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1           **Multi-scale uncertainty of mesoscale**  
2 **convective systems in the Baiu frontal zone:**  
3           **A case study from June 2022**

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## Abstract

14

15 Mesoscale convective systems (MCSes) occasionally develop over the  
16 East China Sea (ECS) in the Baiu frontal zone under both the atmospheric  
17 and oceanic influence. The factors that determine their predictability have  
18 not been fully understood yet. This study investigates the uncertainties  
19 affecting two MCSes observed by research vessels on 19 June 2022 using  
20 regional ensemble simulations. These MCSes have contrasting features:  
21 the first was triggered by an atmospheric mesoscale disturbance, while the  
22 second was induced by the boundary layer destabilization over the warm  
23 Kuroshio current.

24 The first MCS shows high variability in the synoptic-scale uncertainties  
25 detected by the breeding ensemble. The best-performing member success-  
26 fully represents the strong meso- $\beta$ -scale cyclone and the frontal structure  
27 with deep moist layers. The ensemble simulations are less skillful for the  
28 second MCS than the first. The enhanced surface turbulent heat flux in the  
29 SST frontal zone is found to be significantly correlated to the precipitation  
30 due to the second MCS despite the cold bias of SST that is commonly im-  
31 posed on all members. The dense upper-air information from the vessels  
32 significantly improves the representation of the sharp frontal structure asso-  
33 ciated with the first MCS, but has little impact on the second MCS proba-  
34 bly due to the underestimation of the boundary layer moistening. This case

35 study indicates that the predictability of MCSes over the ECS depends on  
36 their development mechanisms, and that the incorporation of uncertainties  
37 in both the atmosphere and ocean are important for the ensemble forecast-  
38 ing of these MCSes.

39 **Keywords** mesoscale convective system, Baiu front, regional spectral model,  
40 ensemble data assimilation

## 41 **1. Introduction**

42 The Baiu (Meiyu) frontal zone (BFZ) has a characteristic hierarchi-  
43 cal structure from planetary to meso- or convective scales (Ninomiya and  
44 Akiyama 1992; Ninomiya and Shibagaki 2007; Tsuji and Takayabu 2023).  
45 From a planetary-scale view, the Baiu front is located between the sub-  
46 tropical westerly jet and the low-level jet, and these two jets contribute  
47 to maintain a convectively unstable low pressure zone, called the mon-  
48 soon trough, by the advection of warm air along the BFZ (Sampe and Xie  
49 2010). Transient disturbances that propagate along the subtropical jet form  
50 subsynoptic-scale cloud systems, and precondition an environment favor-  
51 able for the development of mesoscale convective systems (MCSes). These  
52 MCSes can lead to disasters, including floods and landslides, due to heavy  
53 rainfall; therefore, it is important to accurately predict their occurrence and  
54 development.

55 The studies on the predictability of MCSes during the summer monsoon  
56 based on ensemble forecasts have been mainly limited to the cases developed  
57 over the continent (Bei and Zhang 2007; Luo and Chen 2015; Zhuang et al.  
58 2020; Ke et al. 2022, 2023). These studies agreed that the representation of

59 MCSes is strongly sensitive to the initial conditions even if the large-scale  
60 environment features are well represented. Ke et al. (2023) found that the  
61 initial perturbations that optimally reflected the flow-dependent nature of  
62 the BFZ were important for representing the appropriate mesoscale error  
63 growth. These initial perturbation structures affected especially the error  
64 growth of water vapor, suggesting the importance of optimal initial per-  
65 turbations for forecasting of moist convections. Luo and Chen (2015) also  
66 showed that the representation of MCSes was the most sensitive to the ini-  
67 tial moisture fields. They further demonstrated that the reproducibility of  
68 cold domes induced by the preexisting convective systems was the key fea-  
69 ture of MCS predictability. The predictability of these continental MCSes  
70 was also found to be controlled by the orography due to regulation of the  
71 moist convections (Zhuang et al. 2020). However, because MCSes over the  
72 East China Sea (ECS) develop under the moist environment affected by  
73 both the atmosphere and ocean, the predictability of such MCSes should  
74 be different from those over land. The key factors for the predictability of  
75 oceanic MCSes remain unclear.

76 The moist environment over the ECS with a large amount of free tropo-  
77 spheric moisture is maintained by continental air from the southwest and  
78 oceanic air from the south. The southwesterly low-level jet that transports  
79 the continental air intersects the southerly winds driven by the pressure gra-

80 dient force that supplies oceanic moist air, and these two airstreams form a  
81 large water vapor gradient over the ECS with respect to the dry and cold  
82 air to the north (Moteki et al. 2004a,b; Maeda et al. 2008). These moist  
83 airstreams sometimes create a moist, absolutely unstable stratification be-  
84 fore the initiation of precipitation (Tsuji et al. 2021), and trigger the heavy  
85 rainfall in conjunction with upwelling induced by the lower inflow. This  
86 mechanism indicates that the development of individual MCSes is strongly  
87 influenced by the representation of the large-scale wind distribution and the  
88 frontal zone.

89 The water vapor supply from the sea surface to the boundary layer  
90 is another important factor for the development of MCSes. The ECS is  
91 characterized by a steep sea surface temperature (SST) gradient due to the  
92 warm Kuroshio current. Turbulent heat fluxes intensify over the tongue of  
93 the Kuroshio, which enhances precipitation by destabilizing the boundary  
94 layer (Sasaki et al. 2012; Kunoki et al. 2015). A warm SST also contributes  
95 to the maintenance of instability near the surface by evaporation to the  
96 inflow across the Kuroshio (Kunoki et al. 2015; Sato et al. 2016). This  
97 suggests that the distributions of wind speed and temperature near the  
98 surface have a large influence on MCSes, as does the remote moisture supply  
99 in the free troposphere.

100 Although the development mechanisms of MCSes over the ECS have

101 been widely investigated, it is still difficult to accurately predict the loca-  
102 tions and intensities of individual MCSes due to high uncertainties in moist  
103 convections and lack of the observations of vertical profiles. Kato et al.  
104 (2003) found that underestimation of moisture amount in the lower tropo-  
105 sphere caused the poor representation of meso- $\beta$ -scale convective systems  
106 in their numerical simulations. Kato and Aranami (2005) also emphasized  
107 the importance of lower moisture fields to the reproducibility of heavy rain-  
108 fall in the BFZ and suggested that the sufficient vertical information would  
109 reduce the forecast failure. Their results motivate us to quantify the fore-  
110 cast uncertainty of MCSes using ensemble methods to compensate for the  
111 difficulty in deterministic forecasting and thereby contribute to prevention  
112 and mitigation of disaster due to heavy rainfall associated with the MCSes.

113 In order to identify the factors affecting the predictability of oceanic MC-  
114 Ses, this study investigates the role of multi-scale uncertainties in the pre-  
115 diction of the initiation and development of MCSes through a case study for  
116 the MCSes developed during an intensive observation campaign deploying  
117 three research vessels on 19 June 2022. Recently, Manda et al. (2024) ex-  
118 amined the detailed environmental conditions related to the MCS observed  
119 earlier in the intensive observations, and found that the near-saturation  
120 conditions in the free troposphere played an important role in supporting  
121 the MCS development. In this study, we examine the variability in the



122 prediction of this MCS and another one observed later through ensemble  
123 simulations for this observation period. These simulations are conducted  
124 using a limited-area atmospheric model developed at the National Center  
125 for Environmental Prediction (NCEP) with flow-dependent initial perturba-  
126 tions to estimate the influence of initial uncertainties from the synoptic-scale  
127 to the mesoscale. We also perform sensitivity experiments to the assimila-  
128 tion of the vessel observations to investigate the impact of the dense upper  
129 information on the development of the MCSes.

130 The remainder of this paper is organized as follows. Section 2 describes  
131 the experimental design and analysis methods. Section 3 overviews the  
132 observation campaign and the environmental features related to the MCSes  
133 on 19 June 2022. Section 4 examines the sensitivity of MCSes to the initial  
134 uncertainty through the ensemble simulations. The impact of the vessel  
135 observations on the MCS representation is investigated by the assimilation  
136 experiments as shown in Section 5. Finally, Section 6 provides a summary  
137 and discussion.

## 138 2. Methodology

### 139 2.1 Forecast model

140 We use the NCEP regional spectral model (RSM, Juang and Kanamitsu  
141 1994; Juang 2000) as the forecast model. The RSM retains large-scale  
142 structures represented by a base atmospheric field from a global or coarser  
143 regional model (host model) using the perturbation method (Juang and  
144 Kanamitsu 1994; Juang et al. 1997) and orographic blending at the lateral  
145 boundaries (Hong and Juang 1998). The perturbation method calculates  
146 the time evolution of the perturbations from the base field. Juang and Hong  
147 (2001) showed that the prediction skill with the perturbation method did  
148 not depend on the domain size or the discrepancy in resolution from the host  
149 model, unlike other conventional lateral boundary treatments. This method  
150 represented reasonable monsoon rainfall over East Asia (Hong et al. 1999)  
151 and the Indochina Peninsula (Nguyen et al. 2019), indicating an advantage  
152 in simulating hierarchical phenomena such as MCSes in the BFZ. The RSM  
153 was operationally used in Hawaii and Alaska for daily weather forecasts.

154 The RSM achieves a high effective resolution using a double Fourier se-  
155 ries for horizontal discretization, and it offers hydrostatic (RSM) and non-  
156 hydrostatic (MSM) options for the dynamical core (Juang 2000). The RSM  
157 governing equations are primitive equations in sigma coordinates, whereas

158 those of the MSM are fully compressible equations with internally evolv-  
159 ing hydrostatic sigma coordinates. Both the RSM and MSM use the same  
160 model physics, with some modifications used for the MSM (Juang et al.  
161 1997). The physics schemes and other numerical methods are shown in  
162 Table 1.

Table 1

163 We use the RSM for the outermost domain (D1) with a horizontal reso-  
164 lution of 27 km, and the MSM for inner domains D2 and D3 with resolutions  
165 of 9 and 3 km, respectively (Fig. 1a). All domains have 42 vertical layers  
166 with a model top of  $\sigma \sim 0.005$ . The base fields of D1 are the three-hourly  
167 forecast data by the NCEP global forecast system (GFS) initialized at ev-  
168 ery six hours in a  $0.25^\circ \times 0.25^\circ$  horizontal resolution and 33 vertical layers.  
169 Boundary conditions, including SST and land surface variables, are also  
170 obtained from the GFS.

Fig. 1

## 171 2.2 *Ensemble perturbations*

172 We perform ensemble simulations from 1200 UTC 18 June to investigate  
173 the influence of initial uncertainties on the MCSes that passed through the  
174 observed area. The breeding of growing modes (BGM, Toth and Kalnay  
175 1993, 1997) is used to generate the initial ensemble perturbations. The  
176 BGM method has been adopted for operational global ensemble forecast  
177 systems at the NCEP (Toth and Kalnay 1993, 1997) and the Japan Meteo-

178 rological Agency (JMA, Kyouda 2002), and can extract the directions with  
179 the largest growing ratios, called bred vectors, from the difference between  
180 unperturbed and perturbed forecasts. Bred vectors are nonlinear extensions  
181 of local Lyapunov vectors (Trevisan and Legnani 1995), and they represent  
182 structures with large error saturation levels, such as baroclinic instability,  
183 rather than structures with fast error growth, such as cumulus convection.  
184 Therefore, an ensemble with the BGM perturbations could be expected to  
185 include the true state and appropriately represent the forecast error covari-  
186 ance for the features comparable to or larger than synoptic scales. However,  
187 because large-scale error growth tends to dominate that of small-scale and  
188 significantly affects the meso- to convective-scale forecast uncertainty (Bei  
189 and Zhang 2007; Ke et al. 2022), the BGM perturbations are also consid-  
190 ered to be suitable for representing initial uncertainties related to mesoscale  
191 phenomena like MCSes. For example, Saito et al. (2011) demonstrated that  
192 the BGM method for the JMA nonhydrostatic regional model offered a bet-  
193 ter prediction of intense rainfall than did the downscaling method of global  
194 ensemble forecasts.

195 The six-hourly breeding cycles in D1 proceed as follows. The difference  
196 between unperturbed and perturbed runs for all atmospheric prognostic  
197 variables is normalized every six hours. The magnitude of the bred vectors  
198 is evaluated using the dry total energy (TE) norm (Ehrendorfer et al. 1999)

$$\|\mathbf{x}\| = \frac{1}{2D} \int_{\sigma_b}^{\sigma_t} \int_D \left[ u'^2 + v'^2 + \frac{c_p}{T_r} T'^2 + R_d T_r \left( \frac{p'_s}{p_r} \right)^2 \right] dD d\sigma \quad (1)$$

199 where  $u'$ ,  $v'$ ,  $T'$ ,  $p'_s$  are the zonal wind, meridional wind, temperature, and  
200 surface pressure perturbations, respectively. The constants  $c_p$  and  $R_d$  are  
201 the specific heat at constant pressure and the gas constant for dry air.  $D$   
202 is the verification region and indicates D2 in this study. We evaluate the  
203 TE norm between  $\sigma_t \sim 0.5$  and  $\sigma_b = 1$  using the reference temperature  
204  $T_r = 300$  K and pressure  $p_r = 800$  hPa (Saito et al. 2011).

205 The normalization coefficients are determined by the ratios of the TE  
206 norms of the perturbations to the standard norm ( $=3.0 \text{ J kg}^{-1} \text{ m}^{-2}$ ), which  
207 is approximately 10% of the climatological variance. Supersaturation, neg-  
208 ative specific humidity, and negative cloud water mixing ratio are removed  
209 from each perturbed member at the initialization of each cycle. We generate  
210 40 members using orthogonalization. The initial seeds of the ensemble per-  
211 turbations are the differences between two states that are arbitrarily chosen  
212 from the GFS initial states from May 21 to June 30 in 2020 and 2021. The  
213 breeding cycles are repeated seven times from 0000 UTC 17 June to 1200  
214 UTC 18 June.

215 Lateral boundary perturbations are known to be important for the re-  
216 gional ensemble forecasts to retain the magnitude of the ensemble spread

217 near the lateral boundaries (Saito et al. 2012). Although we do not use the  
218 lateral boundary perturbations, we set the outermost domain to be much  
219 larger than the inner domains to prevent an underestimation of the inner  
220 ensemble spread. The SST or land surface are not perturbed either: all the  
221 ensemble members and the unperturbed run use the same SST and land  
222 surface conditions obtained from the GFS initial analysis at 1200 UTC 18  
223 June.

### 224 *2.3 Data assimilation*

225 In the assimilation experiments, we use the same MSM as in the en-  
226 semble simulations but with smaller inner domains (D2b and D3b in Fig.  
227 1b) to focus on the impact of the dense observations. We use the same  
228 six-hourly breeding ensemble for D1 as was introduced in Section 2.2, and  
229 do not conduct the assimilation in D1 because no significant improvement  
230 could be expected from using a similar resolution (27 km vs  $0.25^\circ$ ) with  
231 fewer observations in our experiments.

232 We use the maximum likelihood ensemble filter (MLEF, Zupanski 2005),  
233 and we perform observation space localization using local gradients of the  
234 global cost function (Yokota et al. 2016). MLEF is an ensemble varia-  
235 tional method that analyzes the unperturbed control run. We employ the  
236 Newton method to optimize the cost function because it has better conver-

237 gence properties than does the conjugate gradient method (Enomoto and  
238 Nakashita 2024). The ensemble size is 40 plus one unperturbed member.  
239 The localization cut-off scale is 100 (D2b) or 50 (D3b) km in the horizontal  
240 direction and  $0.4 \ln p$  in the vertical direction for both domains. The ana-  
241 lyzed ensemble perturbations are relaxed to the prior perturbations by 80%  
242 as covariance inflation (Zhang et al. 2004).

243 The assimilated observation sets are extracted from the NCEP PREP-  
244 BUFR: reports from surface stations (surface pressure), ships and buoys  
245 (surface pressure, zonal and meridional winds), and upper-air soundings  
246 (zonal and meridional winds, temperature and specific humidity) including  
247 those from the intensive observation campaign. Because all the observation  
248 types are set to be the same as the prognostic variables, the observation  
249 operators are linear, and we limit the maximum number of iterations in the  
250 optimization to one.

Fig. 2

251 The control experiment (CNTL) that uses all the observations described  
252 above is compared against the data denial experiment that assimilates all  
253 but the vessel observations (NOSHIP). The assimilation in D2b is initialized  
254 at 0000 UTC on the 18th by interpolation from D1, and the assimilation  
255 in D3b is at 2100 UTC on the 18th by interpolation from D2b, and both  
256 assimilations end at 0300 UTC on the 20th (Fig. 2). The cycle interval is  
257 three hours until the start of the intensive observations at 0000 UTC on the

258 19th, and after that, the assimilation cycle forks into CNTL and NOSHIP  
259 with shortening the cycle interval to an hour.

260 Our assimilation system does not analyze the SST and land surface  
261 conditions. All the ensemble members in the assimilation experiments are  
262 initialized with the SST and the land surface conditions obtained from the  
263 GFS analysis at 0000 UTC on the 19th. Hence, the differences between  
264 CNTL and NOSHIP arise from the influence of the vessel observations on  
265 the atmospheric field.

#### 266 *2.4 Data and analysis methods*

267 The hourly-accumulated JMA nationwide radar composite rainfall (JMA  
268 Observations Department 2004) and the three-hourly data of the JMA op-  
269 erational mesoscale analysis (JMA-MA, JMA 2019) are used as a reference  
270 for the precipitation and atmospheric fields. The convective activity is eval-  
271 uated using the brightness temperature (BT) as measured by the Advanced  
272 Himawari Imager (AHI) on the JMA Himawari-8 geostationary satellite.  
273 The simulated radiances from the MSM fields are generated using the ra-  
274 diance simulator with the RTTOV fast radiative transfer model version 13  
275 (Saunders et al. 2018).

276 The representation of MCSes in each simulation is evaluated against the  
277 radar rainfall using two metrics related to the precipitation: the averaged



278 precipitation in the observed region (128.0°–129.3°E, 30.1°–31.1°N), and  
 279 the fractions skill score (FSS, Roberts and Lean 2008) applied to a 95 per-  
 280 centile of 6-h precipitation threshold with a neighborhood size of around 51  
 281 km in the verification region including southern Kyushu (128.0°–131.5°E,  
 282 29.5°–32.5°N). While the former measures the accuracy in both amount and  
 283 location of precipitation, the latter does the correspondence of precipitation  
 284 distribution with that of the JMA radar composite.

285 The development mechanisms of MCSes are analyzed from two perspec-  
 286 tives: the formation of deep unstable layers due to moistening in the free  
 287 troposphere and boundary layer destabilization due to the surface heat flux  
 288 from the ocean. The former is measured by the existence of moist absolutely  
 289 unstable layers (MAUL, Bryan and Fritsch 2000), which are defined as

$$\frac{\partial\theta_e}{\partial z} < 0 \ \& \ \text{RH} > 95\% \quad (2)$$

290 where  $\theta_e$  is the equivalent potential temperature,  $z$  is the geometric height  
 291 and RH is the relative humidity. MAUL is a characteristic feature of MCSes  
 292 that develop in a relatively humid environment. The RH threshold in Eq.  
 293 (2) is relaxed relative to that in the definition of Tsuji et al. (2021) so that  
 294 the MAUL is visually consistent with the JMA-MA considering the bias in  
 295 the RSM. The saturation can be alternatively evaluated by cloud or rain

296 water (Bryan and Fritsch 2000) for the RSM, but the location of MAUL  
297 does not change significantly.

298 The net surface heat flux  $F_{\text{es}}$  (the sum of the sensible and latent heat  
299 flux) is estimated from the wind speed in the boundary layer ( $|\mathbf{u}_b|$ ) and the  
300 difference between the saturated  $\theta_e$  at the sea surface ( $\theta_{\text{ess}}$ ) and  $\theta_e$  in the  
301 boundary layer ( $\theta_{\text{eb}}$ ) as

$$F_{\text{es}} = C_d U_e (\theta_{\text{ess}} - \theta_{\text{eb}}) = C_d U_e \Delta\theta_e \quad (3)$$

302 where  $C_d \sim 10^{-3}$  is the drag coefficient,  $U_e = (|\mathbf{u}_b|^2 + W^2)^{1/2}$  is the effective  
303 wind speed, i.e., the wind speed corrected for gustiness ( $W = 3 \text{ m s}^{-1}$  in  
304 this study) in the domain (Raymond 1995). Equation (3) indicates that the  
305 sea surface flux is approximately proportional to the product of  $|\mathbf{u}_b|$  and  
306  $\Delta\theta_e$ . In the evaluation of Eq. (3),  $\theta_{\text{ess}}$  is calculated using the observed or  
307 prescribed SST, and  $\theta_{\text{eb}}$  and  $|\mathbf{u}_b|$  are calculated from the values on the deck  
308 for the observations, and from those at 2 m altitude above the surface for  
309 the simulations, respectively, assuming well mixed boundary layers.

### 310 **3. Observed mesoscale convective systems**

311 From June to July 2022, a field campaign was conducted in the ECS  
312 by the JMA and universities and research institutes in Japan. This cam-

313 paign was part of a coordinated effort to elucidate the formation mechanism  
314 of quasi-stationary line-shaped rain bands (*Senjo-Kousuitai*, Kato 2020) in  
315 the BFZ. During this campaign, three research vessels, *Nagasaki-maru* of  
316 Nagasaki University, *Kagoshima-maru* of Kagoshima University, and *Seisui-*  
317 *maru* of Mie University, conducted intensive synchronized atmospheric and  
318 oceanographic observations. Their observations were designed to inves-  
319 tigate the air-sea interaction between the BFZ and the warm Kuroshio  
320 current in the planned study called “Two-way interactions between East  
321 Asian marginal seas and atmosphere and monsoon modulations” as a part  
322 of the project “Mid-latitude ocean-atmosphere interaction hotspots under  
323 the changing climate”. During this concentrated observation period, two  
324 MCSes passed through the observation area. These MCSes each had gen-  
325 eral characteristics of convective systems frequently observed in the BFZ on  
326 the ECS. The environmental features related to these MCSes are described  
327 below.

### 328 3.1 Case overview

Fig. 3

329 The Baiu front was located just above the observed area (at approxi-  
330 mately 30°N) on June 19. The upper subtropical westerly jet over the Ti-  
331 betan Plateau divided into two branches; the northern branch meandered  
332 largely until it reached 50°N, while the southern branch ran just south of

333 the Baiu front over the ECS (Fig. 3a). The southwesterly lower jet (Fig.  
334 3b) passed parallel to the southern branch in the upper troposphere (Fig.  
335 3a) and advected a large amount of moisture from the Philippine Sea. This  
336 southern jet tilted slightly northward with height (Fig. 3c), likely due to  
337 the diabatic heating over the Baiu-frontal rainband (Sampe and Xie 2010).  
338 There were no obvious disturbances in the upper troposphere over the ECS  
339 during the campaign period.

Fig. 4

340 Figure 4 shows the environmental features during the first (0300 UTC)  
341 and second (2100 UTC) halves of the intensive observation period. Con-  
342 vection was active along the front (Fig. 4a) at 0300 UTC. Two warm and  
343 moist airstreams (Fig. 4b, around  $125^{\circ}$ – $129^{\circ}$ E,  $29^{\circ}$ – $30^{\circ}$ N) that were due  
344 to southwesterlies in the lower troposphere and south-southwesterlies near  
345 the surface supplied a large amount of precipitable water, which made the  
346 environmental conditions favorable for the first MCS development (Manda  
347 et al. 2024), and generated distinct meridional water vapor gradients near  
348  $31^{\circ}$ N over the ECS. In the lower troposphere, a trough whose axis ran along  
349 the Baiu front extended eastward from the Yangtze River estuary. A meso-  
350  $\beta$ -scale cyclone (hereafter meso- $\beta$  cyclone) formed at the tip of the monsoon  
351 trough and accompanied the first MCS that passed through the observed  
352 area (Fig. 4a, c).

353 Although the Baiu front remained at almost the same latitude, convec-

354 tion was less active in the middle of the ECS during the latter half of the  
355 campaign period than the first half (Fig. 4d). This suppression was due to  
356 the reduction of moisture supply by southwesterlies (Fig. 4e), and the free  
357 troposphere was relatively drier. The precipitable water was marked by two  
358 maxima: near the Yangtze estuary ( $121^{\circ}\text{E}$ ,  $30^{\circ}\text{N}$ ) and to the south of the  
359 Kyushu region ( $130^{\circ}\text{E}$ ,  $30^{\circ}\text{N}$ ). The moist air from the Philippine Sea was  
360 transported to the south of Kyushu by a south-southwesterly flow along the  
361 margin of the subtropical high and was further supplied moisture during the  
362 passage over the warm SST tongue of the Kuroshio. Some convective sys-  
363 tems successively occurred near this water vapor maxima and moved along  
364 the west-southwesterlies enhanced by the cyclonic circulation centered near  
365  $33^{\circ}\text{N}$ ,  $127^{\circ}\text{E}$  (Fig. 4d, f). These convective systems merged to develop an  
366 MCS.

### 367 *3.2 Observed features by the vessels*

Fig. 5

368 The observed features by the vessels associated with the MCSes are  
369 examined. The tracks of three vessels formed a lattice network (Fig. 5).  
370 All vessels started from their southeast corners at 0000 UTC 19 June and  
371 observed at almost the same latitude simultaneously at hourly intervals.  
372 The observations continued until 0200 UTC 20 June and a total of 70 ra-  
373 diosondes were launched from the vessels. Kagoshima-maru (center) and

374 Seisui-maru (right) observed high SST ( $> 26.5$  °C) over the tongue of the  
375 Kuroshio from 0000 to 0500 UTC 19 June (Fig. 5). The observed SST in-  
376 dicates steep SST gradients between Nagasaki-maru and Kagoshima-maru  
377 ( $128.3^{\circ}\text{E}$ ) and around  $30.4^{\circ}\text{N}$ . The initial SST analysis obtained from NCEP  
378 GFS underestimates the warm tongue of the Kuroshio and fails to represent  
379 the steep gradients (Fig. 5). This cold bias is common to the GFS analysis  
380 from June 18 to 20. As a result, all the simulations in this study significantly  
381 underestimates the warm SST effect. The effect of the SST underestimation  
382 on the MCS development will be discussed in detail in Section 4 and 5.

Fig. 6

383 Figure 6 shows the time series of vertical profiles of horizontal winds  
384 and  $\theta_e$ . During the passage of the first MCS (0200–0500 UTC), all the  
385 three vessels observe a significantly moist and warm layer reaching up to  
386 around 700 hPa. In particular, Nagasaki-maru (Fig. 6a) and Seisui-maru  
387 (Fig. 6c) detect deep MAUL from 925 to 500 hPa at 0300 UTC. In the  
388 boundary layer,  $\theta_e$  rises as the approach of the MCS, and abruptly drops  
389 after the MCS passage with the change of wind direction. Surface winds  
390 gradually return from northerly to southerly in about six hours. After 0600  
391 UTC, the lower troposphere becomes less humid than before (Fig. 4e). At  
392 the passage of the second MCS (1800–2000 UTC), Nagasaki-maru observes  
393 a relatively deep moist layer, but  $\theta_e$  is lower than when the first MCS devel-  
394 ops. Kagoshima-maru (Fig. 6b) and Seisui-maru (Fig. 6c) observe almost

395 saturated boundary layers with  $\theta_e$  close to 350 K, suggesting convectively  
396 unstable stratification.

Fig. 7

397 Time evolutions of surface wind speed,  $\theta_{eb}$ ,  $\theta_{ess}$  and convective available  
398 potential energy (CAPE) for parcels lifted from the deck level are shown  
399 in Fig. 7. Surface wind speed is larger than  $5 \text{ m s}^{-1}$  in the first half of  
400 the observation period. During the first MCS passage,  $\theta_{ess}$  observed by  
401 Kagoshima-maru and Seisui-maru is remarkably high as shown in Fig. 5,  
402 and  $\Delta\theta_e$  becomes larger than 10 K. Although Nagasaki-maru is located over  
403 the cooler SST region than the other vessels, surface wind is stronger than  
404 the others during the first two hours because of the approaching meso- $\beta$   
405 cyclone. Therefore, all the vessels indicate favorable conditions for release  
406 of the sea surface flux. The resulting heating in the boundary layer yields  
407 the largest CAPE during the observation period in Nagasaki-maru ( $839.5$   
408  $\text{J kg}^{-1}$ ) and Kagoshima-maru ( $786.6 \text{ J kg}^{-1}$ ) at 0200 UTC though smaller  
409 than those typically observed for MCSes over land. After that, all the vessels  
410 observe a marked decrease in  $\theta_{eb}$  and CAPE due to the convection and  
411 advection of cold dry air from the north as shown in Fig. 6. The decreasing  
412  $\theta_{eb}$  gradually recovers in almost the same time scale as the surface wind  
413 direction. At 1800 UTC when the second MCS was passing, although  $\theta_{eb}$   
414 of approximately 350 K is comparable to the values at 0200 UTC, CAPE  
415 observed by Seisui-maru is almost half of the values at 0200 UTC.

## 416 4. Sensitivity to initial uncertainties

417 In this section, sensitivity of the MCS representation to the initial un-  
418 certainties is examined using the ensemble simulations. The key features  
419 of the MCS predictability are identified by the comparison between the  
420 unperturbed run and the best-performing members.

### 421 4.1 Ensemble variability

Fig. 8

422 First, we examine the ensemble variability in D2 to investigate the flow-  
423 dependent uncertainties. The ensemble spread over the ECS shows similar  
424 distributions in D2 and D3 and becomes larger in the lower troposphere.  
425 Figure 8 shows the kinetic energy and specific humidity spreads on the 850  
426 hPa surface in D2 from the initial time to forecast time 36 h (FT36). At  
427 1200 UTC on the 18th, the kinetic energy spread becomes large in front of  
428 the trough extending eastward from the estuary of the Yangtze River (Fig.  
429 8a). This large spread grows further and moves eastward with the trough  
430 until FT24 (Fig. 8b, c), which could be interpreted as the uncertainty cor-  
431 responding to the development of the meso- $\beta$  cyclone. After that, the large  
432 spread takes an elongated form along the BFZ without distinct maxima  
433 (Fig. 8d). The water vapor spread keeps large and shows narrow maxima  
434 along the  $\theta_e$  front over the ECS (Fig. 8e-h) during the simulation period.  
435 This large spread corresponds to the variability in the location of the largest



436 meridional gradient of specific humidity, i.e., this signal represents the un-  
437 certainty in the location of the western part of the BFZ characterized by the  
438 water vapor front (Ninomiya and Akiyama 1992; Moteki et al. 2004b). The  
439 water vapor has the largest variability at FT24, and after that the spread  
440 becomes wide in the north of the ECS (Fig. 8h). The distribution of these  
441 ensemble spreads indicates that the simulated MCSes are affected by the  
442 upstream synoptic uncertainty.

Fig. 9

443 Next, the 1-h precipitation averaged in the vessel observation area is  
444 verified against the JMA radar composite (Fig. 9). The precipitation peaks  
445 associated with the two MCSes appear at 0400 and 1900 UTC in the JMA  
446 radar composite (black bars in Fig. 9a). The unperturbed downscaling  
447 simulation (blue curve in Fig. 9a) generally follows the time evolution of  
448 the radar composite but underestimates the precipitation amounts. The 6-h  
449 precipitation amounts for the two peaks (38.1 mm and 14.9 mm) are smaller  
450 than the observation (63.0 mm and 27.1 mm) by 30% and 45%, respectively.  
451 The small FSSs of the unperturbed run (0.12 for the first and 0.0 for the  
452 second) indicate the poor representation in precipitation patterns.

453 For the first precipitation peak, the 40-member ensemble simulations  
454 show significant variation of predicted precipitation in both peak timings  
455 and amounts (Fig. 9a, b). Approximately one-third members (13/40) pre-  
456 dict precipitation that is closer to the radar composite than does the unper-

457 turbed run (Fig. 9b). In addition, more than half members represent the  
458 precipitation pattern better than the unperturbed run as indicated by the  
459 higher FSSs. The 6-h precipitation amounts in the observation area and  
460 FSS are strongly correlated because the location of the precipitation peak  
461 corresponds well to the observation area (as will be shown in Fig. 10a).

462 For the second precipitation peak, there is small variation among mem-  
463 bers in the precipitation amounts, and most members underestimate the  
464 precipitation maxima in the observation area (Fig. 9a). All members in-  
465 cluding the unperturbed run show FSSs lower than 0.5 (Fig. 9c), indicating  
466 lower predictability of the second MCS than the first. This small variation  
467 may be partly because of the small spread in both kinetic energy and water  
468 vapor around the region where the second MCS develops (Fig. 8d, h).

#### 469 4.2 Key features for the first MCS

470 The first MCS had a heavy rainfall area over 100 mm/3 h near the center  
471 of the meso- $\beta$  cyclone having a minimum sea level pressure of 1006.1 hPa  
472 (Fig. 10a). Figure 11a and 11d show the latitude–height cross sections  
473 of the thermodynamic stability and winds, respectively, through the center  
474 of the cyclone at 0300 UTC of the JMA-MA. The BFZ is identified by  
475 a meridional  $\theta_e$  gradient at approximately 30°N. A deep MAUL rooted in  
476 the boundary layer reaches up to 700 hPa near the BFZ (Fig. 11a) as

Fig. 10

Fig. 11

477 observed by the vessels (Fig. 6). This deep MAUL developed due to the  
478 abundant water vapor supply in the middle troposphere from the southwest  
479 ocean (Fig. 4b) and the warm moist air in the lower troposphere that was  
480 advected by southerly winds toward the BFZ and then ascended along the  
481 frontal surface (Fig. 11a, d). The horizontal winds converge near  $30^\circ\text{N}$  with  
482 the southerly winds in the south and the easterly winds in the north, and  
483 the vertical shear of the horizontal winds is weaker below 850 hPa than  
484 above in the range of  $29^\circ\text{--}31^\circ\text{N}$  due to vertical mixing by the disturbance  
485 (Fig. 11d). Consequently, the enhanced convection in the BFZ intensifies  
486 the meso- $\beta$  cyclone.

487 In the unperturbed run, although the migration speed of the meso- $\beta$   
488 cyclone corresponds well with the JMA-MA, as does the precipitation peak,  
489 the predicted cyclone is weaker by approximately 2 hPa (with a minimum  
490 sea level pressure of 1007.9 hPa) and biased northward (Fig. 10b). This  
491 northward bias is caused by the northward migration of the predicted BFZ,  
492 with both the MAUL and wind convergence located at approximately  $31^\circ\text{N}$   
493 (Fig. 11b, e). Furthermore, the meridional  $\theta_e$  gradient within the boundary  
494 layer in the north of the BFZ is weaker than that of the JMA-MA, and the  
495 MAUL does not reach the surface (Fig. 11b), which indicates less active  
496 convection to intensify the meso- $\beta$  cyclone. The vertical shear of zonal  
497 winds around the MAUL is consistently stronger than that of the JMA-MA

498 owing to less vertical mixing (Fig. 11e). The inflow of high  $\theta_e$  air into the  
499 BFZ in the boundary layer is also weak due to the low  $\theta_e$  in the boundary  
500 layer and weak southerly winds in the south of the BFZ, which may be a  
501 factor of the boundary layer being more stable than that in the JMA-MA.

502 Member 40, which predicts the realistic amounts and temporal variation  
503 of precipitation (yellow-green curve in Fig. 9a) with the highest FSS of 0.69,  
504 significantly mitigates the northward bias of the meso- $\beta$  cyclone; its position  
505 corresponds well with the JMA-MA, although its intensity is overestimated  
506 by 1 hPa (with a minimum sea level pressure of 1004.9 hPa, Fig. 10c). In  
507 the meridional cross section through the cyclone center, the horizontal  $\theta_e$   
508 gradient over the BFZ is much stronger than either the JMA-MA or the  
509 unperturbed run up to the middle troposphere, and a deep MAUL develops  
510 to the south of this strong gradient (Fig. 11c). There is a large horizontal  
511 shear of zonal winds and a strong convergence below the MAUL (Fig. 11f).  
512 The horizontal winds have a horizontal shear of up to 500 hPa and a weak  
513 vertical shear around the disturbance due to the strong mixing by the overly  
514 intensified meso- $\beta$  cyclone. The  $\theta_e$  to the south of the BFZ ( $< 30^\circ\text{N}$ ) in the  
515 boundary layer is still lower than that of the JMA-MA, and the MAUL  
516 does not reach the surface, nor does the unperturbed run. However, the  
517 near-surface southerly winds are stronger than those in the unperturbed  
518 run and they supply warm and moist air to the BFZ, which contributes to

519 the formation of the MAUL in the south of the BFZ ( $29^{\circ}$ – $30^{\circ}$ N, Fig. 11c,f).

### 520 *4.3 Key features for the second MCS*

521 The second MCS produced line-shaped precipitation bands elongated  
522 east-northeastward although the rainfall was moderate than that with the  
523 first MCS (Fig. 10d). Unlike the first MCS, no distinct mesoscale distur-  
524 bance was present at that time. The unperturbed run generates a false  
525 meso- $\beta$  cyclone to the north of the observation area (Fig. 10e). This false  
526 cyclone induces a precipitation band which is too strong over the Kyushu  
527 region. In the south of the observation area, wide-spread precipitation oc-  
528 curs due to overestimated precipitable water in this region (not shown).  
529 Member 10 predicts the precipitation due to the second MCS better than  
530 the other members (Fig. 9c), though the peak timing is later than observed  
531 (dark blue curve in Fig. 9a). This member represents well the surface  
532 south-southwesterlies and a line-shaped rain band along the surface wind  
533 direction (Fig. 10f).

Fig. 12

534 The facts that the direction of surface winds and the rainband corre-  
535 sponds well and that the rising of  $\theta_e$  is observed only in the boundary layer  
536 (Fig. 6) suggest that the destabilization of the boundary layer over the  
537 warm ocean is likely to play a dominant role in the convective initiation.  
538 Figure 12 compares the distribution of surface heat flux (Eq. 3) between

539 the unperturbed run and member 10 at 2100 UTC. The unperturbed run  
540 (Fig. 12a) shows two precipitation regions, one is in the north of the obser-  
541 vation area due to the false cyclone (Fig. 10e) and the other is in the SST  
542 frontal zone. The latter is accompanied by the local maximum of  $F_{\text{es}}$ . The  
543 large release of surface heat flux in the SST frontal zone is more evident in  
544 member 10 (Fig. 12b). This large release of the surface heat flux is due to  
545 the local maximum of both  $\Delta\theta_e$  and surface wind speed induced by large  
546 pressure gradients in the SST frontal zone (Sasaki et al. 2012). The precip-  
547 itation region in member 10 is located downstream of this local maximum  
548 of the surface heat flux. There is a positive correlation (0.56) with a signif-  
549 icance level of 0.01 between the FSS of the second MCS precipitation (Fig.  
550 9c) and  $F_{\text{es}}$  averaged in the SST frontal zone ( $127^\circ\text{--}128^\circ\text{E}$ ,  $28.8^\circ\text{--}29.8^\circ\text{N}$ )  
551 during the same period. This relationship suggests that the surface heat  
552 flux in the SST frontal zone is an important factor for the predictability of  
553 the second MCS. However, the simulated flux has some limitations because  
554 both simulations fail to reproduce a large flux ( $> 500 \text{ K m h}^{-1}$ ) observed by  
555 Seisui-maru (dots at  $129.3^\circ\text{E}$ ,  $31^\circ\text{N}$  in Fig. 12) due to the underestimation  
556 of SST (Fig. 5). To clarify the influence of the ocean uncertainty on the  
557 second MCS, sensitivity experiments to SST are required, which is out of  
558 scope of this study.

## 5. Impact of intensive observations

This section investigates the effect of dense upper observations by the three vessels on the representation of the MCSes through the assimilation experiments.

### 5.1 Overall DA impact

Fig. 13

Impacts of the upper observations are displayed by variables in the time–height cross section of the horizontally averaged ensemble spread difference (CNTL – NOSHIP) in D2b (Fig. 13). Blue layers indicate a decrease in spread, i.e., uncertainty reduction that is attributable to the assimilation of the intensive observations. The CNTL spreads in zonal winds (Fig. 13a) and temperature (Fig. 13c) in the bottom layers begin to decrease compared with NOSHIP from 0400 UTC on the 19th, which may correspond to the abrupt change in temperature and wind direction with the meso- $\beta$  cyclone passage (Fig. 6). The difference between the two experiments becomes larger for all variables after 1200 UTC. For the wind components (Fig. 13a, b), a uniform spread reduction below 850 hPa is observed after 1500 UTC, whereas the reduction in the temperature spread (Fig. 13c) is relatively small but significant in the bottom layers. The spread of specific humidity (Fig. 13d) reduces more significantly and more widely than that of the other variables. Overall, it is found that the impact of the vessel observations is

579 large in the lower troposphere and has a large impact on water vapor.

580 Figure 14a–d shows the ensemble spread of CNTL on the 850 hPa sur-  
581 face averaged over the assimilation period in D2b. The zonal (Fig. 14a) and  
582 meridional (Fig. 14b) wind spreads are large to the east ( $31^\circ\text{N}$ ,  $125^\circ\text{--}127^\circ\text{E}$ )  
583 and to the southwest ( $28^\circ\text{--}30^\circ\text{N}$ ,  $119^\circ\text{--}121^\circ\text{E}$ ) of the trough extending from  
584 the continent (approximately  $30^\circ\text{N}$ , Fig. 8a), where the horizontal gradient  
585 of wind speed is large. The former is more pronounced for zonal winds  
586 and the latter for meridional winds. The spread maximum to the east of  
587 the trough probably represents the uncertainty of the position of horizon-  
588 tal wind shear due to the migration of the trough axis, and that to the  
589 southwest of the trough represent the uncertainty of the southerly winds  
590 carrying warm and moist air across the continent. In contrast, the spreads  
591 of both temperature (Fig. 14c) and specific humidity (Fig. 14d) reach their  
592 maximum over the strong meridional  $\theta_e$  gradient in the western ECS (Fig.  
593 8e–h) and represent the uncertainty in the position of the front.

594 The spread difference between CNTL and NOSHIP (Fig. 14e–h) is con-  
595 centrated around the observation area and its east (downstream) side for all  
596 variables except for specific humidity (Fig. 14h). The spread reduction in  
597 zonal winds (Fig. 14e) is larger than the other variables and extends zonally  
598 along the trough axis. The spread reduction in meridional winds (Fig. 14f),  
599 temperature (Fig. 14g), and specific humidity (Fig. 14h) commonly peaks



600 to the southwest of the observation area, corresponding to the southwesterly  
601 advection of warm and moist air. Changes in the specific humidity spread  
602 are also significant in the frontal zone upstream of the observation area,  
603 where the spread in CNTL is smaller to the north and larger to the south of  
604 the spread maximum than that in NOSHIP (Fig. 14h). This dipole spread  
605 change indicates southward movement of the spread maximum in CNTL  
606 relative to NOSHIP, and it could be interpreted to mean that the ensemble  
607 mean position of the front is located more southward in CNTL than  
608 in NOSHIP. The fact that only the specific humidity spread changes along  
609 the front is consistent with the characteristics of the water vapor front on  
610 the western part of the BFZ. These results indicate that the range of the  
611 influence of observation differs depending on the variables. The localization  
612 radius is common to all variables in this study, but the variable-dependent  
613 localization radius could have been alternatively used (Wang and Wang  
614 2023).

## 615 *5.2 Impact on the predictability of MCSes*

Fig. 15

616 The MCS representations in the assimilation experiments are evaluated  
617 using precipitation (Fig. 15). All members in NOSHIP including the un-  
618 perturbed analysis underestimate the precipitation peak associated with  
619 the first MCS around 0400 UTC (Fig. 15a). By contrast, CNTL shows

620 an abrupt increase in precipitation at 0400 UTC, and more than 75% of  
621 the members in CNTL predict a larger amount of precipitation than the  
622 radar composite at 0500 UTC, although the predicted precipitation peak is  
623 delayed by an hour relative to the observed peak. Although the impact of  
624 observations accumulates (Fig. 13), the second precipitation peak around  
625 1900 UTC is not reproduced by either CNTL or NOSHIP. Note that the  
626 increase in precipitation from 2100 to 2300 UTC in NOSHIP is due to the  
627 formation of a false meso- $\beta$  cyclone near the observation area (not shown)  
628 and does not represent the second peak associated with line-shaped rain  
629 bands (Fig. 10d). The difference in the impact of the vessel observations  
630 between the first and second MCSes is also clear in FSS (Fig. 15b, c). For  
631 the first MCS (Fig. 15b), the vessel observations significantly improve the  
632 representation of realistic precipitation in terms of both the amounts and  
633 patterns. For the second MCS (Fig. 15c), on the other hand, the vessel  
634 observations make little difference between the two experiments though the  
635 assimilation of conventional observations helps to produce better predictions  
636 of precipitation than the ensemble forecasts (Fig. 9c).

Fig. 16

637 We look into the difference of the first MCS representation between  
638 CNTL and NOSHIP. Figure 16 compares the 1-h accumulated precipita-  
639 tion, sea level pressure, and surface winds from 0100 to 0500 UTC 19 June  
640 between the radar composite with the JMA-MA, CNTL and NOSHIP. Both

641 CNTL and NOSHIP predict a small-scale cyclone developing around the  
642 western edge of the observation area and heavy local rainfall at the cyclone  
643 at 0100 to 0300 UTC. However, compared with the widespread radar rain-  
644 fall, both CNTL and NOSHIP underestimate the amount of precipitation  
645 averaged over the observation area (Fig. 15). In addition, the predicted  
646 cyclone at 0300 UTC in CNTL and NOSHIP is smaller than that in the  
647 JMA-MA in diameter of an outer closed isobar (approximately 30 km vs  
648 100 km) although the cyclone location corresponds well. The difference be-  
649 tween CNTL and NOSHIP is unclear up to this time, but NOSHIP shows  
650 a slightly faster eastward migration of the cyclone than does the JMA-MA.  
651 After the passage of the MCS through the observation area at 0300 UTC,  
652 CNTL has an obvious advantage over NOSHIP consistent with Fig. 15,  
653 and reproduces convective cells elongated in the southwest-northeast direc-  
654 tion and strong surface wind shear associated with the developed meso- $\beta$   
655 cyclone, whereas the cyclone in NOSHIP decays after 0400 UTC.

Fig. 17

656 The difference between CNTL and NOSHIP in the first MCS representa-  
657 tion is clear in the distribution of convection. Figure 17 shows the observed  
658 or simulated Himawari-8 AHI channel 13 BT, which represents the cloud-  
659 top height. A convective system located upstream of the observation area  
660 at 0100 UTC develops and moves eastward while merging with the small  
661 convective system on the south side of the observation area to develop into a

662 single zonally extended MCS (Fig. 17a). Although the MSM has an overall  
663 shallow bias in cloud-top height (high BT), deep convective clouds with BT  
664 of approximately 210 K develop at 0400 UTC in CNTL (Fig. 17b), cor-  
665 responding well to the observed clouds (Fig. 17a), whereas NOSHIP fails  
666 to represent deep clouds (Fig. 17c). The improvement in CNTL against  
667 NOSHIP is concentrated on the downstream side of the vessel observations,  
668 which is consistent with the large spread reduction in Fig. 14.

Fig. 18

669 The assimilation of the vessel observations improves not only the un-  
670 perturbed analysis but also the ensemble members. Figure 18 shows the  
671 probability of deep convection. Here BT of 215 K (white contours in Fig.  
672 18) is chosen as a proxy for deep convection, and the ratio of the number  
673 of members that predict  $BT < 215$  K to the ensemble size is shown in color  
674 shades. Few members of either CNTL or NOSHIP represent the upstream  
675 convective system in the earlier cycles, resulting in low reproducibility of  
676 the MCS until 0300 UTC. However, approximately one-third of the CNTL  
677 members represent deep convection just over the observation area (near  
678  $129^\circ\text{E}$ ,  $30.5^\circ\text{N}$ ) at 0300 UTC (Fig. 18a), whereas almost all members in  
679 NOSHIP still do not predict deep convection as observed. After that, the  
680 number of members in CNTL simulating deep convection increases rapidly  
681 with the assimilation cycles, and more than 80% predict deep convection to  
682 the east of the observation area ( $129^\circ\text{--}130^\circ\text{E}$ ,  $30.5^\circ\text{--}31.5^\circ\text{N}$ ) at 0500 UTC.

683 This high probability within the observed deep convective area indicates an  
684 increase in the number of successful ensemble members in the representation  
685 of the MCS because of the assimilation of the vessel observations.

Fig. 19

686 Next, we investigate the reason for the difference between the two exper-  
687 iments in the representation of the first MCS. Figure 19 shows the difference  
688 in the first analysis between CNTL and NOSHIP, i.e., the increment due  
689 to the vessel observations at 0000 UTC on the 19th. The wind increments  
690 in the lower troposphere (Fig. 19a) yield southerly winds to the south  
691 and easterly winds over and to the north of the observation area. These  
692 easterly winds enhance the horizontal wind shear in the frontal zone (Fig.  
693 11d). The wind direction of the increments has a cyclonic shear and in-  
694 duces convergence to the west of the observation area, which is consistent  
695 with the initiation of upstream convection. The increments in tempera-  
696 ture and specific humidity are also shown in the latitude–height (Fig. 19b)  
697 and longitude–height (Fig. 19c) cross sections. The temperature and spe-  
698 cific humidity increments have a larger variation in the meridional rather  
699 than the zonal direction. The temperature increment takes a dipole pattern  
700 across the front ( $29^{\circ}$ – $30^{\circ}$ N) with a positive increment in the south and a  
701 negative increment in the north, strengthening the frontal structure. The  
702 specific humidity increment moistens below 850 hPa in the frontal zone and  
703 supports the formation of MAUL. Thus, the vessel observations contribute

704 to a favorable environment for developing the first MCS from the initial  
705 cycle.

Fig. 20

706 The increments by the vessel observations yield clear differences in the  
707 environmental features between CNTL and NOSHIP at 0300 UTC on the  
708 19th, just before the conspicuous improvement of the first MCS in CNTL.  
709 Figure 20 shows the distribution of precipitable water and the vertically  
710 integrated water vapor flux of (a) CNTL and (b) NOSHIP. CNTL represents  
711 a larger amount of precipitable water over the observation area and to its  
712 west than does NOSHIP, which is favorable for the development of the  
713 MCS. Figure 21 shows the latitude–height cross section (the same location  
714 as Fig. 11) of the (a, c) thermodynamic and (b, d) wind fields. NOSHIP  
715 has MAUL with a northward bias (Fig. 21c), as does the downscaling  
716 from 1200 UTC on the 18th (Fig. 11b) due to a less steep meridional  $\theta_e$   
717 gradient and a weak lower convergence (Fig. 21d). Figure 21c also shows  
718 that the boundary layer is relatively cold and dry compared with that of the  
719 JMA-MA (Fig. 11a) because of the weak southerly flow near the surface  
720 (Fig. 21d). CNTL (Fig. 21a) mitigates this northward bias of MAUL and  
721 has a steep frontal structure similar to that of the JMA-MA. This frontal  
722 structural change is consistent with the increment in Fig. 19. CNTL also has  
723 stronger near-surface southerly flow into the BFZ and convergence below the  
724 MAUL (Fig. 21b) than those in NOSHIP. These wind structures enhance

Fig. 21

725 the heating and moistening of the boundary layer and the upward motion  
726 of this warm moist air, supporting the formation of the deep MAUL. These  
727 results indicate that the assimilation of dense observations by the vessels  
728 significantly improves the representation of the MCS mainly by correcting  
729 the atmospheric frontal structure. Note that the vessel observations have  
730 little impact on the improvement in the upstream MCS at 0100–0300 UTC  
731 on the 19th. Although the cumulative impact of the observations is certainly  
732 important, this failure is partly due to the significant underestimation of the  
733 upstream moisture content (Fig. 20a, b) compared to the JMA-MA (Fig.  
734 4b), which is largely determined by large-scale circulations. These large-  
735 scale features are difficult to modify only by the local observations from the  
736 vessels.

737 As shown in Fig. 15, the representation of the second MCS is relatively  
738 insensitive to the vessel observations. Although the assimilation of the vessel  
739 observations in CNTL helps to reproduce the realistic south-southwesterly  
740 flow near the surface and the convective initiation in the downstream region,  
741 the predicted convections decay faster than the observed (not shown). As  
742 discussed above, the second MCS can be considered to be driven by the  
743 continuous moisture supply from the warm tongue of the Kuroshio (Fig.  
744 12). Although the vessel observations increase the moisture amounts in  
745 the south of the observation area (Fig. 20c, d), they cannot contribute to

746 represent the significantly large amount of moisture where the second MCS  
747 has matured (around 130°E, 30°N, Fig. 4d, e). The importance of the  
748 boundary layer will be examined in the next section.

### 749 5.3 Comparison with the observations

Fig. 22

750 The time series of vertical profiles of the analyzed field of the two exper-  
751 iments (Fig. 22) are compared against radiosondes from the three vessels  
752 (Fig. 6). CNTL (Fig. 22a–c) successfully represents the deep layer with  
753 high  $\theta_e$  and abrupt temperature change after the first MCS passage, al-  
754 though the lower wind direction changes are not sufficiently reproduced.  
755 NOSHIP (Fig. 22d–f) also shows a slight increase in  $\theta_e$  at the location of  
756 Nagasaki-maru (Fig. 22d), but  $\theta_e$  remains lower at the other two ships’  
757 locations and the moist layer is shallower than that of the observations.  
758 Therefore, the first MCS does not develop well and  $\theta_e$  hardly decreases af-  
759 ter the passage. At the time of the second MCS passage, CNTL fails to  
760 reproduce the rise in  $\theta_e$  below 925 hPa observed by Kagoshima-maru and  
761 Seisui-maru (Fig. 6b, c), while NOSHIP maintains high  $\theta_e$  at lower levels  
762 close to the observations at the time of the second MCS passage (Fig. 22d–  
763 f) due to the poor development of the first MCS, and this high  $\theta_e$  probably  
764 leads to generating the false meso- $\beta$  cyclone.

Fig. 23

765 Figure 23 shows the surface wind speed,  $\theta_{eb}$ ,  $\theta_{ess}$ , and CAPE in the



766 two assimilation experiments equivalent to those of the vessel observations  
767 (Fig. 7). The surface wind speed of CNTL (Fig. 23a) corresponds well  
768 to that of the observations (Fig. 7) throughout the observation period and  
769 increases with the passage of the first MCS. The wind speed also increases in  
770 accordance with Kagoshima-maru and Seisui-maru when the second MCS  
771 passes, despite the precipitation amounts being underestimated. NOSHIP  
772 (Fig. 23b) shows a flat wind speed except at the end of the observation  
773 period due to the development of the false cyclone. CNTL also reproduces  
774 the abrupt increase in CAPE just before the first MCS due to the heating  
775 in the boundary layer (Fig. 23a), which are consumed by the development  
776 of intense convections. On the other hand, CAPE increases more slowly in  
777 NOSHIP than in CNTL, and the moderate CAPE ( $\sim 400 \text{ J kg}^{-1}$ ) is kept  
778 until the false cyclone passage in NOSHIP (Fig. 23b).

779 In contrast to the wind speed and CAPE, there are clear differences be-  
780 tween the observations and experiments in  $\theta_{\text{eb}}$  and  $\theta_{\text{ess}}$ . The  $\theta_{\text{ess}}$  values in the  
781 experiments are always cooler than the observed values and fluctuate little  
782 in contrast to the observed variation (Fig. 7) because the SST used in the  
783 experiments is cooler and smaller in its spatial variation than that observed  
784 (Fig. 5). Furthermore, the  $\theta_{\text{ess}}$  differences between the experiments are  
785 small because they only reflect the difference in sea level pressure. The  $\theta_{\text{eb}}$   
786 variations in CNTL are similar to those of the observations to some extent.

787  $\theta_{\text{eb}}$  increases before the passage of the first MCS and then decreases from  
788 0500 to 0700 UTC in CNTL.  $\Delta\theta_e$  becomes positive with this drop in  $\theta_{\text{eb}}$ ,  
789 which makes conditions more favorable for the sea surface flux than those  
790 in NOSHIP. However, because  $\theta_{\text{ess}}$  is lower and its decrease is slower than  
791 that of the observations, the time when  $\Delta\theta_e$  reaches its maximum is later  
792 than the time of the first MCS passage, and the sea surface flux is down-  
793 ward ( $\Delta\theta_e < 0$ ) at that time. Therefore, the contribution of sea surface  
794 flux to the development of the predicted MCS is limited in CNTL. Never-  
795 theless, the presence of a deep moist layer contributes significantly to the  
796 first MCS development, as discussed above, and this creates an observable  
797 difference between CNTL and NOSHIP in the first MCS representation. In  
798 the later observation period, both  $\theta_{\text{ess}}$  and  $\theta_{\text{eb}}$  fluctuate little, which is un-  
799 favorable to the release of the sea surface flux in either experiment. These  
800 results suggest that the effect of the surface heat flux from the warm ocean  
801 is underestimated for both MCSes in the assimilation experiments.

## 802 **6. Summary and discussion**

803 In this study, we performed nested ensemble simulations and ensemble  
804 data assimilation experiments for the MCSes in the BFZ using the NCEP re-  
805 gional spectral model. Two MCSes were captured by radiosondes launched  
806 hourly by three research vessels from 0000 UTC 19 to 0200 UTC 20 June

807 2022 over the ECS. These MCSes have contrasting features: the first one  
808 was accompanied by a meso- $\beta$ -scale cyclone, and the other consisted of some  
809 convective systems developing over the warm tongue of the Kuroshio.

810 This case study indicates that the predictability of the MCSes on the  
811 ECS depends on their development mechanisms. The development of the  
812 first MCS was mainly dominated by atmospheric features such as the meso- $\beta$   
813 cyclone that formed in front of the synoptic-scale trough and the formation  
814 of a deep moist unstable layer due to abundant moisture supply to the lower  
815 and middle troposphere. Hence, the synoptic-scale ensemble perturbations  
816 that reflect the uncertainties in the trough or water vapor front were able  
817 to represent the uncertainty of the MCS and showed significant variations  
818 in both the location and intensity of the MCS. A member with a more  
819 accurate representation of the MCS than the unperturbed run improved  
820 the representation of the strong meso- $\beta$  cyclone and the frontal structure  
821 with steep meridional  $\theta_e$  gradient and deep MAUL.

822 In addition, dense upper soundings by the three research vessels signif-  
823 icantly influenced the reproducibility of the first MCS. The vessel observa-  
824 tions had a significant impact on the lower troposphere and the downstream  
825 region. The influence of the observations on precipitation became clear just  
826 after the passage of the MCS. The unperturbed analysis of the CNTL rep-  
827 resented a strong meso- $\beta$  cyclone with realistic deep convection elongated

828 in the southwest-northeast direction, whereas a meso- $\beta$  cyclone in the NO-  
829 SHIP decayed fast. The difference between CNTL and NOSHIP in the  
830 representation of the MCS was also clear among the ensemble members.  
831 More than 80% of the CNTL ensemble members showed deep simulated  
832 convective clouds that corresponded well to the satellite observations. The  
833 increments due to the vessel observations steepened the front and moistened  
834 the frontal zone to increase the amount of precipitable water. These changes  
835 contributed to the formation of deep moist unstable layers and to the devel-  
836 opment of the MCS as suggested in Manda et al. (2024). However, inten-  
837 sive observations alone cannot improve the upstream MCS because of the  
838 significantly underestimated upstream moisture determined by large-scale  
839 circulations. These large-scale circulations are usually represented better in  
840 a global analysis than in a regional analysis because of the global coverage of  
841 the observation network, so an appropriate treatment of the global analysis  
842 in regional assimilations could improve the upstream representation in the  
843 BFZ, which will be addressed in future work.

844 In contrast to the first MCS, the second MCS has low reproducibility in  
845 both ensemble simulations and assimilations. The best-performing member  
846 in the ensemble simulations represented the large amount of surface heat  
847 flux in the SST frontal zone, and the heat flux in this zone was positively  
848 correlated to the precipitation patterns associated with the second MCS.

849 This suggests the importance of the sharp frontal structure in SST for the  
850 development of the second MCS. However, the comparison of simulations  
851 with the observations revealed that the heat supply from the warm ocean  
852 to the boundary layer was underestimated throughout the observation pe-  
853 riod due to a cold SST bias in the warm Kuroshio current imposed on all  
854 simulations. This underestimation of the ocean influence may result in the  
855 unclear impact of the vessel observations on the second MCS. Therefore,  
856 improving the prediction of this MCS would require SST to be as accurate  
857 as possible. However, accurate SST in the BFZ is difficult to obtain because  
858 SST observations rely largely on the microwave sounders that cannot mea-  
859 sure SST under heavy rainfall conditions. As a result, there is significant  
860 variability in the representation of SST over the ECS in the Baiu season  
861 between the different products. To represent the uncertainty of the SST,  
862 the ensemble of SST should be considered like the atmospheric ensemble.  
863 Kunii and Miyoshi (2012) and Duc et al. (2015) showed that SST per-  
864 turbations had positive impacts on both the typhoon track and intensity  
865 forecasts. The SST ensemble could also be useful for evaluating the influ-  
866 ence of the uncertainty in the SST on the MCS. Whereas the multi-center  
867 SST ensemble reflects the uncertainty of the observations, ocean dynamics  
868 also has its own growing modes. Although a fully coupled atmospheric-  
869 ocean assimilation system may be able to introduce the influence of ocean

870 dynamical uncertainty into atmospheric variability, determining the impact  
871 of atmospheric observations on the ocean or vice versa is complicated (Ko-  
872 mori et al. 2018). Ohishi et al. (2023) produced an ensemble analysis  
873 product called local ensemble transform Kalman filter-based ocean research  
874 analysis (LORA) to incorporate oceanographic dynamic uncertainty into  
875 the estimation of analysis uncertainty. Such ensemble products would be  
876 useful for simply reflecting the impact of ocean uncertainty on atmospheric  
877 disturbances. Furthermore, we should consider the uncertainties in the sur-  
878 face physics and planetary boundary layer schemes since the effect of surface  
879 heat flux on the atmosphere is determined by surface conditions and verti-  
880 cal diffusion. The sensitivity experiments that take into consideration the  
881 uncertainties in SST and physics schemes will be reported elsewhere.

## 882 **Data availability statement**

883 All data from the ensemble experiments by the NCEP MSM will be  
884 provided upon request. The NCEP GFS forecast data and PREPBUFR  
885 were obtained from the National Oceanic and Atmospheric Administration  
886 (NOAA) Operational Model Archive Distributed System (NOMADS). The  
887 data in June 2022 are currently available at the National Center for Atmo-  
888 spheric Research (NCAR) Research Data Archive (RDA). The radar com-  
889 posite rainfalls and the mesoscale operational analysis of the JMA were ob-

890 tained from the database of Research Institute for Sustainable Humanosphere,  
891 Kyoto University. Himawari-8 gridded data was obtained from the P-tree  
892 System of the Japan Aerospace Exploration Agency. These data are avail-  
893 able at the following URLs:  
894 Radar: [http://database.rish.kyoto-u.ac.jp/arch/jmadata/data/jma-radar/  
895 synthetic/original/](http://database.rish.kyoto-u.ac.jp/arch/jmadata/data/jma-radar/synthetic/original/)  
896 JMA mesoscale analysis: [http://database.rish.kyoto-u.ac.jp/arch/  
897 jmadata/data/gpv/original/](http://database.rish.kyoto-u.ac.jp/arch/jmadata/data/gpv/original/)  
898 Himawari-8: <https://www.eorc.jaxa.jp/ptree/index.html>

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## List of Figures

1121	1	Computational domains . . . . .	58
1122	2	DA design . . . . .	59
1123	3	Large-scale environmental fields . . . . .	60
1124	4	Environmental features . . . . .	61
1125	5	SST and ship tracks . . . . .	62
1126	6	Observed vertical profiles . . . . .	63
1127	7	Observed surface state . . . . .	64
1128	8	Ensemble spread . . . . .	65
1129	9	Precipitation comparison . . . . .	66
1130	10	SLP and precipitation . . . . .	67
1131	11	Meridional cross sections . . . . .	68
1132	12	Surface heat flux . . . . .	69
1133	13	Analysis spread difference . . . . .	70
1134	14	Time-averaged analysis ensemble spread . . . . .	71
1135	15	Boxplots of precipitation . . . . .	72
1136	16	SLP and precipitation in DA . . . . .	73
1137	17	Comparison of cloud-top brightness temperature . . . . .	74
1138	18	Ensemble probabilistic forecast of the brightness temperature	75
1139	19	Incremental difference at 0000 UTC 19 June . . . . .	76
1140	20	Precipitable water in DA . . . . .	77
1141	21	Meridional cross sections in DA . . . . .	78
1142	22	Vertical profiles in DA . . . . .	79
1143	23	Surface state in DA . . . . .	80

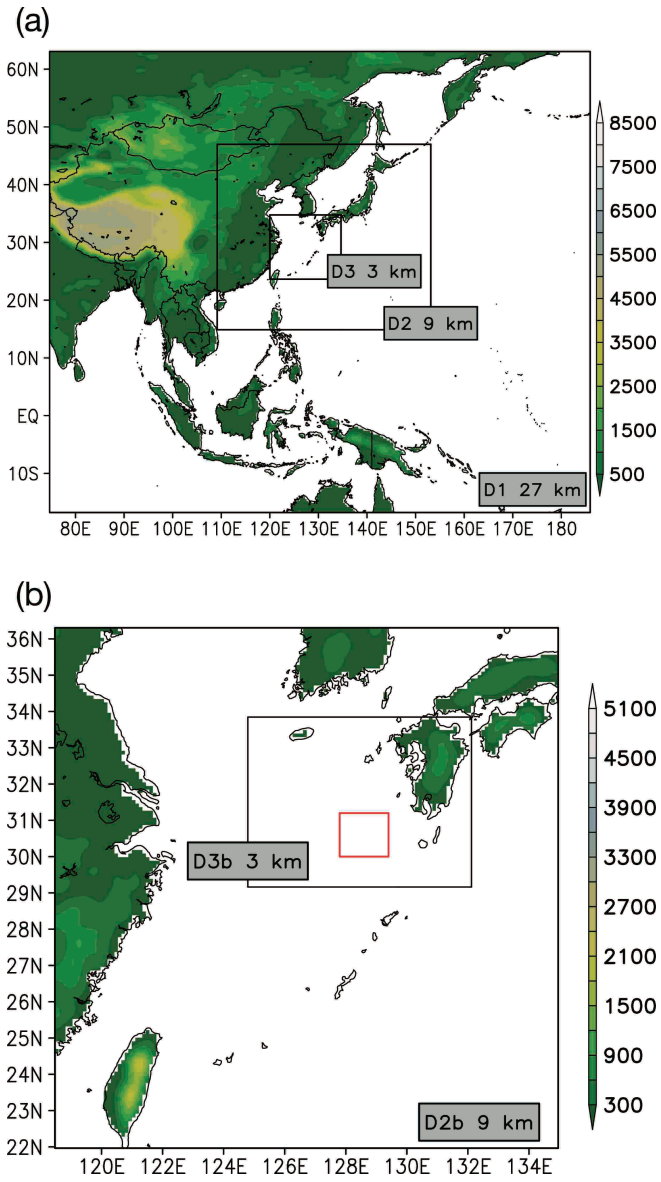


Fig. 1. Computational domains with model terrain heights (m) for (a) ensemble simulations (D1–3) and (b) assimilation experiments (D2b, D3b). Red box in (b) indicates the observation area of the three vessels.

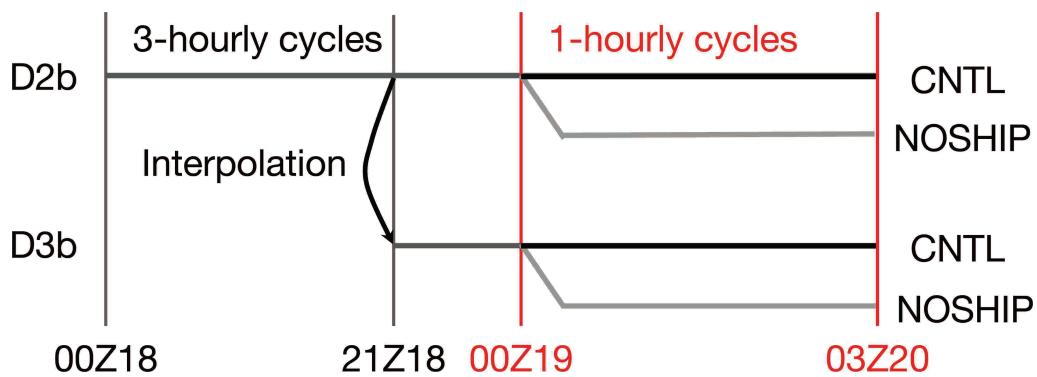


Fig. 2. Schematic diagram for assimilation cycles. The three-hourly cycles in the two domains (D2b, D3b) begin at 0000 UTC on the 18th and 2100 UTC on the 18th, respectively. The hourly CNTL and NOSHIP cycles in D2b and D3b start at 0000 UTC on the 19th and end at 0300 UTC on the 20th.

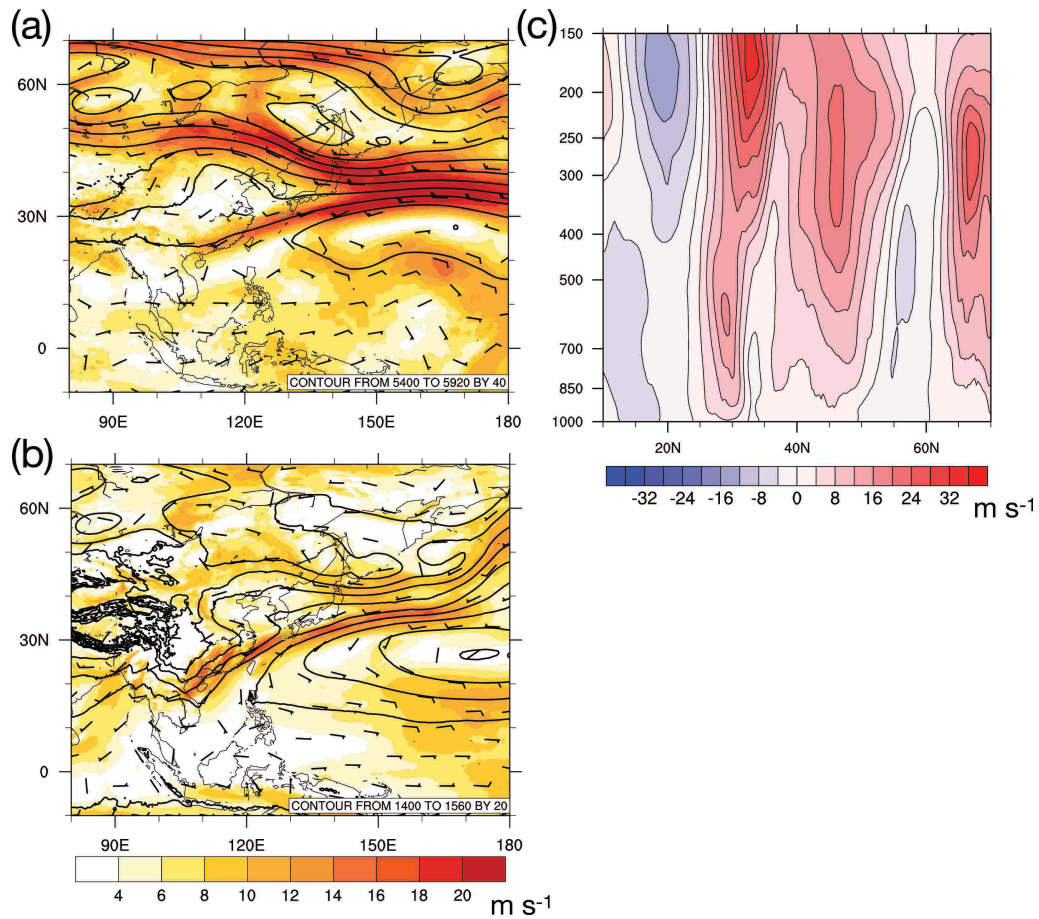


Fig. 3. Large-scale environmental fields of the NCEP GSM initial analysis averaged from 0000 UTC 18 to 1200 UTC 20 June 2022. Horizontal wind speed ( $\text{m s}^{-1}$ , color) and geopotential height (gpm, contour) on the (a) 500 hPa and (b) 850 hPa surfaces; (c) meridional cross section of zonal winds ( $\text{m s}^{-1}$ ) averaged from 125°E to 130°E.

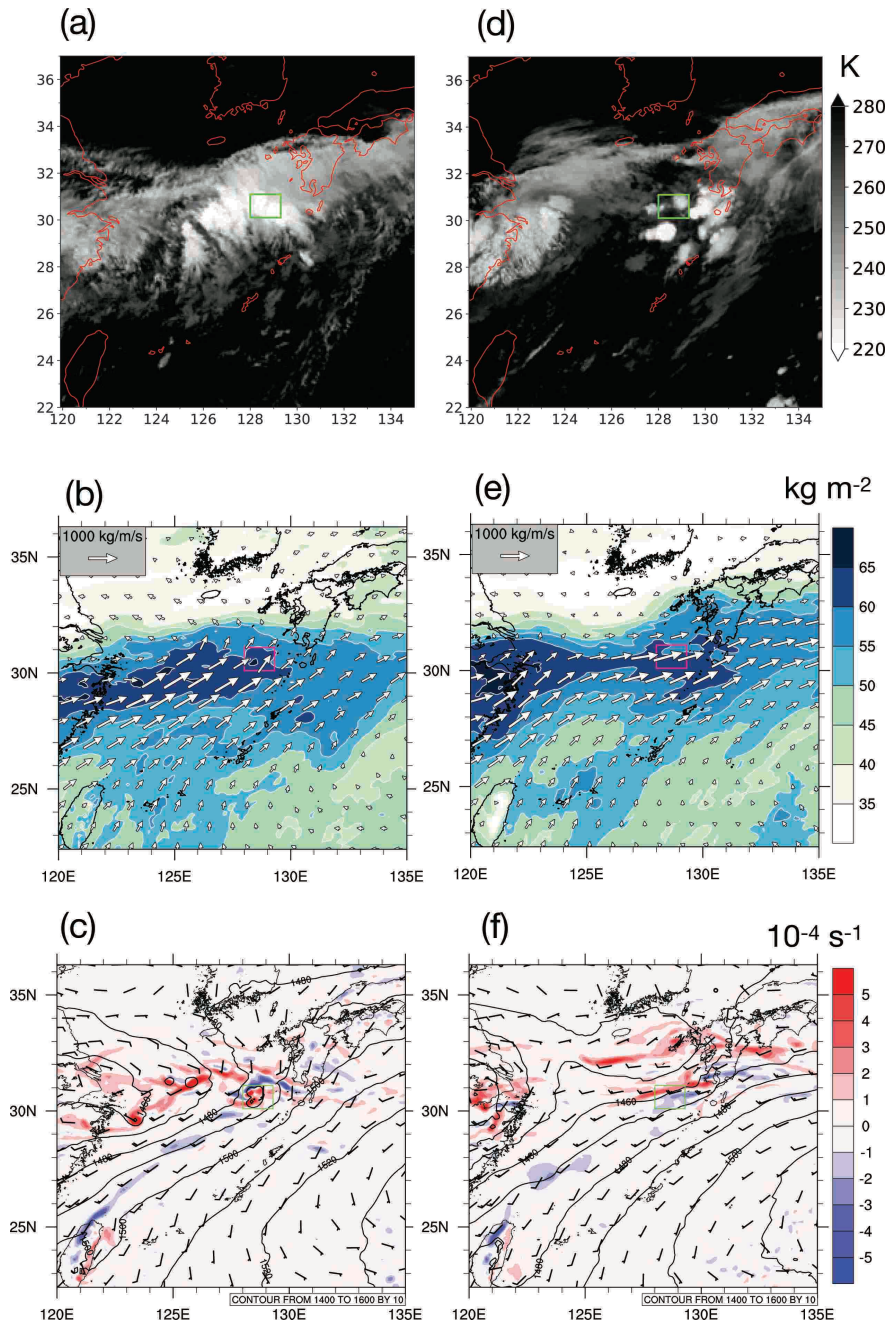


Fig. 4. Environmental features at (a–c) 0300 UTC and (d–f) 2100 UTC 19 June 2022. (a, d) Brightness temperature (K) at the cloud-top (channel 13) of Himawari-8. (b, e) Vertically integrated water vapor flux (scale located upper left, vector) and precipitable water ( $\text{kg m}^{-2}$ , color), and (c, f) relative vorticity ( $10^{-4} \text{ s}^{-1}$ , color) and geopotential height (gpm, contour) at the 850 hPa surface from the JMA-MA. A Box in each plot indicates the vessel observation area.

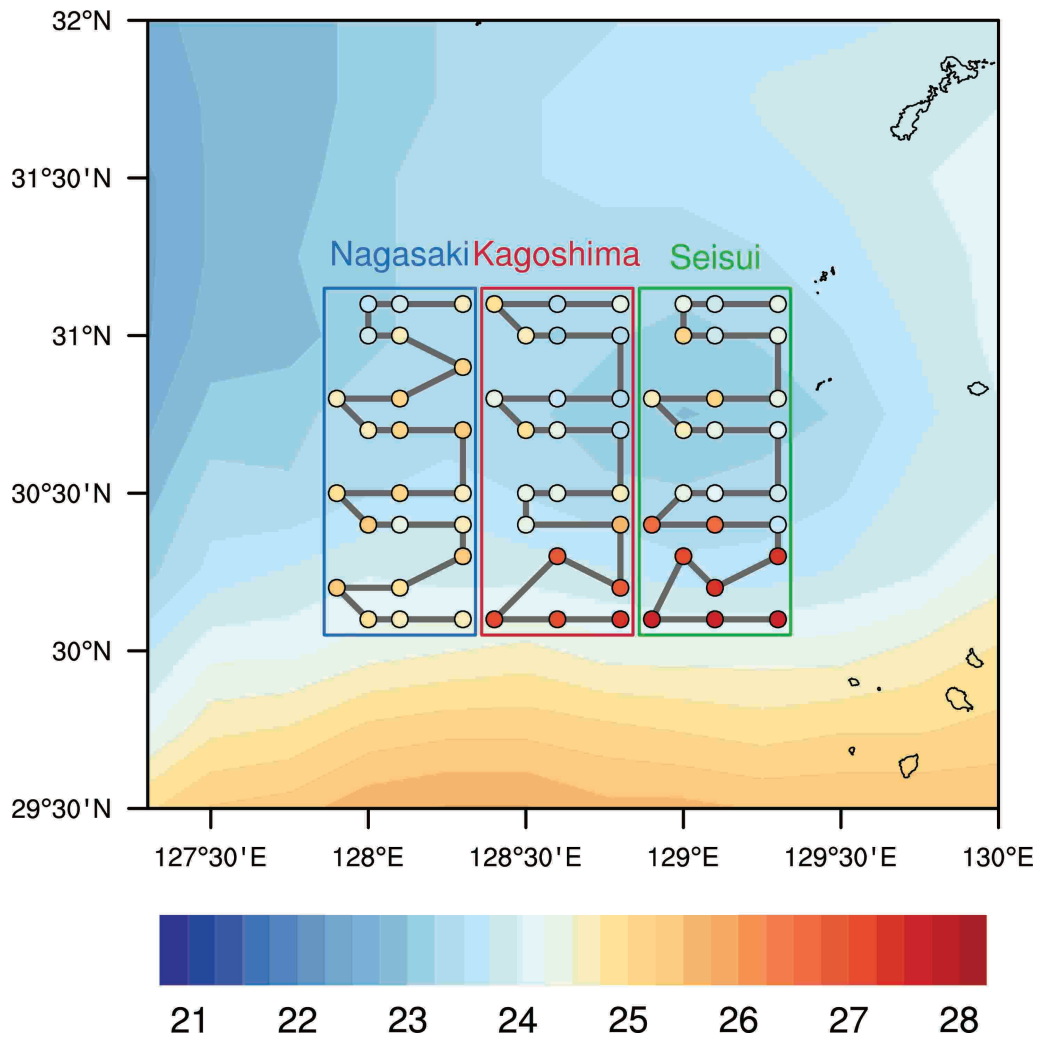


Fig. 5. Sea surface temperature ( $^{\circ}\text{C}$ ) prescribed in assimilation experiments and observed by the three vessels (circles). Gray lines show the ship tracks.



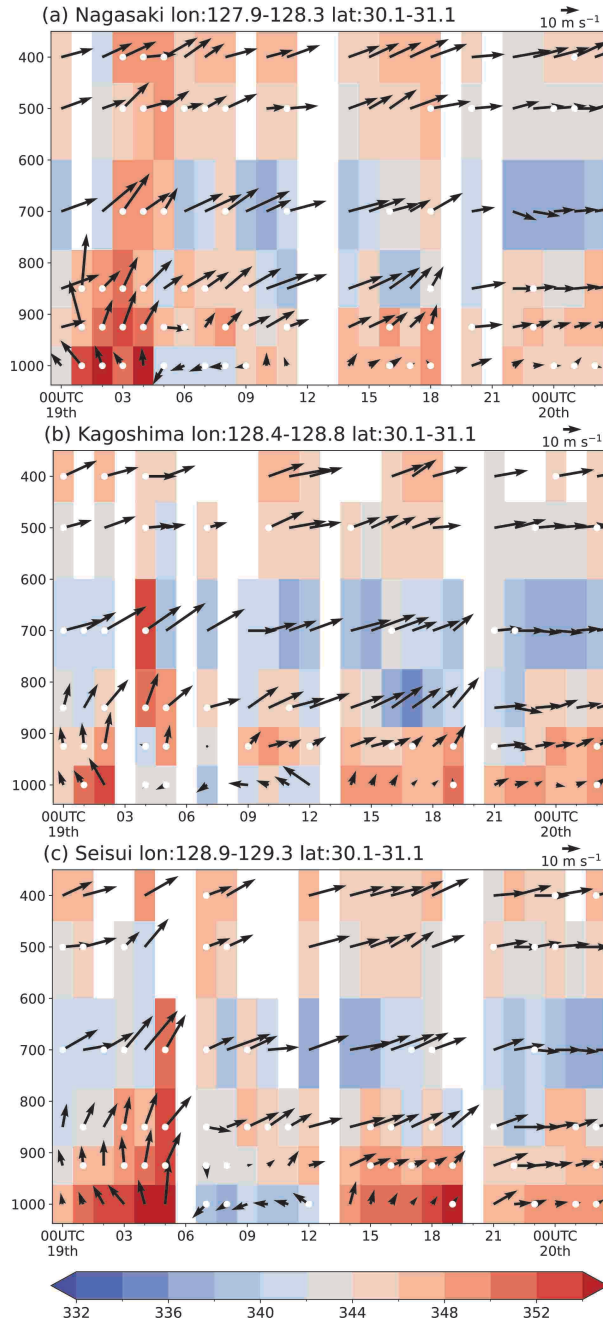


Fig. 6. Time–height cross sections of  $\theta_e$  (K, color) and horizontal wind ( $\text{m s}^{-1}$ , arrows) at the observation locations of (a) Nagasaki-maru (between  $127.9^\circ\text{E}$  and  $128.3^\circ\text{E}$ ), (b) Kagoshima-maru (between  $128.4^\circ\text{E}$  and  $128.8^\circ\text{E}$ ) and (c) Seisui-maru (between  $128.9^\circ\text{E}$  and  $129.3^\circ\text{E}$ ). The arrow scale is shown in the upper right corner. White dots indicate the layers in which the relative humidity is over 95%.

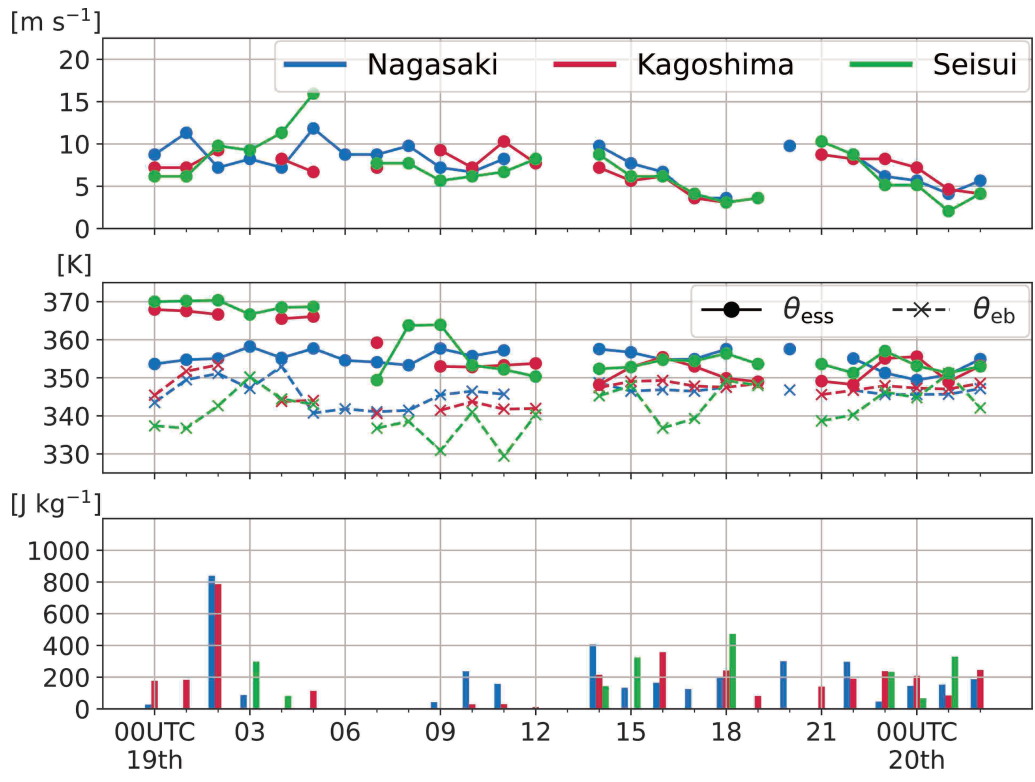


Fig. 7. Surface wind speed ( $\text{m s}^{-1}$ , top panel),  $\theta_{\text{ess}}$  (solid) and  $\theta_e$  on the deck (dashed, middle panel), and CAPE ( $\text{J kg}^{-1}$ , bottom panel) observed by the vessels.

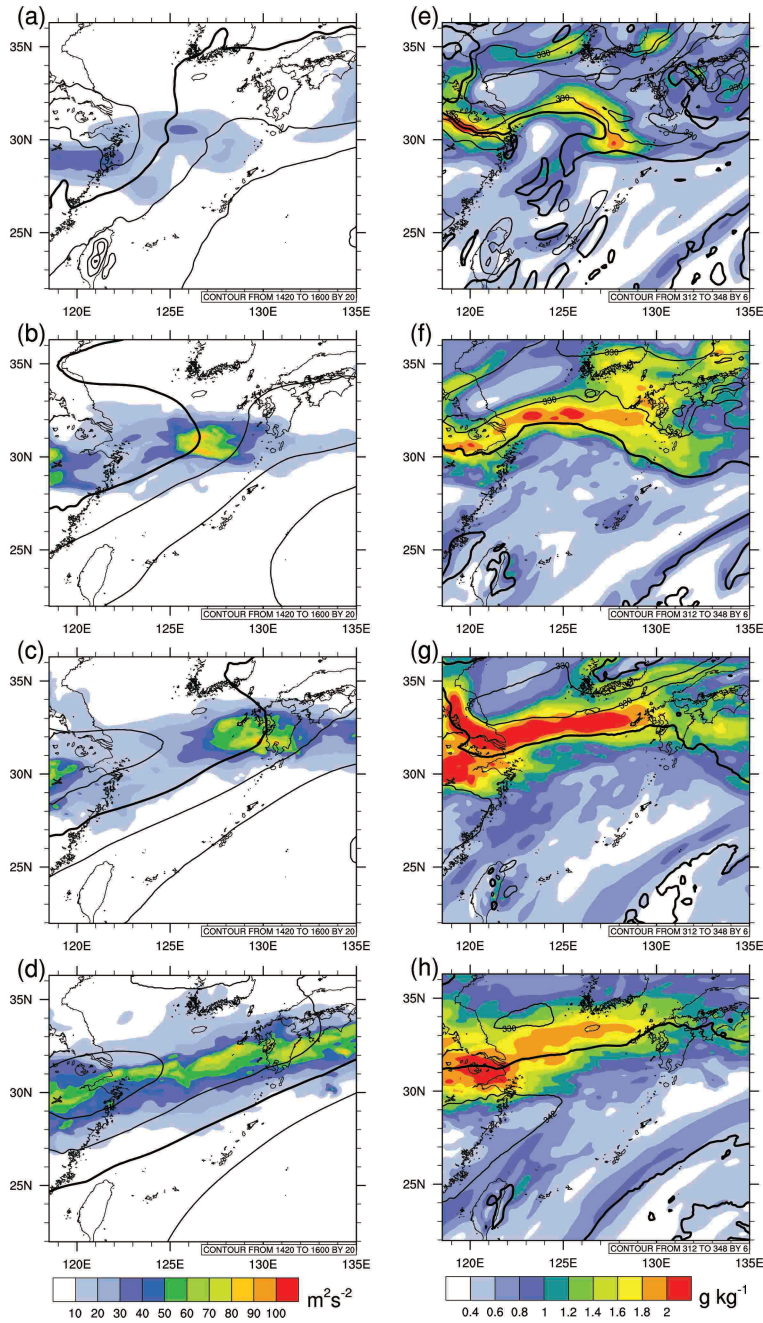


Fig. 8. Ensemble spread in D2 every 12 hours from the initial time to the 36-h forecast. (a) Kinetic energy spread ( $\text{m}^2 \text{s}^{-2}$ , color) and the ensemble mean geopotential height (gpm, contour) and (b) specific humidity spread ( $\text{g kg}^{-1}$ , color) and the ensemble mean  $\theta_e$  (K, contour) at the 850 hPa surface. Thicker contours indicate (a) 1500 gpm and (b) 336 K.

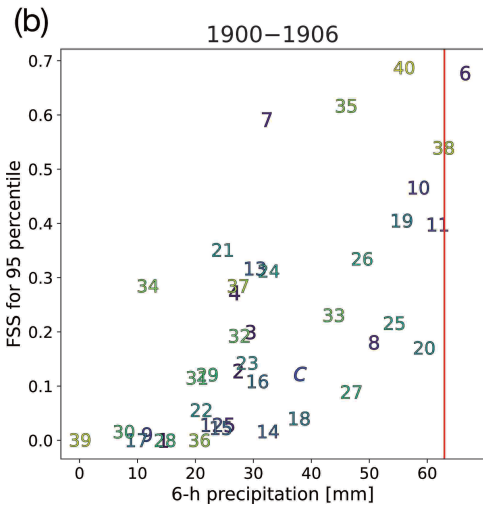
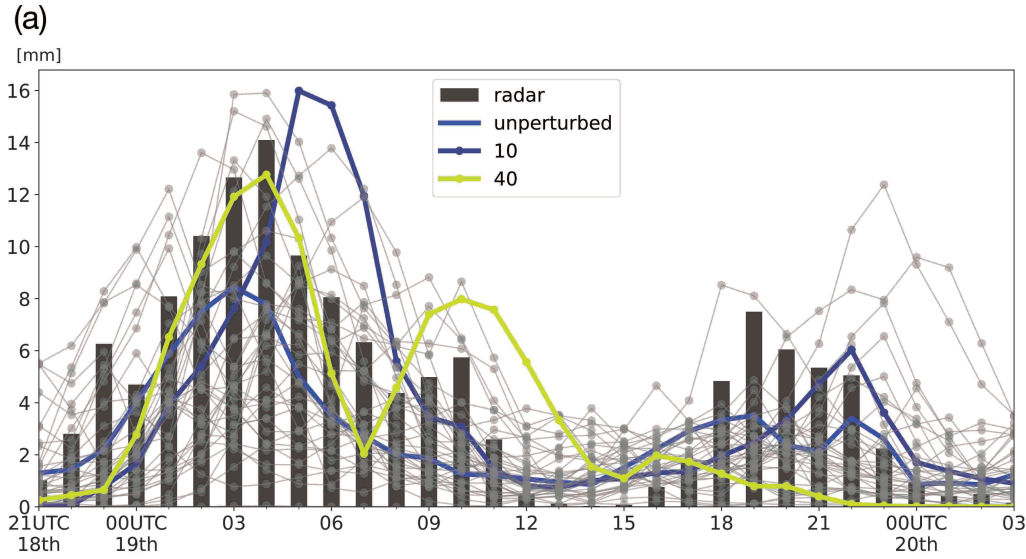


Fig. 9. (a) Precipitation accumulated for the preceding 1-h (mm) averaged in the observation area from 2100 UTC on the 18th to 0300 UTC on the 20th June. The horizontal axis indicates the valid date in UTC. Black bars indicate the precipitation from the JMA radar composite. Blue, dark blue, and yellow-green curves show the unperturbed run, member 10 and 40 in D3, respectively. Gray curves show the other ensemble members. (b, c) Fractions Skill Score (ordinate) and accumulated precipitation in the observation area (mm, abscissa). The mark "c" represents the unperturbed run. Red vertical lines show 6-h precipitation in the observation area obtained from the JMA radar composite. (b) 0000 to 0600 UTC on the 19th and (c) 1800 UTC on the 19th to 0000 UTC on the 20th.

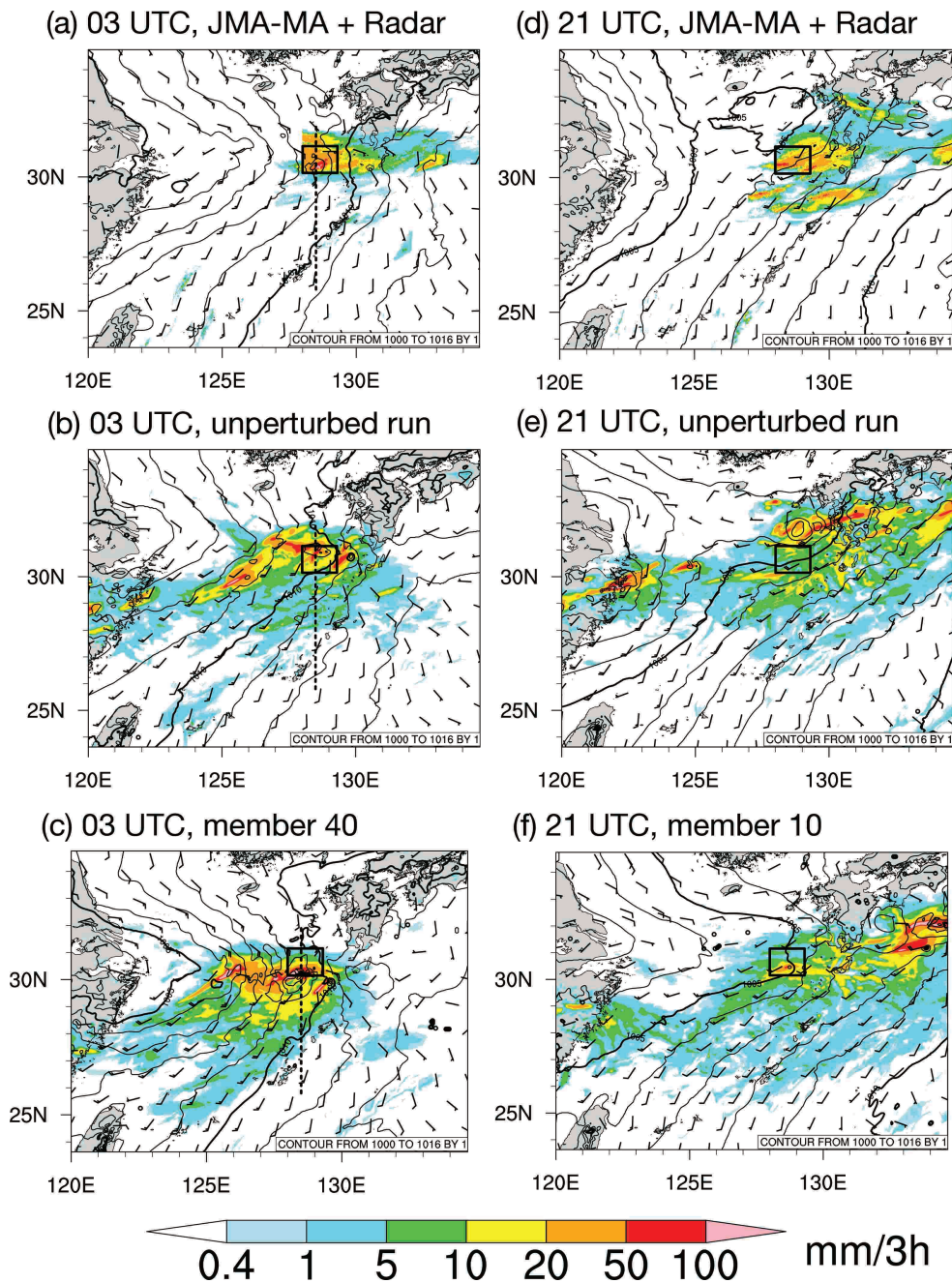


Fig. 10. Mean sea level pressure (contour, per 1 hPa), surface winds (wind barbs) and accumulated precipitation during preceding 3-h (color, mm) at (a–c) 0300 UTC and (d–f) 2100 UTC 19 June. (a, d) The JMA-MA (sea level pressure and winds) and the JMA radar composite, (b, e) the unperturbed run, (c) member 40 and (f) member 10 in D3. Black boxes indicate the observation area.

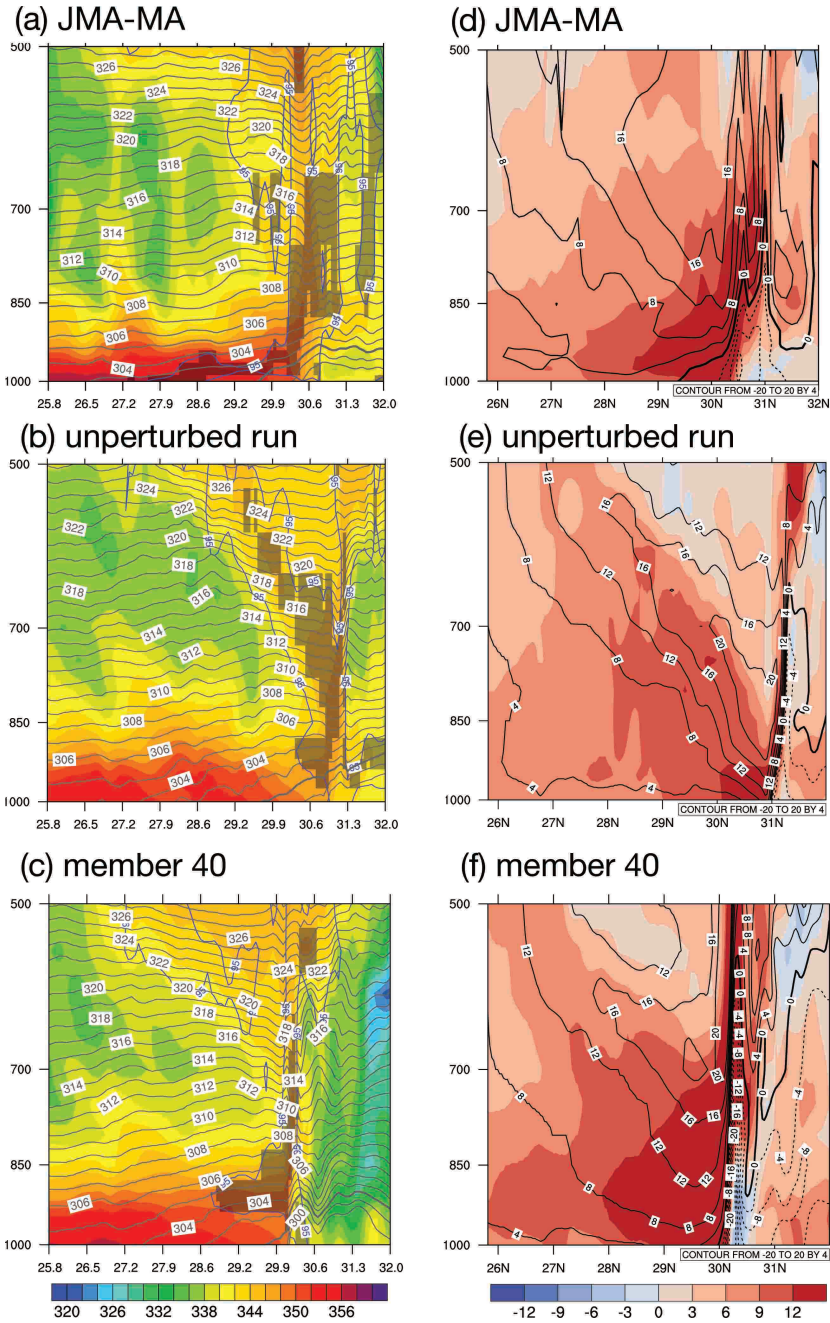


Fig. 11. Meridional cross sections at dashed lines in Fig. 10a–c at 0300 UTC 19 June. (a–c)  $\theta_e$  (color, K), virtual  $\theta$  (gray contour, per 1 K), 95% relative humidity (blue contour), MAUL (gray shades), and (d–f) zonal winds (contours in  $4 \text{ m s}^{-1}$  interval) and meridional winds ( $\text{m s}^{-1}$ , color shades). For zonal winds, negative contours are dashed, and zero contours are thicker than the others. (a, d) The JMA-MA, (b, e) the unperturbed run and (c, f) member 40 in D3.

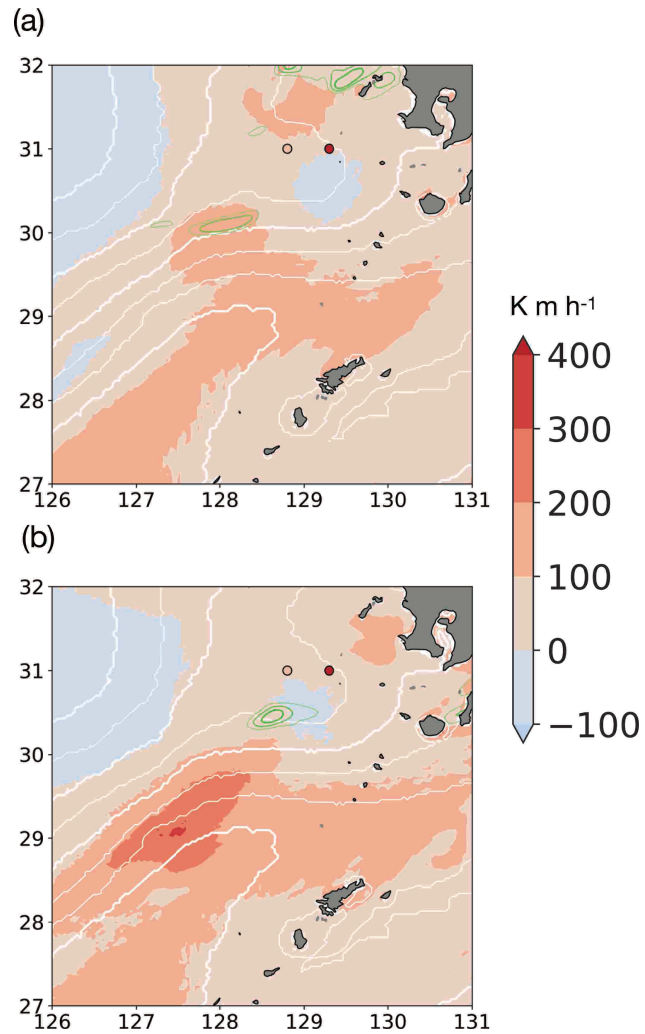


Fig. 12.  $F_{es}$  ( $\text{K m h}^{-1}$ , color) and  $\theta_{ess}$  (white contours, interval 3 K) at 2100 UTC 19 June for (a) the unperturbed run and (b) member 10 in D3. The values calculated from the observations by two vessels (Seisui-maru and Kagoshima-maru, Nagasaki-maru is missing) are marked by circles. Green contours indicate the preceding 1-h precipitation amount (8, 16, and 32 mm).

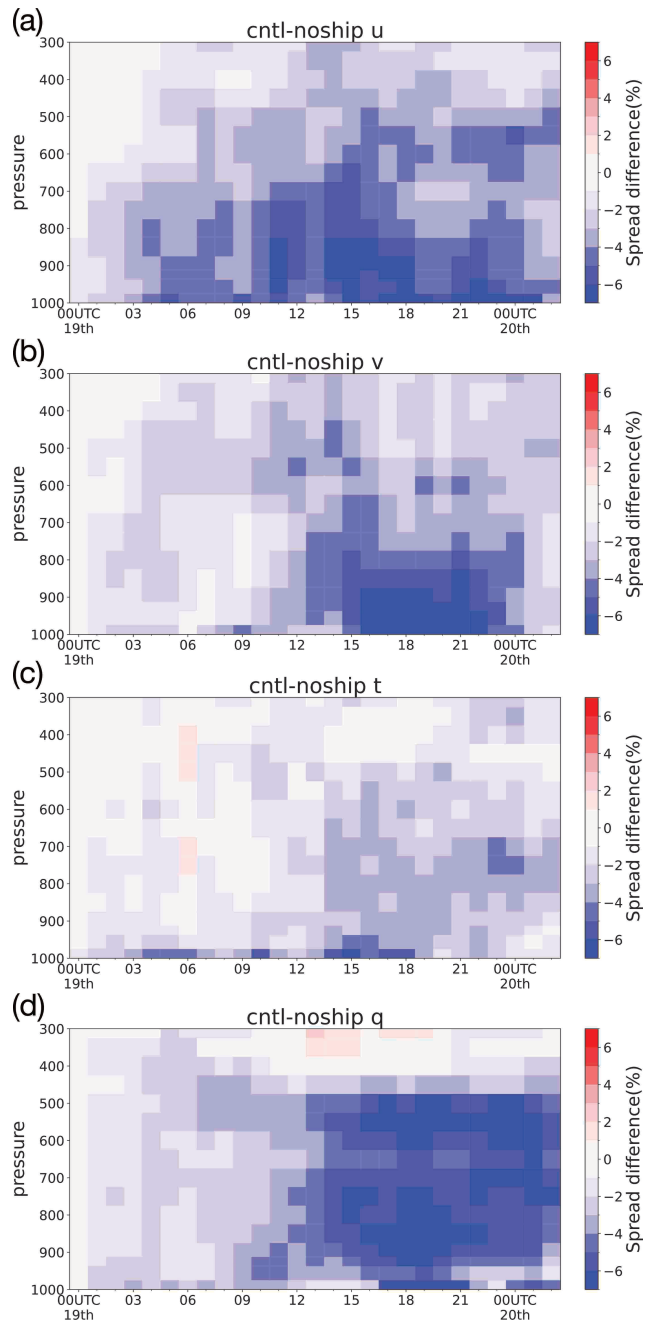


Fig. 13. Time–height cross sections of the difference of analysis ensemble spread (%) of CNTL from NOSHIP averaged in D2b for the (a) zonal winds, (b) meridional winds, (c) temperature, and (d) specific humidity.



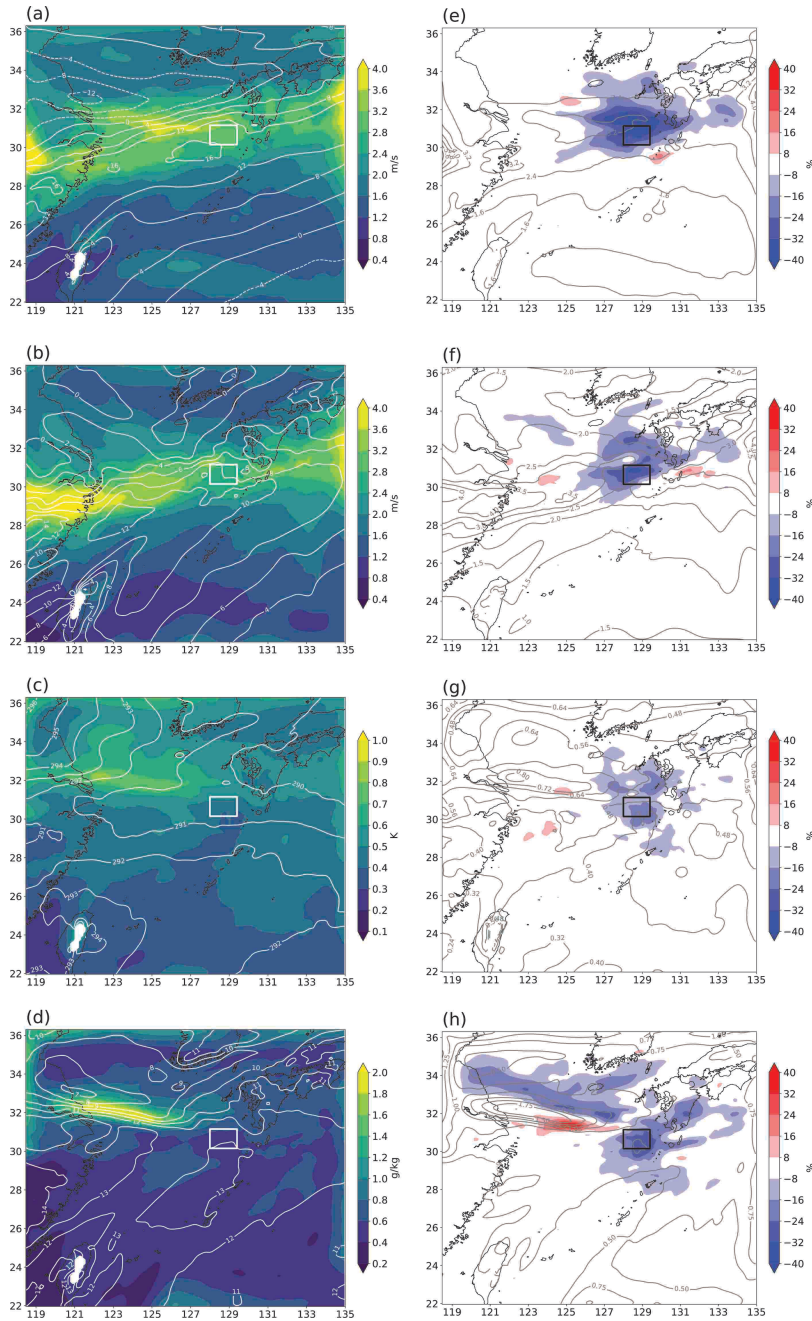


Fig. 14. Time-averaged analysis ensemble spread at the 850 hPa surface of CNTL in D2b for (a) zonal wind, (b) meridional wind, (c) temperature, and (d) specific humidity. White contours show the ensemble mean state of each variable. (e–h) As for (a–d) but showing the difference (%) of CNTL from NOSHIP. Gray contours indicate the ensemble spread of CNTL. Thick white (a–d) or black (e–h) boxes in each panel indicate the observed area.

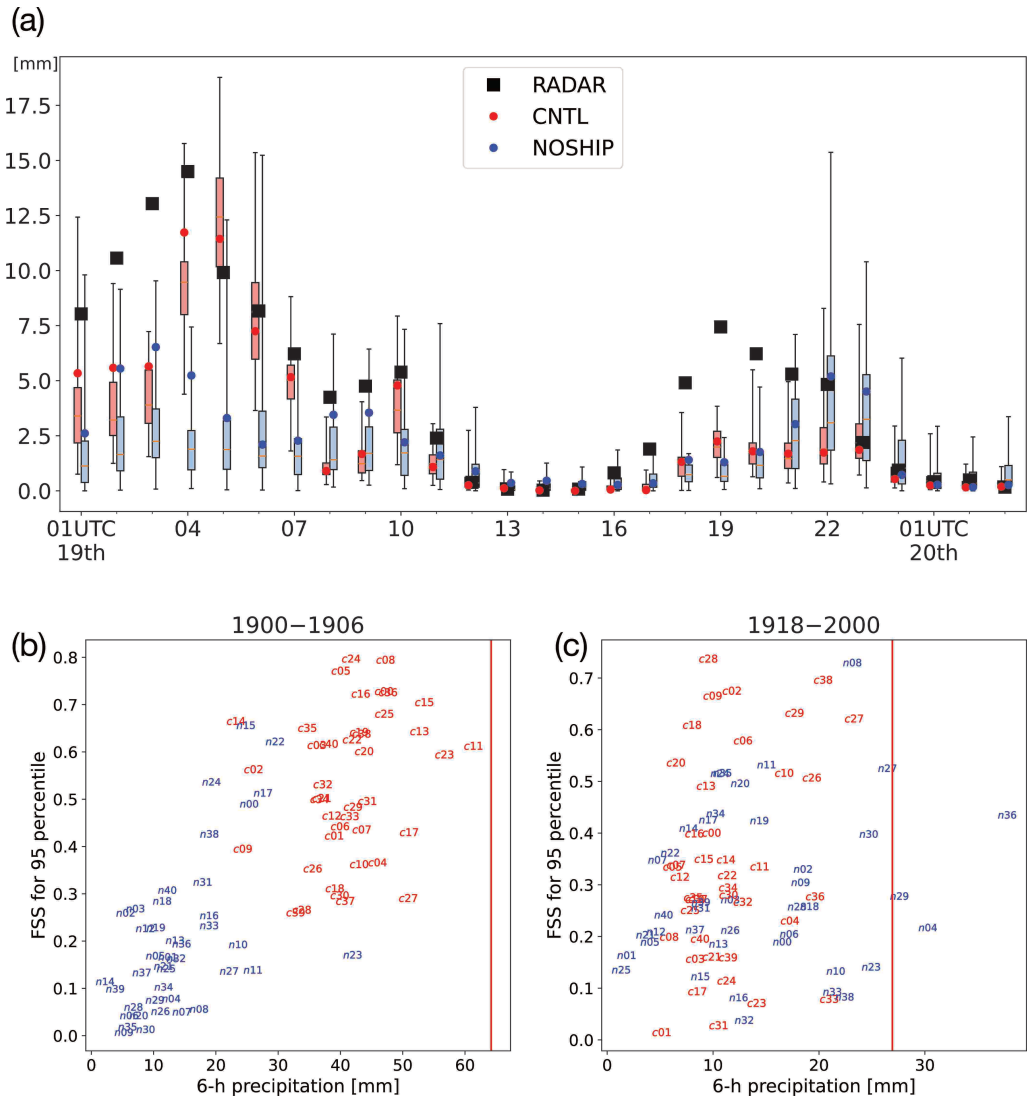


Fig. 15. (a) Boxplots of 1-h accumulated precipitation (mm) averaged in the observation area. The horizontal axis indicates the valid date in UTC. Red (Blue) markers and boxes represent the CNTL (NOSHIP) unperturbed analysis and members in D3b. Black rectangles show the radar composite. (b, c) As in Fig. 9b, c, but for six consecutive cycles of CNTL (red) and NOSHIP (blue).

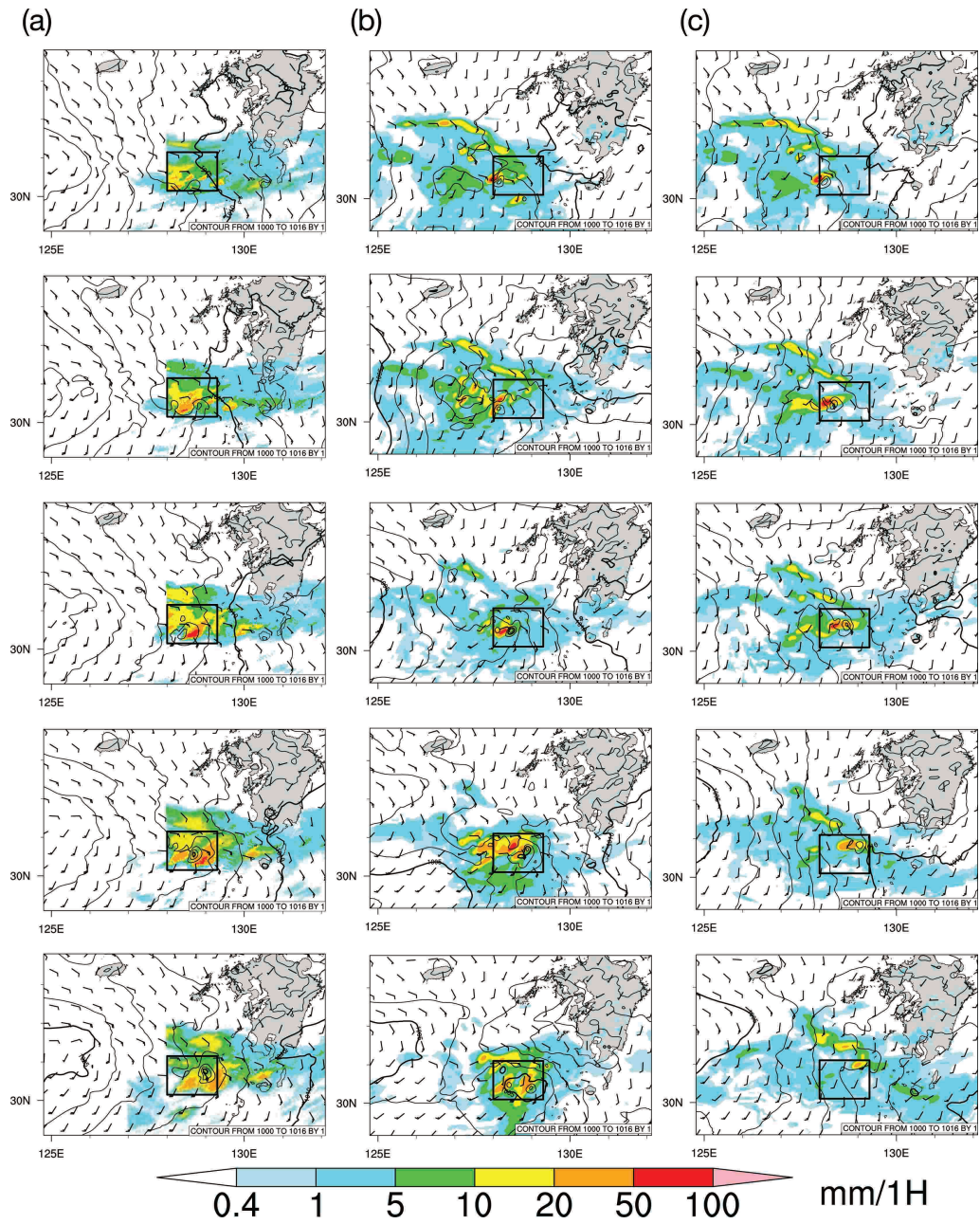


Fig. 16. As in Fig. 10 but for precipitation accumulated for the preceding 1-h from 0100 to 0500 UTC 19 June. (a) The JMA radar composite and the JMA-MA. 1-h forecast from the unperturbed analysis in D3b of (b) CNTL and (c) NOSHIP.

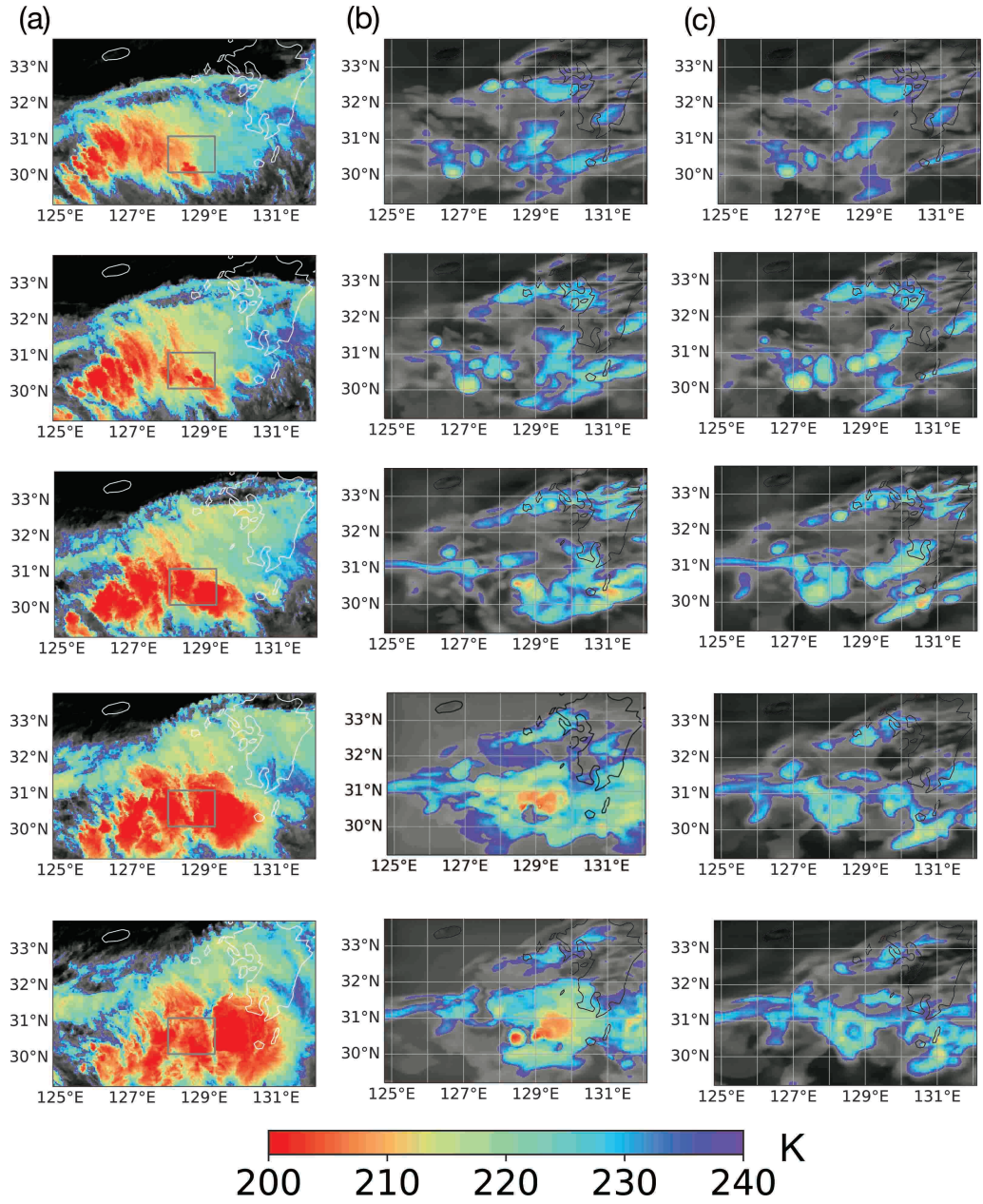


Fig. 17. Comparison of cloud-top brightness temperature (BT, K) from 0100 to 0500 UTC 19 June. (a) Channel 13 of Himawari-8. Simulated BT for 1-h forecast from the unperturbed analysis in D3b of (b) CNTL and (c) NOSHIP.

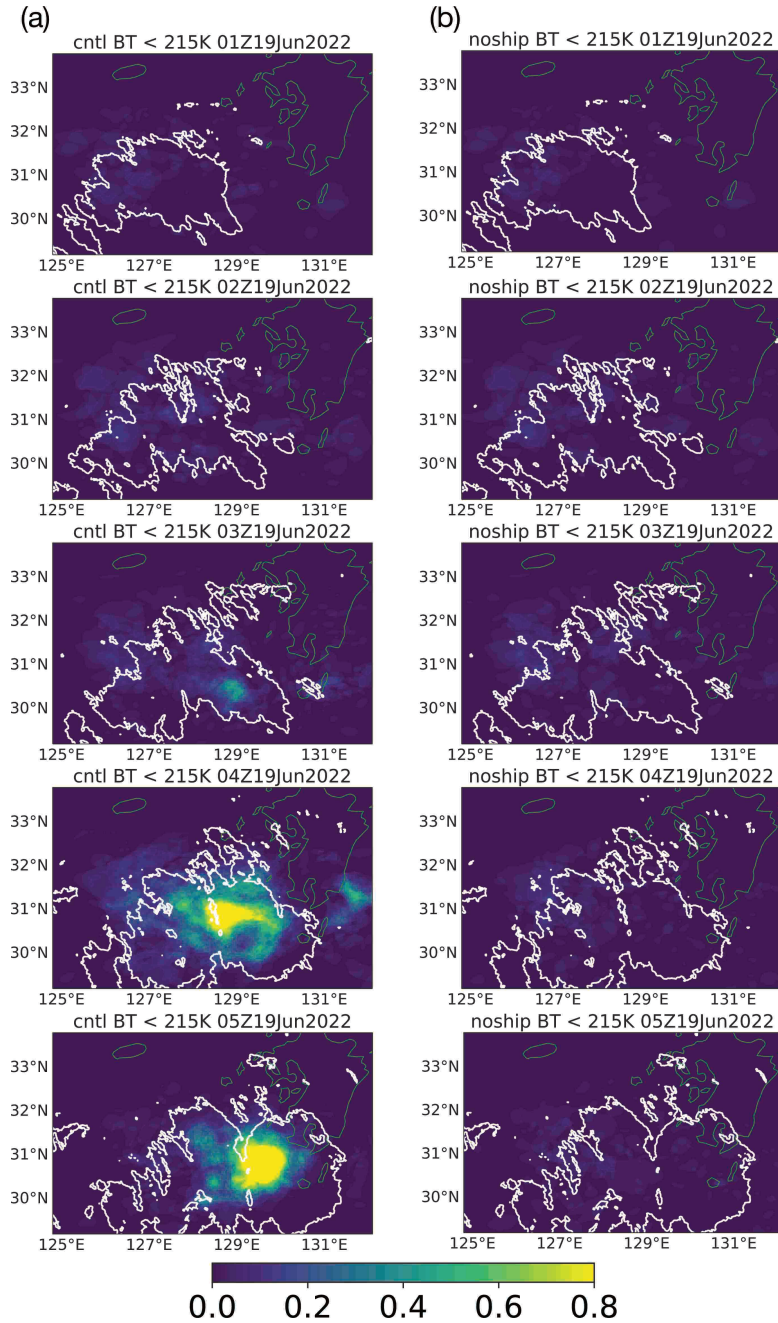


Fig. 18. Ensemble probabilistic forecast of the brightness temperature (BT) from 0100 to 0500 UTC 19 June of (a) CNTL and (b) NOSHIP in D3b. The color of each grid indicates the ratio of the number of members whose BT < 215 K to the ensemble size. White contours show the observed BT of 215 K.

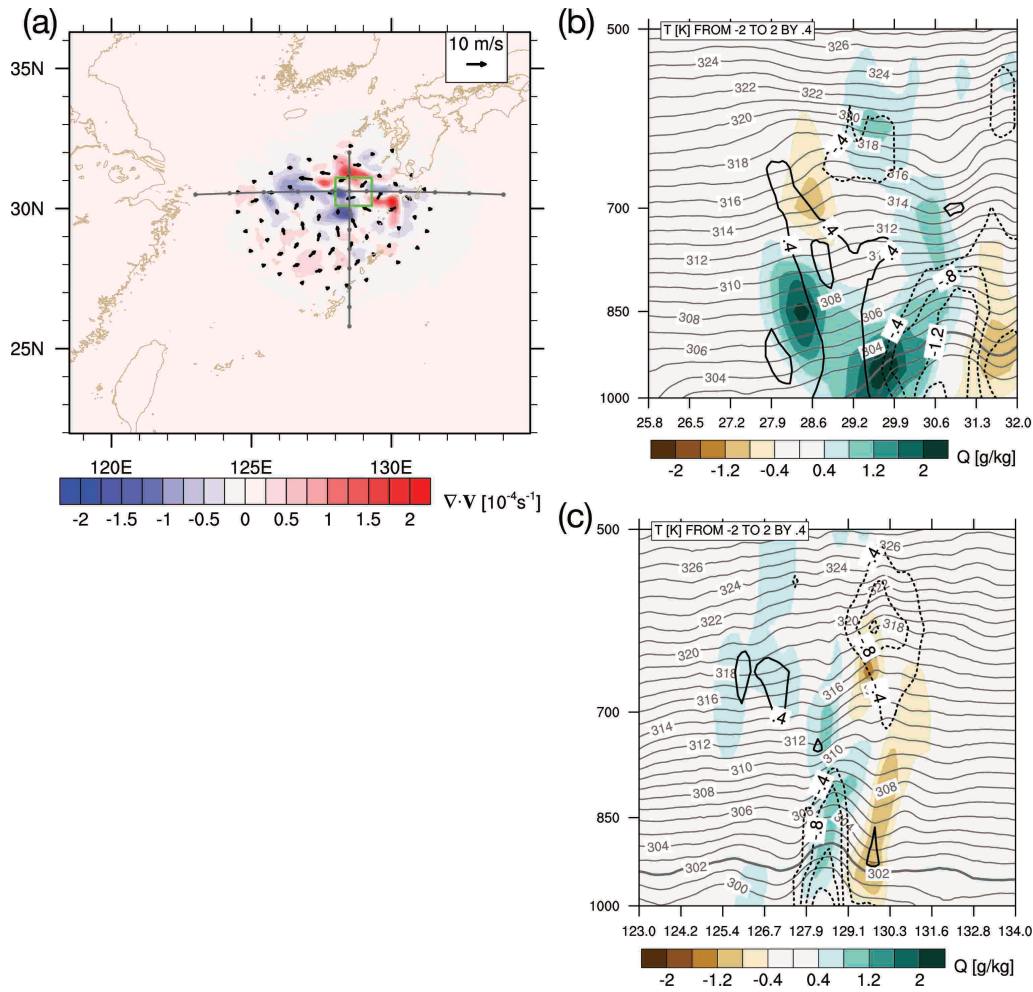


Fig. 19. Incremental difference at 0000 UTC 19 June (the first cycle) between CNTL and NOSHIP in D2b. (a) Horizontal wind (scale located in upper right, vector) and divergence ( $10^{-4} \text{ s}^{-1}$ , color) at the 950 hPa surface. (b) Meridional and (c) zonal cross sections shown in (a) for temperature (K, contour, dashed curves are negative) and specific humidity ( $\text{g kg}^{-1}$ , color). Gray contours show the analysis of virtual  $\theta$  (K).

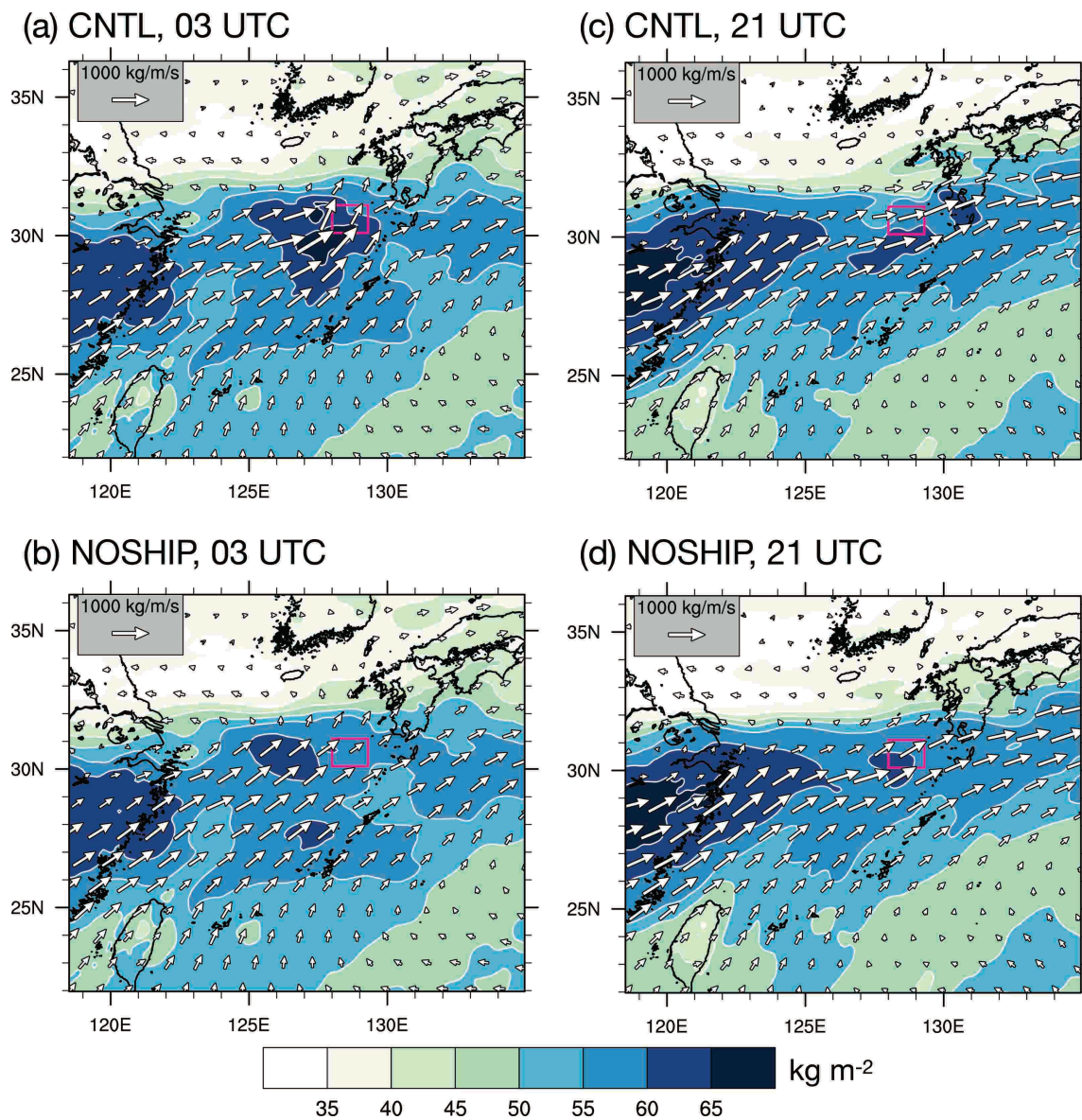


Fig. 20. As in Fig. 4b, e but for the ensemble mean state at (a, b) 0300 UTC (the fourth cycle) and (c, d) 2100 UTC (the 22th cycle) 19 June in D2b of (a, c) CNTL and (b, d) NOSHIP.

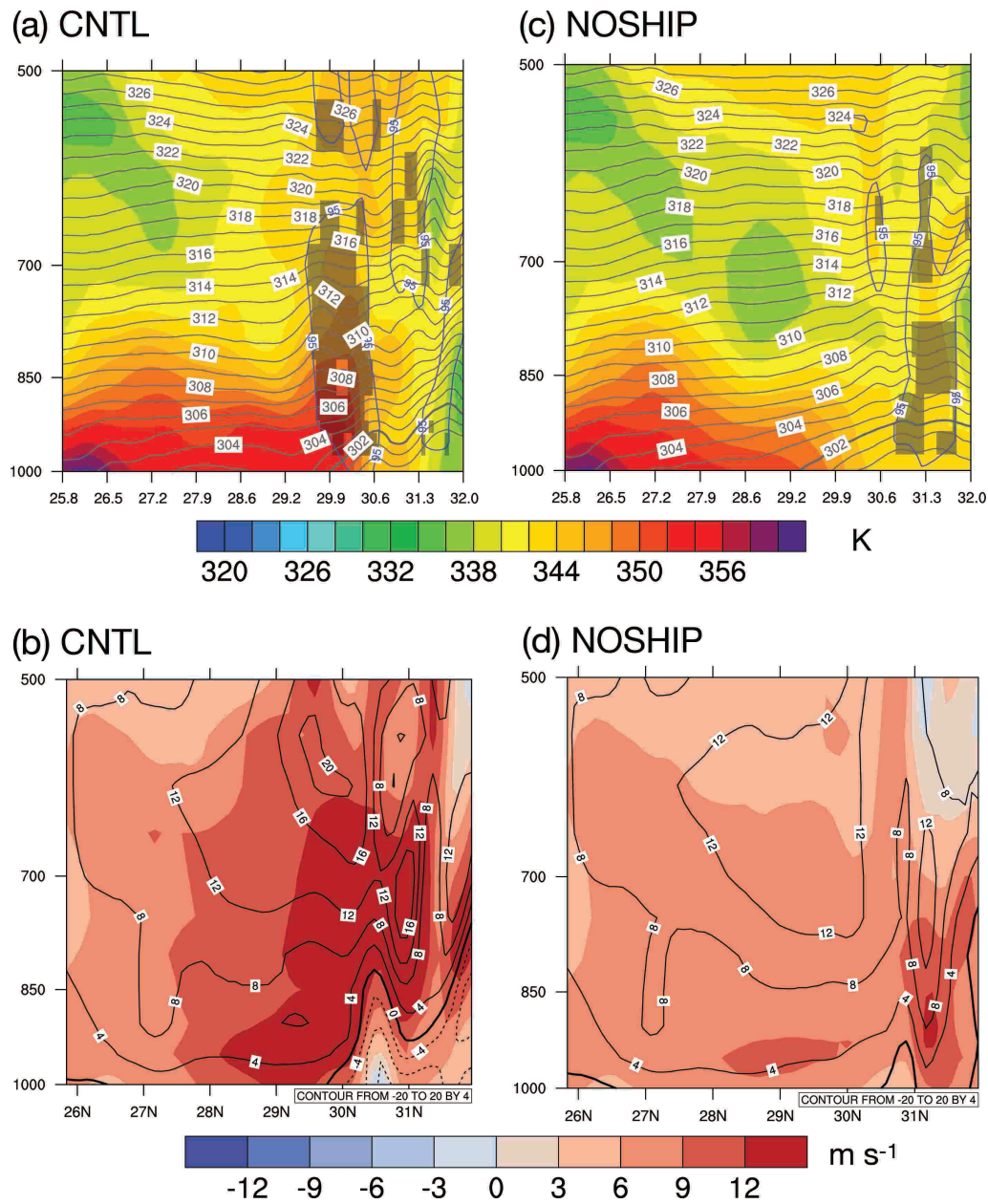


Fig. 21. As in Fig. 11 but for the ensemble mean state at 0300 UTC 19 June (the fourth cycle) in D2b. (a, b) CNTL and (c, d) NOSHIP.



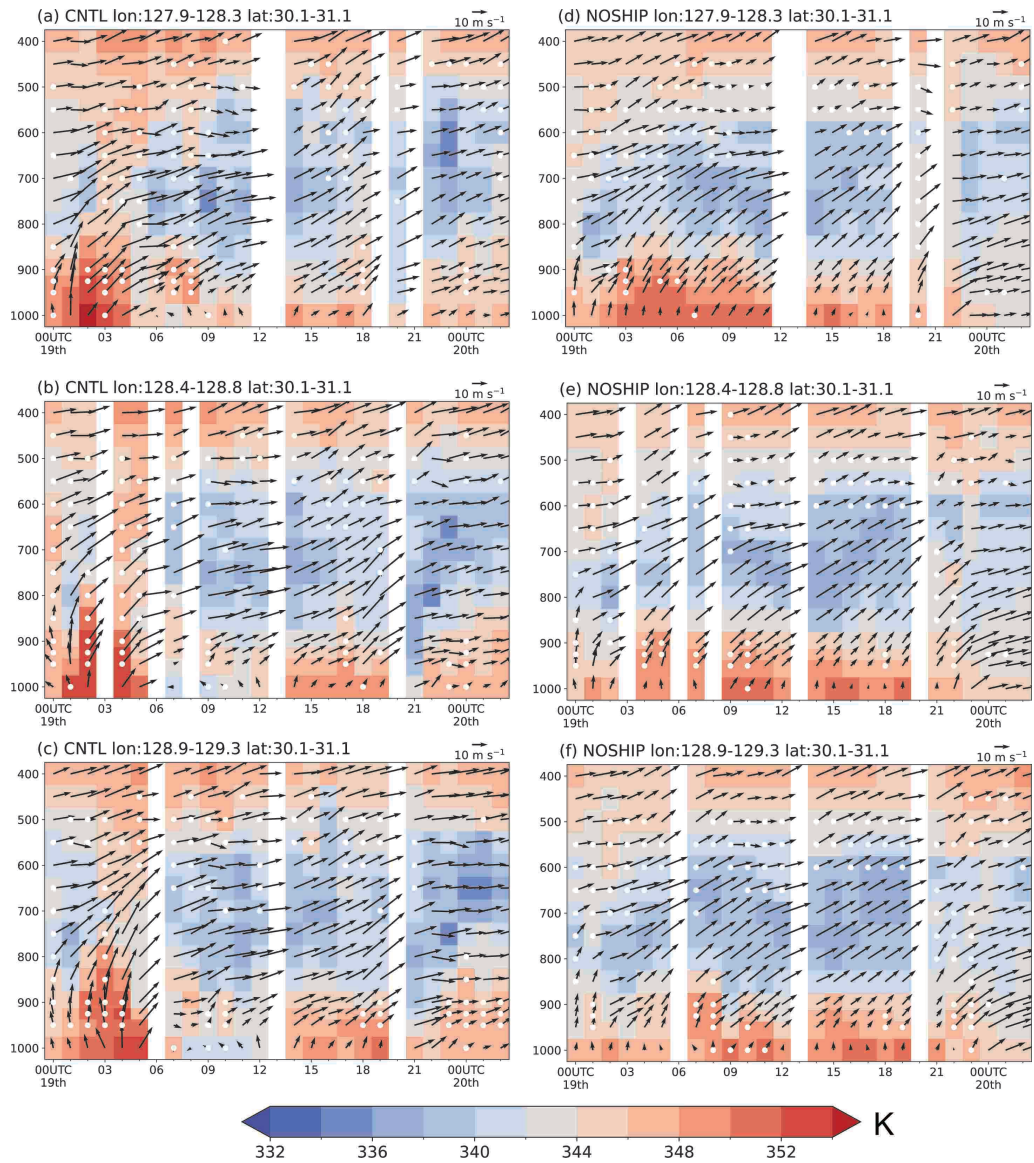


Fig. 22. As in Fig. 6 but for virtual samplings from (a-c) CNTL and (d-f) NOSHIP in D3b.

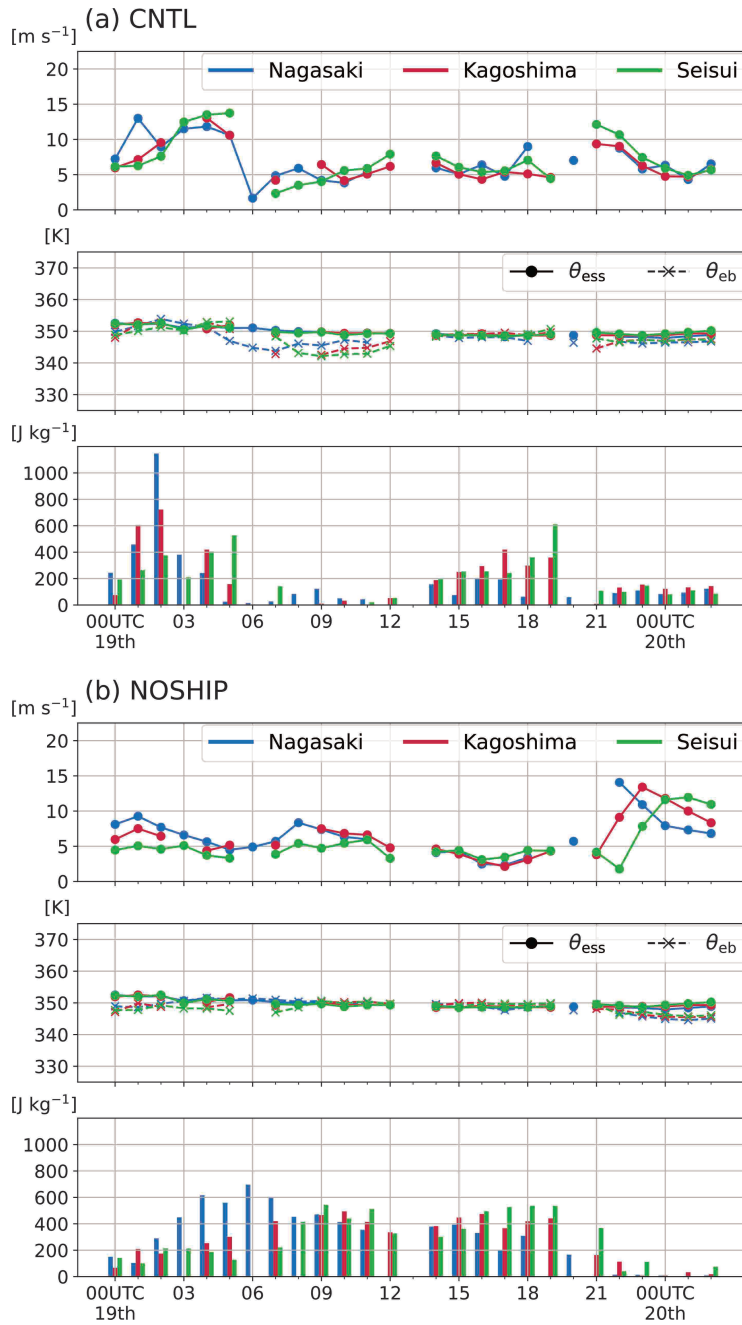


Fig. 23. As in Fig. 7 but for virtual samplings from (a) CNTL and (b) NOSHIP in D3b.

## List of Tables

1145    1    Model physics schemes and numerical methods . . . . . 82

Physics scheme	Specification
Shortwave radiation	Chou and Suarez (1999)
Longwave radiation	Mlawer et al. (1997)
Cumulus convection	Pan and Wu (1995), Hong and Pan (1998)
Shallow convection	Tiedtke (1983)
Microphysics	Ferrier et al. (2002)
Planetary boundary layer	Hong and Pan (1996)
Orographic gravity wave drags	Kim and Arakawa (1995)
Land surface model	Ek et al. (2003)
Numerical method	
Time filter	Asselin (1972)
Semi-implicit adjustment	Robert et al. (1972), Ikawa (1988)
Implicit lateral boundary relaxation	Tatsumi (1986), Juang and Kanamitsu (1994)

Table 1. Model physics schemes and numerical methods used in NCEP RSM and MSM.