinic instability above the top of the troposphere. About 5 h before the rainstorm, the

baroclinic canonical transfer gains a high value of more than $0.3 \text{ m}^2 \cdot \text{s}^{-3}$, which lowers when 224 the rainstorm occurs. The baroclinic canonical transfer from the background flow window to the 225 226 rainstorm window presents a similar pattern above the top of the troposphere. For the mesoscale 227 window, there also exists a baroclinic canonical transfer to the rainstorm window, forming a 228 secondary instability. These three baroclinic canonical transfers all offer an instable dynamical 229 environment in the lower stratosphere before rainstorm. The barotropic canonical transfers are 230 shown in Figure 10. During the rainstorm, the rainstorm window and mesoscale window always gain barotropic canonical transfer from the background flow window, with a positive to negative 231 vertically staggered pattern in the troposphere. In the vertical shallow convective region in 232 233 particular (height about 4 km), barotropic instability is obvious within both of the sensitive 234 domains (Figure 10 (a1) and (a2)), which seems to be in accord with the weak baroclinicity of 235 Meiyu-Baiu front.

236

237

238 *b. Kinetic energy source*

239 APE can be released and largely converted into the KE of horizontal wind through 240 vertical motion, under conditions closely approximating hydrostatic equilibrium (Kuo, 1954; 241 White and Saltzman, 1956). Therefore, the release of APE is an essential factor associated with 242 convective rainfall (Eshel and Farrell, 2001; Murugavel et al., 2011; Chen et al., 2014; Zhang et 243 al., 2019). Figure 11 illustrates the buoyancy conversion rate, with a minus sign added. Thus, the 244 positive value indicates the conversion from APE to KE. For the background flow window, the conversion from APE to KE occurs mainly in the middle to high levels of the troposphere. With 245 246 the development of the convective rainstorm, the buoyancy conversion rate signal separates into

247 two branches for the rainstorm window. The lower one is stable at 700 hPa and the higher one 248 goes up to a higher vertical level, about 200 hPa, which indicates a region of severe vertical 249 convection. The same KE pattern can be captured in the rainstorm window in Figure 12. Diabatic 250 heating is another factor to be considered in the Meiyu-Baiu rainstorm process. The contribution 251 of vapor latent heating cannot be ignored. Liang (2016) indicates that the contribution of vapor 252 latent heating is contained in F_A^{ϖ} . The vertical distribution of F_A^{ϖ} is shown in Figure 13. In these 253 three scale windows, vapor latent heating is apparent during the rainstorm above 700 hPa, inside 254 convective clouds. With the release of the vapor latent heat, APE is reserved for further 255 conversion into KE.

256

257 Discussed above is about the spatiotemporal distributions of the baroclinic and 258 barotropic canonical transfers, APE/KE tendencies, and buoyancy conversion. The vertical 259 integration of them would provide a quantified Lorenz energy cycle and further clarify the KE 260 source for Meiyu-Baiu rainstorms. Because baroclinic canonical transfer and barotropic 261 canonical transfer (shown in Figures 9 and 10) differ in the lower level and above the top of the 262 troposphere, we employ 300 hPa as a boundary to separate the vertical coordinate into an upper 263 and a lower component to be integrated and then provide the Lorenz energy circulation chart of 264 these two sensitive domains (shown in Figure 14), respectively. Below 300 hPa, the direction of 265 energy flow is similar in both of the sensitive domains. Baroclinic canonical transfers from the 266 rainstorm window and mesoscale window accumulate a large amount of APE, which will further 267 convert into KE in the background window, forming the main KE source. Moreover, for the 268 rainstorm window, there is direct barotropic canonical transfer from the background flow 269 window and mesoscale window. Buoyancy conversion, gaining KE from APE with energy

release within the rainstorm window, contributes over 39% of the KE in the rainstorm window.
Above 300 hPa, where severe vertical convection reaches such a height, the rainstorm window
turns into the sink of APE, which converts to KE with buoyancy conversion. Additionally,
barotropic canonical transfer from the background flow window directly brings a lot of KE to the
rainstorm window.

275

276 Based on the discussion above, Figure 15 provides a conceptual model of the KE source for the Meiyu-Baiu rainstorm. For shallow convection, the rainstorm window is the KE source. 277 278 Baroclinic canonical transfer from the rainstorm window brings a lot of APE, which is further 279 converted into KE in the background flow window. For severe convection, with convective 280 height higher than 300 hPa, the main source of KE is the background flow window. Barotropic 281 canonical transfer brings KE from the background flow window to the rainstorm window. Baroclinic canonical transfer from the background flow window also contributes to APE, which 282 can be converted into KE within the rainstorm window. 283

284

285 **5. Discussion**

In the above analysis about baroclinic and barotropic instabilities, an obvious baroclinic instability signal can be captured around 100 hPa a few hours before the rainstorm. Is there any relationship between the upper-level disturbances and rainstorm occurrence? Baroclinic instability in the upper troposphere could generate mesoscale gravity waves, the period of which is 0.5-4 h (Zhang, 2004). Mesoscale gravity waves are found intimately linked to the initiation and modulation of convection (Lane and Reeder, 2001; Zhang et al., 2001). In Figure 3, an upper-level jet streak exists north of these two domains at 100 hPa. Then, such wind shear

293 instability would generate mesoscale gravity waves, which possibly linked to geostrophic 294 adjustment associated with an unbalanced upper-tropospheric jet (Zhang, 2004). In Figure 16, 295 the evolution and baroclinic structure of mesoscale gravity wave can be captured. As the 296 simulation resolution is 3 km, a refined mesoscale gravity wave structure is presented. 297 Horizontal distribution of straightly oscillated convergence and divergence signals clearly 298 present the structure of mesoscale gravity wave, with an approximately wavelength of 30 km. 299 From t=36 to t=60, approximately 4.2 h which corresponds well with baroclinic instability signal 300 leading time against the rainstorm occurrence in Figure 9, the mesoscale gravity waves propagate 301 downward from lower stratosphere to lower troposphere, with a baroclinic pattern. Once 302 propagating to the lower troposphere, it perturbs the flow and helps to organizing convection. 303 During the rainstorm (t=120), large amount of convection has been triggered, with a barotropic 304 structure, fitting well with the barotropic instability shown in Figure 10. Additionally, the domain 305 averaged positive divergence signal spreads downward from 100 hPa, acting as a pump to suck 306 the lower-layer air and trigger the rainstorm (Figure 17). Overall, the mechanism of diurnal 307 variation in the Meiyu-Baiu rainstorm is a complex interaction between the upper and lower 308 layers of the troposphere. To this case study, baroclinic instability in the lower stratosphere is 309 possibly the primary trigger for the diurnal variation of the Meiyu-Baiu rainstorm in both of the 310 sensitive regions.

In previous studies, the effect of nocturnal low-level jets (LLJs) is considered to be the main factor leading to diurnal variation in precipitation, with emphasis on the boundary layer. In our case study, the effect of LLJ is really important within the rainstorm period. In Figure 2 and Figure 3, southwesterly winds at 700-hPa level flowed into the rainfall areas at 0000 UTC 28 June, nearly the peak time of the rainstorm (Figure 6). At 1200 UTC 27 June, the southwesterly

316 winds were not constructed. Additionally, vapor latent heating which is contained in the residual term is apparent during the rainstorm above 700 hPa in the rainstorm period (Figure 13). 317 318 However, the baroclinic instability signal in the upper level upper occurred 5 h before rainstorm. 319 Although its magnitude is not comparable with that of the residual term, the downward propagating mesoscale gravity wave helps to the initiation and organization of convection. 320 321 Moisture inflow in the lower levels is a quite important factor within rainstorm period. The upper 322 level baroclinic instability offers a perturbation, which helps to organize convection. Without 323 convection, abundant moisture would not lead to rainstorm occurrence. These two factors are 324 both essential. With the newly energetics analysis tool, we captured the upper level signal, which possibly excites the rainstorm occurrence. For only one case study, the result may be insufficient. 325 326 In the future, the robustness of rainstorm occurrence excited by upper level baroclinic instability 327 should be tested, basing on a refined simulation with a longer period and more rainstorm cases.

328

329 **6.** Summary

The Meiyu-Baiu front is the main weather system that influences the Yangtze-Huai River 330 331 area, China, in early summer. The formation of Meiyu-Baiu front rainstorms relies on the 332 multiscale interactions in weather system. With a recently developed energetics analysis (Liang 333 and Robinson, 2005; Liang, 2016), which is based on a functional analysis tool namely 334 multiscale window transform (MWT), a rainstorm case is investigated to determine the energy 335 transfer between different scale windows. Related highly resolved fields generated from a WRF 336 model are decomposed using MWT into parts on the background flow window, mesoscale 337 window, and rainstorm window. The interactions between these windows are then quantitatively 338 analyzed in terms of the energy transferred between them. This offers an energetic view of the

diurnal variation and kinetic energy source for the Meiyu-Baiu rainstorm. Listed in the followingare the main conclusions.

(a) An obvious baroclinic canonical transfer from the background flow window to the mesoscale
window and rainstorm window occurs at about 100 hPa, 5 h before the Meiyu-Baiu rainstorm.
The oscillation of the baroclinic canonical transfer agrees well with the diurnal variation of
the rainstorm.

(b) Baroclinic instability in the lower stratosphere is possibly the primary trigger for the
rainstorm to this case study. Baroclinic instability in the upper troposphere can generate
mesoscale gravity waves. The mesoscale gravity waves propagate downward from lower
stratosphere to lower troposphere, with a baroclinic pattern. Once propagating to the lower
troposphere, it perturbs the flow and helps to organizing convection.

350 (c) The main source of KE during the Meiyu-Baiu rainstorm with a convective height lower than

351 10 km is baroclinic canonical transfer from the rainstorm window, which further converts to

352 KE within the background flow window. Moreover, the main source of KE for severe

353 convection above 300 hPa is barotropic canonical transfer from the background flow window

and baroclinic canonical transfer from the background flow window, which further converts

to KE within the rainstorm window.

356 In short, the MWT-based multiscale energetics analysis offers a new insight into the diurnal

357 variation and kinetic energy source in a typical Meiyu-Baiu rainstorm. Canonical transfer of APE

358 from the background flow window to the mesoscale window can present baroclinic instability.

Baroclinic instability is associated with mesoscale gravity waves, the period of which is 0.5-4 h,

360 fitting well with the leading time of baroclinic signal before rainstorm. Thus, baroclinic

361 instability in the lower stratosphere is the primary trigger for rainstorm occurrence, which could

be a powerful dynamic precursor that would be useful for forecasting. Barotropic canonical
transfer together with baroclinic canonical transfer explains the kinetic energy source during
different convective stages. We are expecting to apply the MWT-based multiscale energetic tool
to more Meiyu-Baiu rainstorm cases and its successes in more operational forecasting
applications.

367

368 Acknowledgments

- 369 The author appreciates NCAR for developing and updating the WRF model. The author is
- 370 grateful to Prof. X. San Liang at Fudan University, Prof. Zhaoxia Pu at University of Utah and
- 371 Prof. Ronghua Zhang at Nanjing University of Information Science and Technology for helpful
- 372 discussion and useful comments. This work is supported by the National Science Foundation of
- 373 China (NSFC) under Grant 41905089, the China Scholarship Council (CSC) Program
- 374 (201908320156), and the NUIST Startup Program (2017r076).
- **375** *Data Availability Statement*
- 376 NCEP FNL data can be achieved from the website (https://rda.ucar.edu/datasets/ds083.2), and
- the merged precipitation data can be obtained from the website
- 378 (http://data.cma.cn/data/cdcdetail/dataCode/SEVP_CLI_CHN_MERGE_CMP_PRE_HOUR_GR
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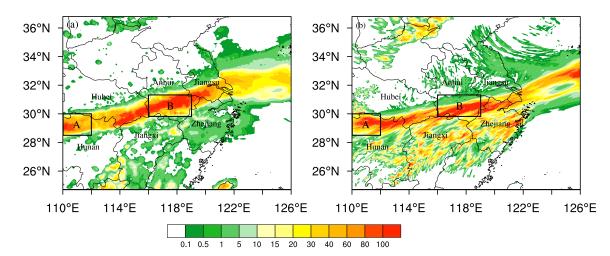


Figure 1. Observed accumulated precipitation (a) and simulated accumulated precipitation (b) from 1200 UTC 27 to 553 0900 UTC 28 June (unit: mm).

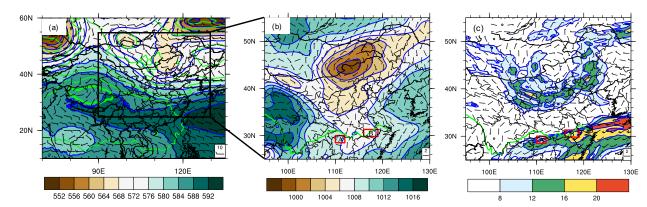


Figure 2. Horizontal distribution of (a) synoptic characteristics at 500 hPa (unit: 10 m), (b) sea surface pressure (unit: hPa), and (c) wind field at 700 hPa (unit: m·s⁻¹) basing on GDAS (Global Data Assimilation System) FNL (Final analysis) data, at 0000 UTC 28 June. The blue line with shaded colors in panel (a) represents geopotential height, and the green line represents temperature. The blue line with shaded colors represents sea surface pressure in panel (b) and that represents wind speed in panel (c). All vectors in those three panels represent wind. The green line in panel (b) and (c) indicates the path of the Yangtze River.

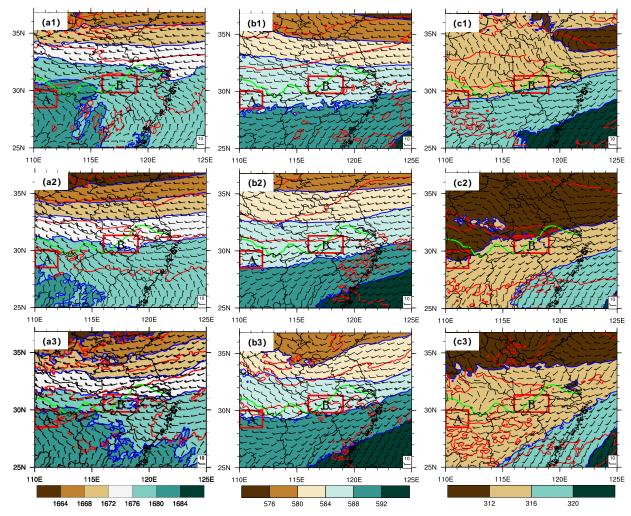


Figure 3. Horizontal distribution of simulated (a) geopotential height at 100 hPa (unit: 10 m), (b) geopotential height at 500 hPa (unit: 10 m), and (c) geopotential height at 700 hPa (unit: 10 m). Row 1 to 3 represent the geopotential height at 1200 UTC 27 June, 0000 UTC 28 June, and 1200 UTC 28 June, respectively. The blue line with shaded colors in panel (a) and (b) represents geopotential height, and the red line represents temperature. All vectors in those three panels represent wind. The green line indicates the path of the Yangtze River.

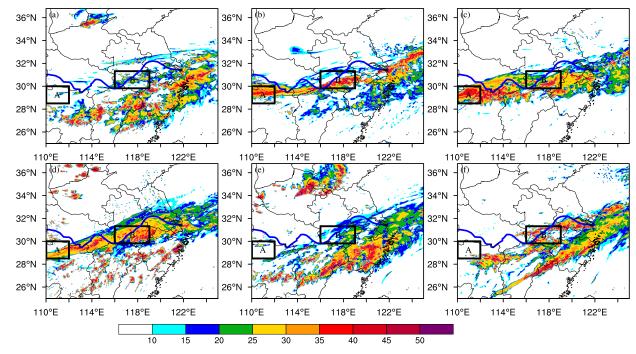


Figure 4. Horizontal distribution of maximum radar reflectivity (unit: dBZ) from 1200 UTC 27 June to 1800 UTC
28 June, with a 6 h interval. The blue line indicates the path of the Yangtze River.

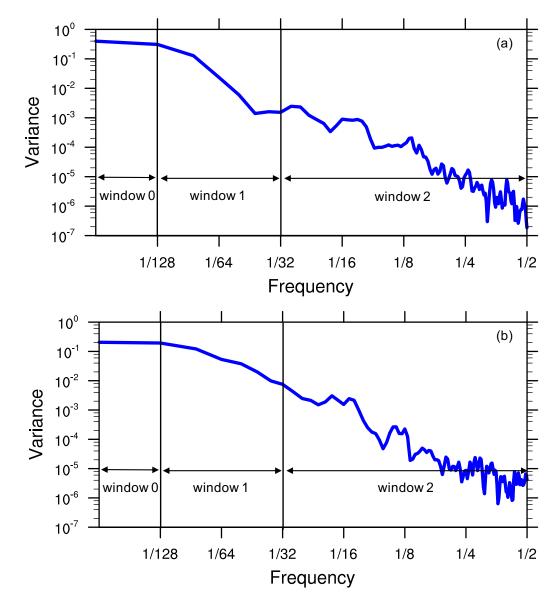


Figure 5. The spectrum of simulated vertical velocity at 700 hPa. Panel (a) and (b) represents domain A and B,
respectively. X axis represents the Nyquist frequency.

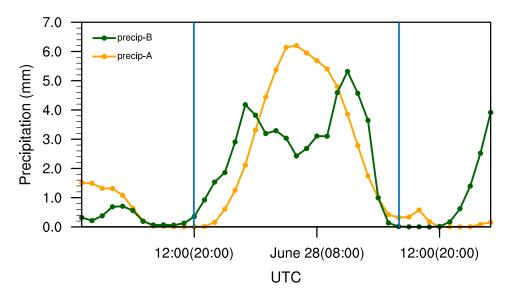




Figure 6. Variation of hourly precipitation in Domain A and Domain B (unit: mm). The blue line indicates the initial and terminal time of rainstorm period discussed in the study. Local time is marked within the parentheses in X axis.

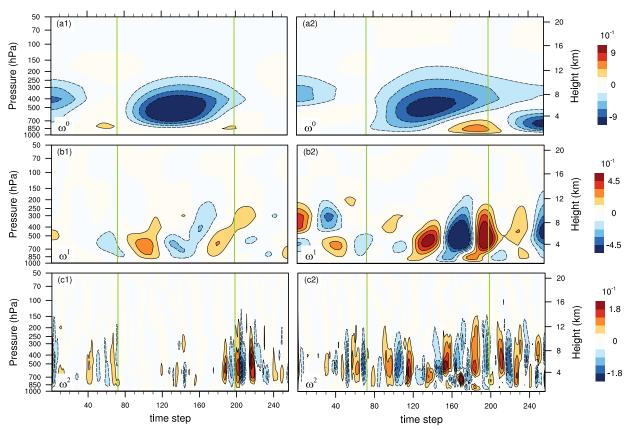
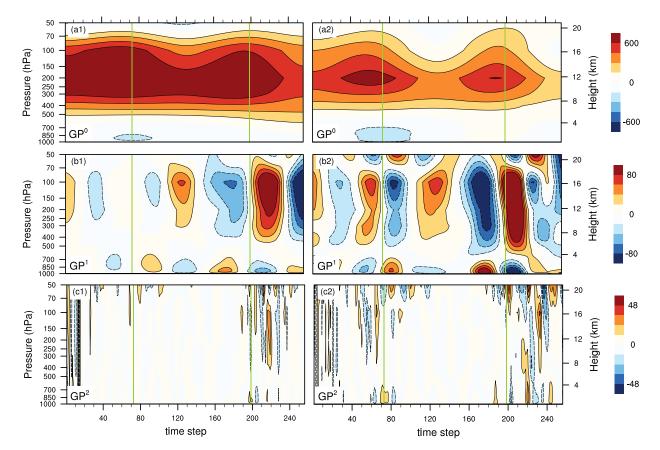


Figure 7. The pressure-time diagram of vertical velocity at pressure coordinate (unit: $Pa \cdot s^{-1}$) vs. time between different windows. The x axis consists of 256 time steps between 0000 UTC 27 June to 1830 UTC 28 June in a 10 min interval. The green line indicates the initial and terminal time of rainstorm. The left column is for Domain A and the right is for Domain B. Row 1 to 3 denotes vertical velocity of background flow window, mesoscale window, and rainstorm window, respectively.



594 Figure 8. Same as Figure 6, except for geopotential (unit: $J \cdot kg^{-1}$).

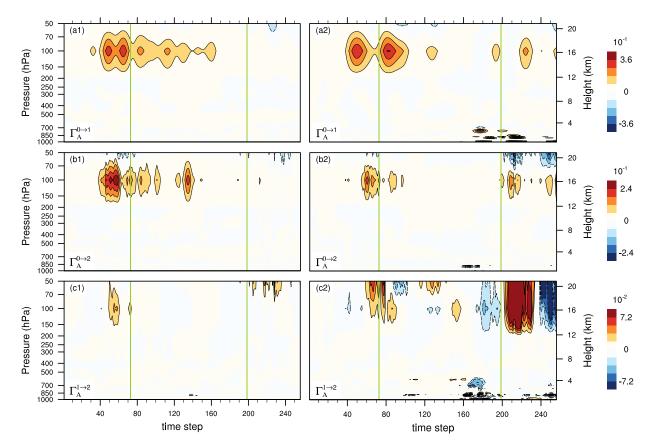
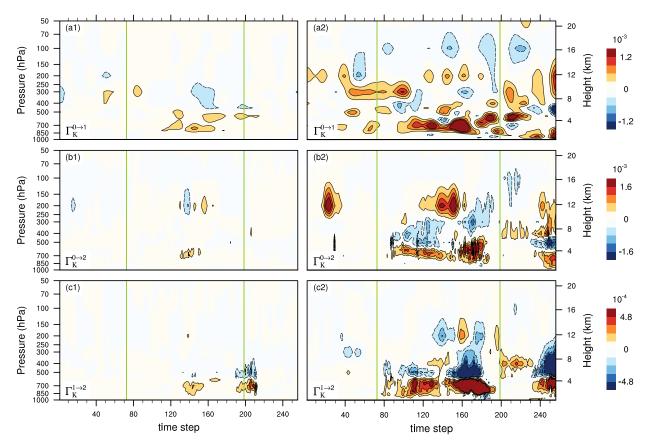
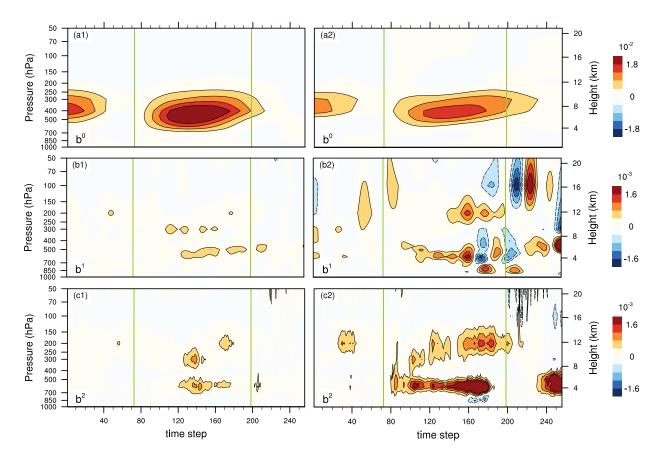


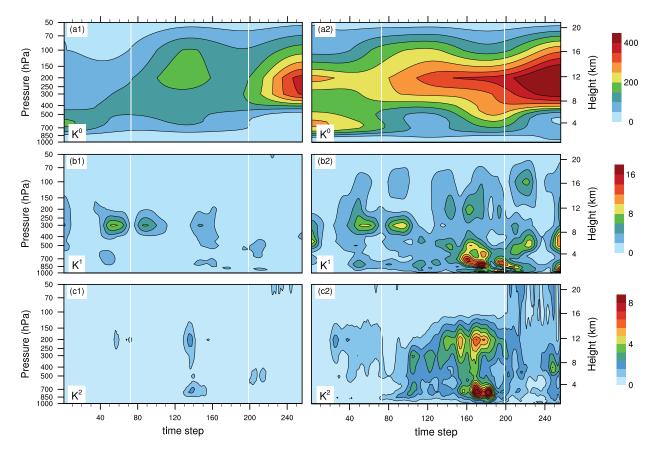
Figure 9. The pressure-time diagram of baroclinic canonical transfer Γ_A (unit: $m^2 \cdot s^{-3}$) vs. time. The x axis consists of 256 time steps between 0000 UTC 27 June to 1830 UTC 28 June in a 10 min interval. The green line indicates the initial and terminal time of rainstorm. The left column is for Domain A and the right is for Domain B. Row 1 to 3 denotes baroclinic canonical transfer between different scale windows. The superscript of Γ_A indicates the direction of baroclinic canonical transfer (e.g., $\Gamma_A^{0\to 1}$ is from window 0 to window 1).



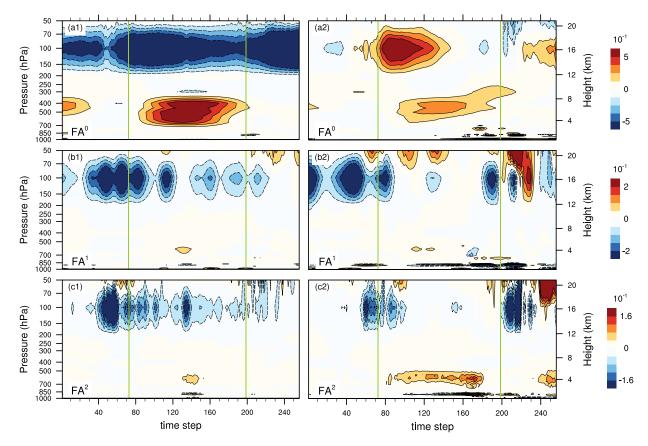
602 Figure 10. Same as Figure 9, except for the barotropic canonical transfers (unit: $m^2 \cdot s^{-3}$). The superscript of Γ_K 603 indicates the direction of barotropic canonical transfer (e.g., $\Gamma_K^{0\to 1}$ is from window 0 to window 1).



610 Figure 11. Same as Figure 9, except for buoyancy conversion rate.



612 613 614 Figure 12. Same as Figure 12, except for kinetic energy (unit: $m^2 \cdot s^{-2}$). The white line indicates the initial and terminal time of rainstorm.



616 Figure 13. Same as Figure 12, except for $F_A^{\overline{\omega}}$ (unit: m² · s⁻³).

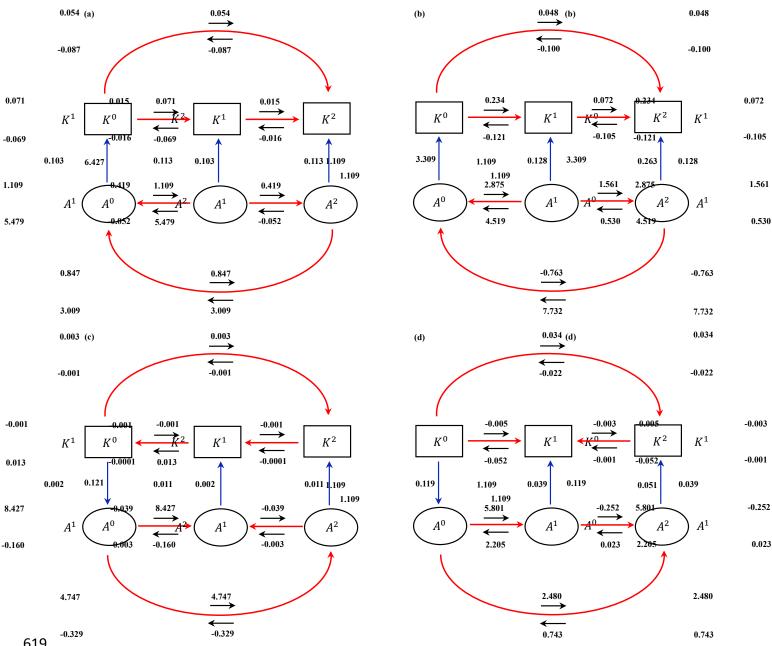
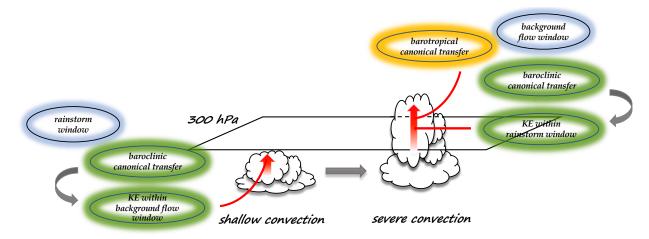


Figure 14. Lorenz energy circulation chart (unit: $10^2 \cdot W \cdot m^{-1} \cdot s^{-2}$). (a) and (b) are respectively for Domain A and Domain B below 300 hPa. (c) and (d) are respectively for Domain A and Domain B above 300 hPa. K represents KE and A represents APE, with the superscript indicating different scale window. The red arrow represents the flow direction of baroclinic and barotropic canonical transfer. The blue arrow represents the buoyancy conversion direction within each scale window.



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Figure 15. A conceptual model for kinetic energy source associated with a Meiyu-Baiu rainstorm.

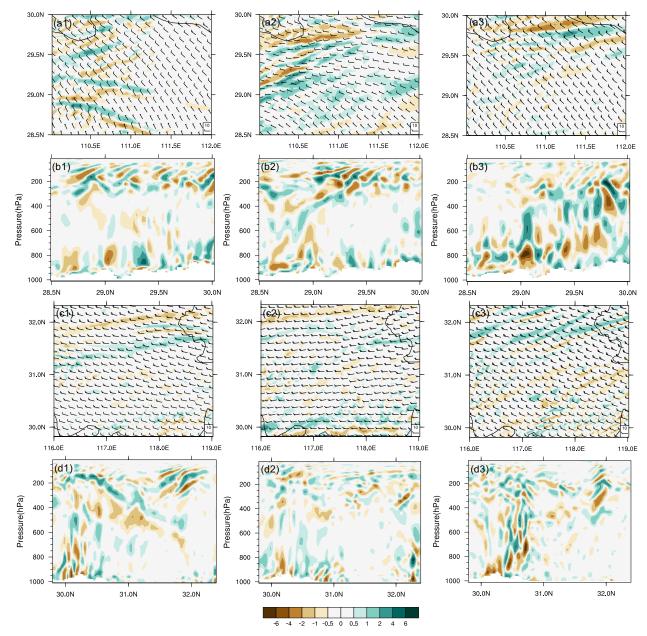


Figure 16. Horizontal and vertical distribution of divergence (unit: 10-5 s⁻¹) in domain A (Row 1 to 2) and domain B (Row 3 to 4). Column 1 to 3 are the divergence distribution at t=36, t=60, t=120 (referring to the X axis in Figure 6). Panel (a1) to (a3) are the horizontal distribution of divergence at 100 hPa in domain A. Panel (b1) to (b3) are the cross section of divergence along 110.5° E. Panel (c1) to (c3) are the horizontal distribution of divergence at 100 hPa in domain B. Panel (d1) to (d3) are the cross section of divergence along 118.5° E.

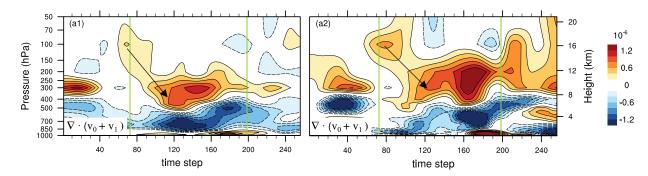


Figure 17. The pressure-time diagram of wind divergence (sum of background flow window and mesoscale window,

- unit: s^{-1}). The x axis consists of 256 time steps between 0000 UTC 27 June to 1830 UTC 28 June in a 10 min interval. The green line indicates the initial and terminal time of rainstorm. (a1) is for Domain A and (a2) is for 644
- Domain B.