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2 **Summertime Convection Jump over the Subtropical**  
3 **Western North Pacific and its Relation to Rossby Wave**  
4 **Breaking Near the Asian Jet Exit Region**

5

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## Abstract

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Abrupt enhancement of convective activity over the subtropical western North Pacific around 20°N, 150°E is known as the convection jump (CJ) caused by the ocean–atmosphere coupling, which is one of the important factors inducing the end of the Baiu season in Japan. Using atmospheric reanalysis and observation data for 1974–2021, this diagnosis is made for the influences of Rossby wave propagation and breaking, high-potential vorticity (PV) intrusion, and cutoff lows over the western North Pacific on CJ occurrence.

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Preceding CJ occurrence, southeastward Rossby-wave propagation is discernible along the upstream of the mid-Pacific trough in the upper troposphere, and its energy accumulates over the northeast of the CJ region. The subsequent wave breaking near the exit region of the Asian jet induces the southwestward intrusion of high-PV airmass toward the northeast of the CJ region, which is concurrent with the enhancement of convective activity. The high-PV intrusion may also be interpreted as westward-moving, upper-level cutoff lows migrating from the mid-Pacific trough. The diagnosis of Q-vector indicates that variations in the extratropical upper-tropospheric circulation induce dynamical ascent, contributing to the onset and maintenance of convective activity over the CJ region. Moreover, the PV budget analysis suggests that the persistent positive advection of PV at the edge of the high-PV intrusion nearly counterbalances the intense

47 low-PV generation by diabatic heating associated with the CJ. These results indicate that  
48 the CJ is influenced by extratropical upper-tropospheric variations as well as the coupled  
49 atmosphere–ocean system in the subtropical western North Pacific.

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51 **Keywords** convection jump; Rossby wave breaking; Asian monsoon; cutoff low; climate  
52 system

53

## 54 **1. Introduction**

55 Convection jump (hereafter, CJ) is an abrupt enhancement of convective activity over the  
56 subtropical western North Pacific (WNP), around 20°N, 150°E, associated with seasonal  
57 evolution in the boreal mid-summer (Ueda et al. 1995; Ueda and Yasunari 1996; Xie 2023).  
58 The CJ usually occurs in late July, corresponding to the last transition of the Asian summer  
59 monsoon, and is known as one of the key triggers of the end of the rainy season in summer  
60 (Baiu) in mainland Japan. The convective heating associated with the CJ excites the  
61 stationary Rossby waves, and a resultant anomalous anticyclone over Japan brings the  
62 abrupt termination of the Baiu season (Ueda and Yasunari 1996).

63 Ueda and Yasunari (1996) suggested that an essential factor for the occurrence of CJ is  
64 the tongue-shaped expansion of a warm sea surface temperature (SST) pool (>29 °C) in  
65 the subtropical WNP. Associated with the seasonal evolution of the Asian summer monsoon,  
66 this warm SST expansion is formed by weak wind speed and abundant insolation under the  
67 dominance of anticyclonic circulation. A weak temperature inversion caps the atmospheric  
68 boundary layer, maintaining the free troposphere dry (Zhou et al. 2016). The surface  
69 anticyclone and its related descent are remotely maintained by the influence of the  
70 intertropical convergence zone (ITCZ) over the east of the Philippines (Ueda et al. 2009).  
71 The combination of local warm SST and subsidence enhances the instability of the  
72 troposphere. However, the surface anticyclone and its capping effect gradually disappear in  
73 accordance with the diminishment of the ITCZ along the seasonal evolution (Ueda and

74 Yasunari 1996; Ueda et al. 2009). The moistening in the lower troposphere over the area  
75 with warm SST, together with the weakened suppressant effects anchored by ITCZ-origin  
76 subsidence, gives rise to rapid enhancement of convection owing to reduced atmospheric  
77 stability, which hence results in CJ occurrence (Zhou et al. 2016; Xie 2023). Based on the  
78 piecewise perpetual-SST experiment, Ueda et al. (2009) demonstrated that while the warm  
79 SST tongue is necessary to enhance the convection, this condition is not sufficient to explain  
80 the abrupt occurrence of CJ, which implies that an important role is played by atmospheric  
81 transient. Furthermore, Ueda and Yasunari (1996) pointed out that the differences in the  
82 spatial distribution of the lower-tropospheric winds and SST in the WNP during late June are  
83 responsible for the emergence of typical and atypical CJ years. Their results indicated the  
84 influence of a coupled atmosphere–ocean system in the subtropical WNP linked with the  
85 seasonal evolution of the Asian summer monsoon. However, details regarding the  
86 atmospheric transient effect, especially in the upper troposphere have not yet been explicitly  
87 clarified. A deeper understanding of the mechanisms of CJ occurrence may be expected to  
88 improve the accuracy of seasonal forecasts, including that of the end of the Baiu rainy  
89 season.

90 In recent years, it has become clear that influences from mid-to-high latitudes extend to  
91 the tropical-to-subtropical WNP through wave propagation/breaking, modulating the  
92 convective activity east of the Philippines. In particular, Takemura and Mukougawa (2020)  
93 revealed that extratropical Rossby wave breaking (RWB) near the east of Japan and

94 associated southwestward intrusion of the high-potential vorticity (PV) airmass at the  
95 tropopause can intensify the convective activity over the subtropical WNP, which  
96 subsequently excites the Pacific–Japan teleconnection pattern. Using a Q-vector diagnosis,  
97 they also indicated that the enhanced convection is caused by dynamically induced ascent  
98 related to the southwestward intrusion of the high-PV airmass. Moreover, the equatorward  
99 high-PV intrusion in the upper troposphere has been shown to cause anomalous upwelling  
100 accompanied by enhanced precipitation in the tropics (Funatsu and Waugh 2008), and the  
101 formation of tropical cyclones (Galarneau et al. 2015; Fudeyasu and Yoshida 2019;  
102 Takemura and Mukougawa 2021). Although previous research on the CJ has exclusively  
103 focused on the tropical-to-subtropical regions, studying the extratropical processes may  
104 provide a new perspective on occurrence mechanisms of CJ.

105 In the Northern Hemisphere summer, RWB frequently occurs over the subtropical WNP,  
106 where the westerly jet is decelerated (Postel and Hitchman 1999; Abatzoglou and  
107 Magnusdottir 2006). As shown in Fig. 1a, the large-scale trough in the upper troposphere  
108 extending southwestward over the North Pacific is referred to as the mid-Pacific trough  
109 (MPT). Murakami and Matsumoto (1994) discussed the importance of the MPT and related  
110 transient perturbations on the seasonal processes of the WNP monsoon considering the  
111 interaction between the tropics and extratropics. The CJ region (purple rectangle in Fig. 1;  
112 Ueda et al. 1995) is geographically located near the southwestern edge of the MPT, which  
113 motivated us to examine the relationship between CJs and upper-tropospheric extratropical

114 circulations (i.e., the high-PV intrusion, RWB, and MPT). Indeed, upper-level cold lows  
115 migrating westward from the MPT have been indicated to enhance convective activity near  
116 Marcus Island (Sato et al. 2005). Moreover, Lu et al. (2007) suggested a possible  
117 relationship between the CJ onset and extratropical circulation anomalies propagating  
118 westward over the North Pacific. However, the detailed physical processes of wave  
119 propagation/breaking and resultant ascent remain unrevealed. Therefore, in this study, we  
120 attempted to clarify the relationship between CJ occurrence and upper-tropospheric  
121 extratropical circulations: high-PV intrusion, Rossby wave propagation/breaking, and cutoff  
122 lows.

123

## 124 **2. Data and method**

125 This study used 6-hourly isobaric data from the Japanese 55-year reanalysis (JRA-55;  
126 Kobayashi et al. 2015), with a horizontal resolution of  $1.25^\circ$  and 37 pressure levels,  
127 isentropic PV data at the 360-K surface from JRA-55, and daily mean data of outgoing  
128 longwave radiation (OLR) provided by the National Oceanic and Atmospheric Administration  
129 (NOAA) with a horizontal resolution of  $2.5^\circ$  (Liebmann and Smith 1996) for the period of  
130 1974–2021. To exclude noise from disturbances at a daily scale, we applied a 5-day running  
131 mean to the 6-hourly and daily data from JRA-55 and NOAA, respectively. Climatological  
132 means were obtained by averaging values for the same calendar days over the entire study  
133 period; deviations from these means were denoted as anomalies. Statistical significance of



134 composite anomalies was assessed through the t-test with degrees of freedom based on  
135 the number of sample years.

136 Using the mean black body temperature, Ueda et al. (1995) showed that CJs occur in late  
137 July around the area of [15–25°N, 150–160°E], which was denoted as a key region  
138 (hereafter the CJ region; purple rectangle in Fig. 1). In this study, the definition of the CJ  
139 region is geographically fixed following to the previous studies. CJ day was defined as the  
140 day when 5-day running mean OLR averaged in the CJ region was below  $200 \text{ W m}^{-2}$  and  
141 reached a minimum in the period between July 20 and August 8 of individual years. Twenty  
142 CJ days were identified, which are listed in Table 1. We call years with (without) the CJ day  
143 as typical (atypical) CJ years. Figures 2a and 2b show the time series of the 5-day running  
144 mean OLR from July 1 to August 10, displaying typical and atypical years, respectively.  
145 Figure 2c shows the composite time series of averaged OLR in the CJ region from 10 days  
146 before the CJ day (day -10) to 10 days after the CJ day (day +10), together with the  
147 averaged 850-hPa geopotential height anomaly in the east of Japan [35–45°N, 135–155°E].  
148 The OLR anomalies turned from positive (i.e., suppressed) to negative (i.e., enhanced)  
149 around day -5 and kept enhancement for approximately 10 days. Significant positive  
150 anomalies of 850-hPa height after day 0 indicate the extension of the lower-tropospheric  
151 anticyclone and withdrawal of the Baiu front. In the following section, we conducted a lag  
152 composite analysis for all CJ days to clarify the variations in extratropical atmospheric  
153 circulation associated with the CJ.

154 We used the cutoff low index (COL index) proposed by Kasuga et al. (2021) to detect  
 155 cutoff lows and preexisting troughs, seamlessly extracting them as synoptic depressions.  
 156 The average slope (AS) function, one of the variables of COL index, representing the two-  
 157 dimensional average of four-directional slopes, is defined as follows:

$$158 \quad AS(x, y; r) = \frac{1}{4r} [Z(x + r, y) + Z(x - r, y) + Z(x, y + r) + Z(x, y - r) - 4Z(x, y)], \quad (1)$$

159 where  $x$  and  $y$  denote the longitudinal and latitudinal grid points, respectively;  $r$  is the  
 160 radial searching variable, and  $Z$  is the geopotential height at any isobaric level. The AS  
 161 maximum against variable  $r$  is denoted  $AS^+$ , representing depressions in the geopotential  
 162 height field, and is given by:

$$163 \quad AS^+(x, y) \equiv \max_r AS(x, y; r). \quad (2)$$

164 This scheme was calculated based on the 6-hourly geopotential height data at 200 hPa  
 165 obtained from JRA-55.

166 The propagation of Rossby wave packets was analyzed using the wave-activity flux (WAF)  
 167 proposed by Takaya and Nakamura (2001). The horizontal WAF is defined as follows:

$$168 \quad \mathbf{W} = \frac{p^*}{2|\mathbf{V}|} \begin{pmatrix} U(\psi_x'^2 - \psi' \psi_{xx}') + V(\psi_x' \psi_y' - \psi' \psi_{xy}') \\ U(\psi_x' \psi_y' - \psi' \psi_{xy}') + V(\psi_y'^2 - \psi' \psi_{yy}') \end{pmatrix}, \quad (3)$$

169 where  $U$  is the background zonal wind,  $V$  is the background meridional wind,  $\mathbf{V}$  is the  
 170 background horizontal wind vector,  $\psi$  is the geostrophic stream function, and  $p^*$  is the  
 171 pressure normalized by 1000 hPa, with primes indicating anomalies. The background states  
 172 were given by the climatological mean. The subscripts  $x$  and  $y$  denote the partial  
 173 derivatives with respect to longitude and latitude, respectively. The flux  $\mathbf{W}$  is parallel to the

174 group velocity of the stationary Rossby waves.

175 The dynamical relationship between mid- to upper-tropospheric variation associated with  
176 southward intrusion of high-PV airmass and ascent over the CJ region was diagnosed using  
177 the Q-vectors (Hoskins et al. 1978). The Q-vector is given by

$$178 \quad \mathbf{Q} \equiv \left( -\frac{R}{p} \frac{\partial \mathbf{v}_g}{\partial x} \cdot \nabla T, -\frac{R}{p} \frac{\partial \mathbf{v}_g}{\partial y} \cdot \nabla T \right), \quad (4)$$

179 where  $p$  represents the pressure,  $\mathbf{v}_g$  is the geostrophic horizontal wind vector, and  $T$  is  
180 the temperature. The Q-vector form of the  $\omega$  equation indicates that Q-vector convergence  
181 and divergence correspond to dynamically induced ascent and descent, respectively, under  
182 the quasi-geostrophic balance (Hoskins et al. 1978). The diagnosis by the Q-vector can  
183 quantify ascending motions induced by the upper-level dynamics rather than those by the  
184 lower-level convection-related thermodynamics. In this study, we used vertical integrated  
185 (from 850 to 200 hPa) anomalous Q-vector because the influence of upper-level dynamics  
186 related to the high-PV intrusion can reach the lower-level via the coupling effect (Hoskins et  
187 al. 1985).

188 Furthermore, we conducted a PV budget analysis to examine the role of upper-  
189 tropospheric variability on the CJ by separating the intrusion of upper-level high-PV and the  
190 generation of low-PV by anomalous convective activity. The Ertel's PV equation is as follows:

$$191 \quad \frac{\partial P}{\partial t} = -\mathbf{v} \cdot \nabla_{\theta} P + R, \quad (5)$$

192 where  $P$  is the PV,  $\mathbf{v}$  is the horizontal wind vector,  $\nabla_{\theta}$  is the horizontal gradient operator  
193 at the potential temperature surface, and  $R$  is a residual term including the diabatic heating

194 effect and other non-conservative processes such as frictional forcing. Assuming negligible  
195 friction forcing together with large- and synoptic-scale atmospheric circulation in the free  
196 troposphere, the diabatic heating effect is dominant for  $R$  and decreases PV above the  
197 heating (Hoskins and James 2014). Thus, Eq. (5) is interpreted to show that the local time  
198 tendency of PV is explained by the total amount of the PV advection and the diabatic heating  
199 effect.

200

### 201 **3. Results**

#### 202 *3.1 Extratropical upper-tropospheric variation related to the CJ*

203 Figure 3 shows composite maps of the upper-tropospheric geopotential height, PV, and  
204  $AS^+$  of the COL index on days  $-5$ ,  $-3$ ,  $-1$ ,  $0$ ,  $+1$ , and  $+3$  for all 20 CJ events. On day  $-5$ , the  
205 composite geopotential height, 360-K PV, and  $AS^+$  fields represented the planetary-scale  
206 trough over the central North Pacific recognized as the MPT (Figs. 3a, b, c). The geopotential  
207 height field exhibits a noteworthy anticyclonic anomaly over the North Pacific ( $50^\circ\text{N}$ ,  $160^\circ\text{E}$ ),  
208 corresponding to the upstream of the MPT (Fig. 3a), which began growing on day  $-7$  (not  
209 shown). The WAF indicates that quasi-stationary Rossby wave packets propagated  
210 eastward and southward from the anticyclonic anomaly along the composite geopotential  
211 field (Figs. 3a, d, g, j). From day  $-3$  to day  $0$ , a significant cyclonic anomaly develops in the  
212 north of the CJ region, corresponding to the southwestward extension of the MPT.  
213 Simultaneously, the southward WAF emanating from the anticyclonic anomaly disappears

214 over the cyclonic anomaly north of the CJ region, indicating the convergence of the WAF  
215 and accumulation of wave energy (Figs. 3d, g, j). This accumulated wave energy contributes  
216 to the occurrence of RWB as seen in the PV field, which shows an “inverse-S” shaped  
217 overturning from day -1 to day +3 (Figs. 3h, k, n, q). This was also evidenced in the  
218 composite geopotential height field, exhibiting a reversal of the meridional height gradient  
219 accompanied by the cyclonic anomaly in the subtropics (Figs. 3g, j, m). The high-PV air mass  
220 intruded northeast of the CJ region in association with the anticyclonic RWB (Figs. 3h, k, n,  
221 q), which may induce anomalous ascent over the CJ region (Figs. 3i, l, o, r). The negative  
222 value of the vertical  $p$ -velocity at 500 hPa appears to reach its peak after day 0.

223 The  $AS^+$  of the COL index captured the simultaneity between the cyclonic anomaly  
224 intrusion associated with RWB occurrence and the reinforced convective activity over the  
225 CJ region (right column of Fig. 3). The isobaric depressions develop northeast of the CJ  
226 region from day -2 to day 0, and are maintained until day +1 (Figs. 3i, l, o). The deepening  
227 and extension of the cyclonic anomaly are related to a westward-moving cutoff low, which  
228 suggests that the westward migration of cutoff lows from the MPT partly explains the high-  
229 PV intrusion. From the perspective of PV dynamics, relatively small vortices such as cutoff  
230 lows behave as sources of same-sign PV for large-scale perturbations (Yamazaki and Itoh  
231 2013). A recent study further suggested that cutoff lows as small-scale high-PV vorticities  
232 can contribute to form a large-scale high-PV air mass and their intrusion via the diabatic  
233 modification as well as their mergers (Yamamoto et al. 2024). As in Fig. S1, which shows

234 the time evolution of  $AS^+$  for the 1998 CJ event, a circle-shaped geopotential height  
235 depression, corresponding to a cutoff low, migrated westward from the central Pacific and  
236 was subsequently located around the CJ region from day  $-2$  to day  $+1$  (Figs. S1c–e). These  
237 results suggest that the RWB over the WNP, together with southward high-PV intrusion and  
238 westward-moving cutoff lows, is closely associated with the CJ onset.

239 Regarding the relationship between the high-PV intrusion and vertical motion  
240 accompanied by diabatic heating, the PV budget on the upper-level isentropic surface is  
241 assessed focusing on two effects of the advection by horizontal winds and generation by  
242 heating based on Eq. (5). As shown in Fig. 4a, positive PV advection is notably distributed  
243 at the southwestern edge of the intruding high-PV over the CJ region due to the southward  
244 winds. The positive advection persists throughout the CJ events and intensifies from day 0  
245 to day  $+2$  (Fig. 4b). Simultaneously, the residual term exhibits low-PV generation (green  
246 contours in Fig. 4a), corresponding to the diabatic heating effect and acts to dump the  
247 positive PV advection. The time series of the net PV tendency shows that the local tendency  
248 is almost negligible prior to day 0 owing to the balance between the advection and heating  
249 effects. However, the PV decreased slightly after the CJ from day  $+1$  to day  $+3$  because of  
250 the intense low-PV generation by convective heating in the mid-troposphere.

251 To further assess the dynamically induced vertical motion in view of the quasi-geostrophic  
252 balance on pressure coordinates, Fig. 4c shows the time series of the vertically integrated  
253 anomalous  $Q$ -vector divergence and 500-hPa vertical  $p$ -velocity averaged over the CJ

254 region. The Q-vector divergence and vertical  $p$ -velocity vary coherently, indicating a close  
255 relationship between the intensified ascent and tropospheric circulation variation, mainly due  
256 to the southwestward intrusion of high-PV associated with the RWB. However, the mid-  
257 tropospheric ascent is enhanced from day  $-3$ , approximately reaching peaks on days  $+3$   
258 and  $+4$  instead of day 0. Similarly, the anomalous Q-vector converges from day  $-1$  to day  
259  $+4$ , contributing to the anomalous ascent after day 0, when the OLR showed minimum  
260 values. This feature appears to be consistent with the maxima of high-PV advection and the  
261 diabatic heating effect after CJ occurrence (Fig. 4b) as well as the persistence of RWB from  
262 day  $+1$  to day  $+3$  (Figs. 3n, q). Moreover, the lag between the OLR minimum and the peak  
263 of ascent might be related to the circulation-convection feedback, indicating that the  
264 anomalous upwelling associated with convective activity may be enhanced after cloud tops  
265 reach the tropopause.

### 266 *3.2 Comparison between typical and atypical years*

267 In this subsection, we compare the characteristics of upper-tropospheric circulation and  
268 tropical seasonal evolution between typical and atypical years. Owing to the absence of day  
269 0 in atypical years (because CJ did not occur in atypical years as in Fig. 2b), composite time-  
270 mean fields for July 20–August 8 were shown as the background environment of atypical  
271 years. Based on the criteria, the 28 atypical years were defined as those excluding the  
272 typical years shown in Table 1 for the 1974–2021 period. Figure 5 shows the composite  
273 values and anomalies of the 200-hPa geopotential height in atypical years. The anomalous

274 geopotential height field exhibits a weakening of the MPT relative to the climatology and a  
275 significant cyclonic anomaly over the North Pacific (50°N, 160°E), opposite to the  
276 corresponding anomalous anticyclone in typical years (Fig. 3). The anomalous cyclonic  
277 circulation near the Asian jet exit region indicates a southward shift of the Asian jet and  
278 eastward shift of its exit during atypical years, which are favorable for the decrease of the  
279 RWB frequency in the WNP. These results seem to affirm the role of RWB in the CJ  
280 occurrence. Takemura et al. (2020) showed that summertime the RWB frequency near  
281 Japan significantly increases during La Niña years, associated with the northward shift of  
282 the Asian jet, whereas it tends to decrease in years with an El Niño-like SST pattern.  
283 Moreover, Ueda and Yasunari (1996) indicated that most atypical years of CJ coincide with  
284 El Niño years. These results by previous studies are consistent with those obtained here,  
285 showing an important relationship with upper-tropospheric variation, specifically RWB, in  
286 both years with or without CJ occurrence.

287 Nevertheless, our results do not negate the importance of tropical air–sea interactions in  
288 CJ occurrence, as highlighted by previous research. We compared the tropical seasonal  
289 evolution between typical and atypical years, focusing on the variation in the ITCZ, which  
290 plays a crucial role in CJ occurrence (Ueda et al. 2009). The time series of OLR anomalies  
291 averaged in the CJ region and east of the Philippines are shown in Fig. S2a. In typical years,  
292 the ITCZ is significantly more active in early July but weakened just before the CJ period;  
293 the time series of atypical years shows a mirror image. The spatial distribution of the



294 composite difference in OLR between typical and atypical years from June 20 to July 19 is  
295 shown in Fig. S2b. The significant negative OLR anomaly east of the Philippines indicates  
296 active convection associated with the ITCZ, suggesting its key contribution to subsequent  
297 CJ occurrence. These results support the conclusions drawn by Ueda et al. (2009) and  
298 suggest that both the coupled atmosphere–ocean system and upper-tropospheric variations  
299 can be responsible for the emergence of typical or atypical CJ years.

300

#### 301 **4. Summary and discussion**

302 In this study, the relationship between CJ occurrence and extratropical upper-tropospheric  
303 circulation was examined using a lag-composite analysis, PV budget analysis, and Q-vector  
304 diagnosis. At CJ onset, anticyclonic RWB occurs in the Asian jet exit region from day –1 to  
305 day +3, accompanied by preceding southward Rossby-wave propagation and energy  
306 accumulation over the subtropical WNP in the upper troposphere. Simultaneously, the  
307 cyclonic anomaly develops over the northeast of the CJ region in association with  
308 southwestward high-PV intrusion. The high-PV intrusion related to the anticyclonic RWB  
309 may also be explained by the strengthening of the MPT and westward migration of the cutoff  
310 lows. The anomalous field of upper-tropospheric circulation mainly caused by the RWB  
311 promotes dynamical ascent over the CJ region from day –1 to day +3, contributing to the  
312 enhancement and maintenance of the convective activity. The convective heating locally  
313 generates a low-PV in the upper troposphere, while it tends to counterbalance the upper-

314 level positive PV advection associated with the RWB. The anticyclonic anomaly over the  
315 northeast of the jet exit region that appeared in the typical 20 CJs is indicative of the  
316 deceleration of the Asian jet, which is suitable for the occurrence of RWB and subsequent  
317 CJ. In contrast, during atypical years, the Asian jet was anomalously accelerated, which is  
318 consistent with the absence of CJ from the perspective of RWB occurrence. Therefore, the  
319 RWB and high-PV intrusion near the Asian jet exit region play an encouraging role in the  
320 occurrence and maintenance of CJ.

321 Our results suggest that the atmospheric transient effect in the extratropics could also play  
322 an important role in the seasonal evolution of summer monsoon over the western North  
323 Pacific, in addition to the previously revealed coupled atmosphere–ocean systems in the  
324 tropics (Ueda and Yasunari 1996). Ueda et al. (2009) suggested the importance of  
325 atmospheric transient effects on the retreat of the lower-tropospheric anticyclone and  
326 resultant rapid CJ occurrence. The present study clarified the details of physical processes  
327 in the atmospheric transient, whose role was unknown but considered important as  
328 suggested by Ueda et al. (2009). Figure 6 summarizes the mechanisms of CJ including  
329 those shown by previous research on the tropical regions as well as those revealed in the  
330 present study. The bottom layer in Fig. 6 illustrates the maturing process of the subtropical  
331 Asian summer monsoon and the effects of SST warming on CJ onset, as indicated by  
332 previous studies. The warm SST pool and capping effect associated with the lower-  
333 tropospheric anticyclonic circulation supported by the ITCZ intensifies the instability of the

334 troposphere over the CJ region. The retreat of the ITCZ in late July and subsequent  
335 weakening of the anticyclonic circulation trigger CJ occurrence. Meanwhile, the upper layer  
336 in Fig. 6 shows the variation in upper tropospheric circulations (RWB, high-PV intrusion, and  
337 cutoff low migration) that promote anomalous ascent and contribute to CJ occurrence, as  
338 indicated in the present study. Our study confirmed that understanding the annual march in  
339 the tropics and extratropics as well as their synchronization over the subtropical WNP toward  
340 the southwest of the MPT is important to clarify summertime seasonal evolution, including  
341 CJ emergence.

342 Although the present study mainly considered the one-way influence of wave breaking on  
343 CJ activation, the feedback relationship between CJ and RWB over the WNP should be  
344 considered. Upper-tropospheric divergent flows are closely associated with active  
345 convection accompanied by a large extent of latent heat and contribute to the evolution of  
346 Rossby waves and blocking by generating and poleward advection of low-PV (Teubler and  
347 Riemer 2016; Steinfeld and Pfahl 2019). Diabatic processes have also been shown to affect  
348 the intensity of cutoff lows (e.g., Wirth 1995; Portmann et al. 2018), suggesting that resultant  
349 CJ may contribute to the extratropical RWB, especially for their maintenance, and intrusion  
350 of cutoff lows. Moreover, interactive feedback might be associated with the mechanism for  
351 the systematic lag between OLR minima and vertical  $p$ -velocity. This should be investigated  
352 in future studies from the perspectives of cloud dynamics as well as circulation dynamics.  
353 Another important aspect is understanding the detailed process of RWB related to CJ

354 occurrence. The triggers behind the anticyclonic anomaly over the North Pacific and RWB  
355 over the WNP derived from our composite analysis remain unclear. Takemura and  
356 Mukougawa (2020), who shifted atmospheric circulation anomalies of each case of RWB  
357 horizontally before conducting the composite analysis, suggested that Rossby wave  
358 propagation along the Asian jet and the accumulation of wave energy near the jet exit are  
359 the primary factors of wave breaking and the subsequent enhancement of convective activity.  
360 Moreover, it has been shown that the Rossby wave train along the polar front jet over  
361 northern Eurasia affects RWB over the Far East (Nakamura and Fukamachi 2004). Although  
362 the Rossby wave propagation along the Asian jet is not observed in the present study, there  
363 is a possibility that the Rossby wave propagation does occur but takes different paths in  
364 different typical CJ years that may cancel out each other in the simple composite analysis  
365 (Fig. 3). Therefore, classifying and further understanding the RWB process near the Asian  
366 jet exit region should be the focus of future studies.

367 Finally, CJ occurrence in the absence of extratropical assistance should be discussed.  
368 The intrusion of cutoff lows was not clearly observed in some CJ cases. Thus, further  
369 investigation is required to estimate the contribution percentage of variations in extratropical  
370 circulation to CJ occurrence. Additionally, the seasonal maturing process of the subtropical  
371 Asian monsoon and seasonal migration of the ITCZ may be related to RWB or other  
372 extratropical tropospheric transients. The tropical intra-seasonal oscillations (including the  
373 Madden–Julian oscillation and boreal summer intra-seasonal oscillation) and Indo-western

374 Pacific inter-basin interactions, also modulate the environmental factors affecting CJ  
375 occurrence through anomalous convective activity and SST. Although tropical and  
376 extratropical processes are both necessary conditions for CJ occurrence, subsequent  
377 research should determine how CJs are affected when these processes are synchronized  
378 or unsynchronized.

379

### 380 **Data Availability Statement**

381 The JRA-55 datasets were provided by the Japan Meteorological Agency  
382 ([https://jra.kishou.go.jp/JRA-55/index\\_en.html](https://jra.kishou.go.jp/JRA-55/index_en.html)), and the Interpolated OLR data were  
383 provided by NOAA PSL (<https://psl.noaa.gov/>).

384

### 385 **Supplements**

386 Supplement 1 shows AS<sup>+</sup> and vertical  $p$ -velocity in the 1998 CJ event. Supplement 2 shows  
387 a comparison of tropical seasonal evolution between the typical and atypical years focusing  
388 on the ITCZ.

389

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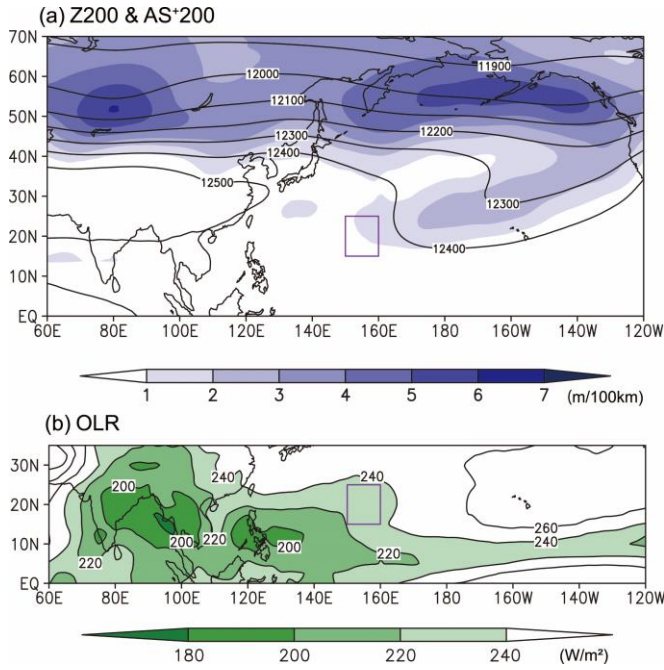
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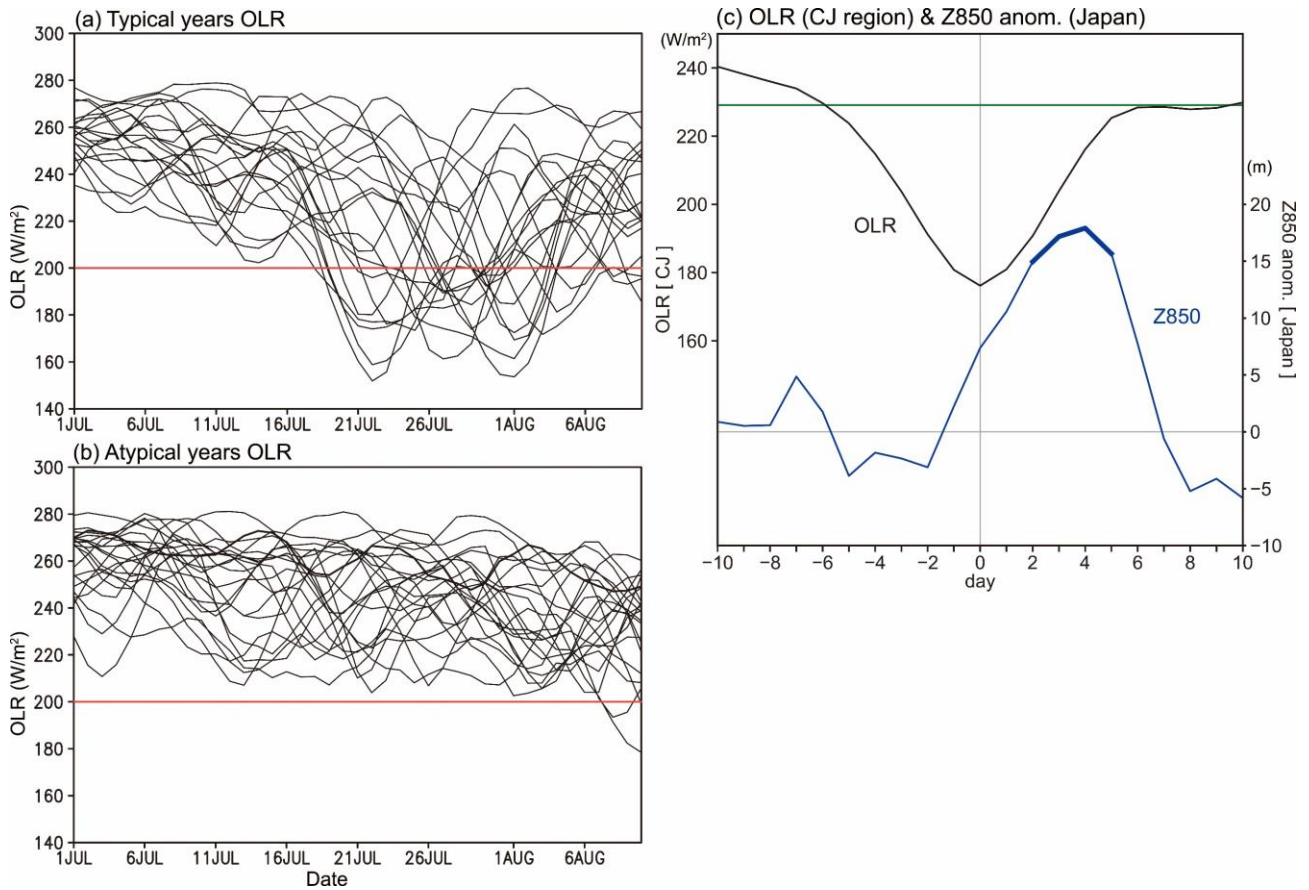
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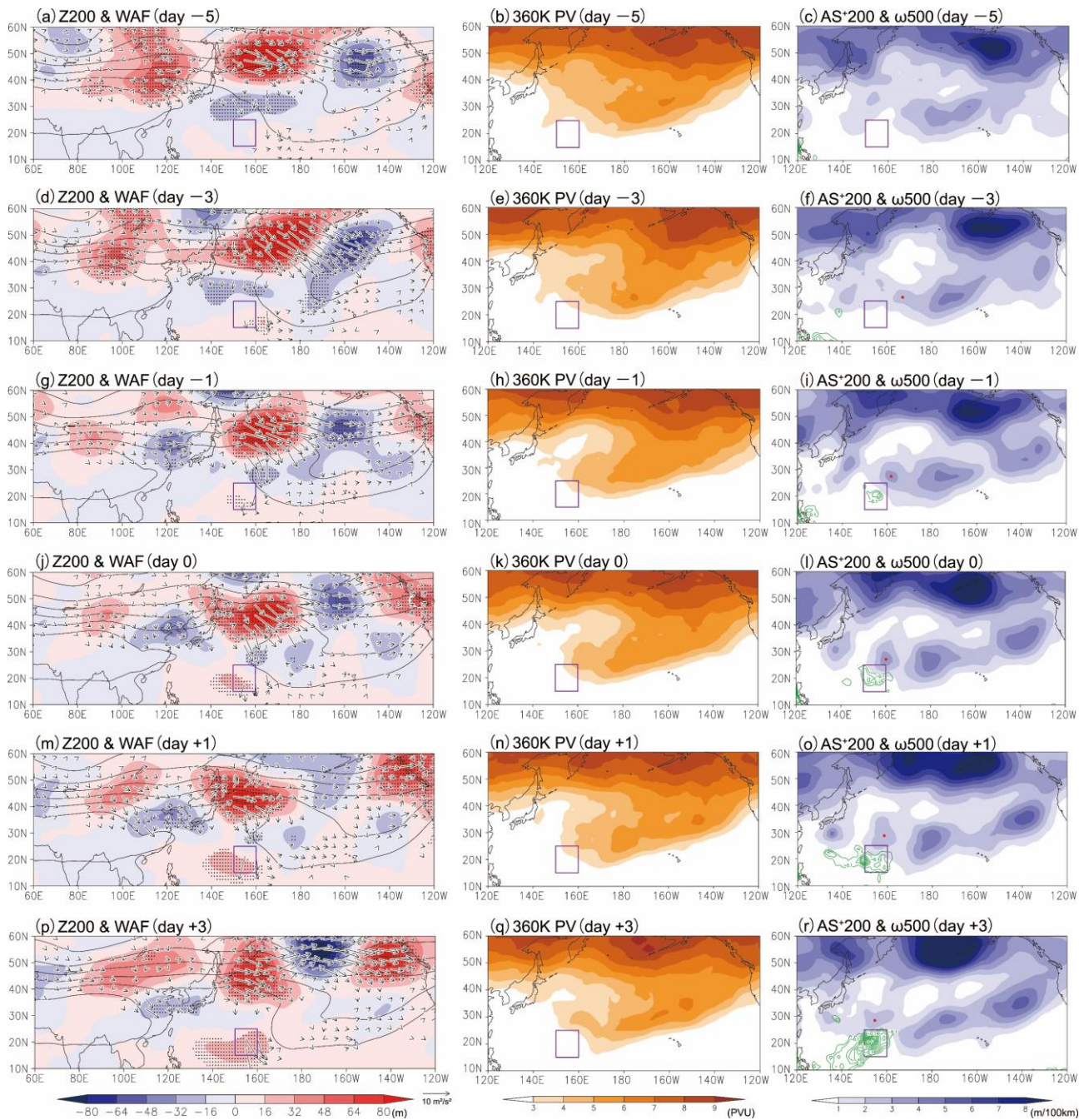


472 Fig. 1. Climatological mean in July 20–August 8 for the period of 1974–2021. (a)  
 473 Geopotential height (contours; m) and AS<sup>+</sup> of the COL index (shading; m [100 km]<sup>-1</sup>)  
 474 at 200 hPa. (b) OLR (W m<sup>-2</sup>). Purple rectangles indicate the CJ region [15–25°N, 150–  
 475 160°E].



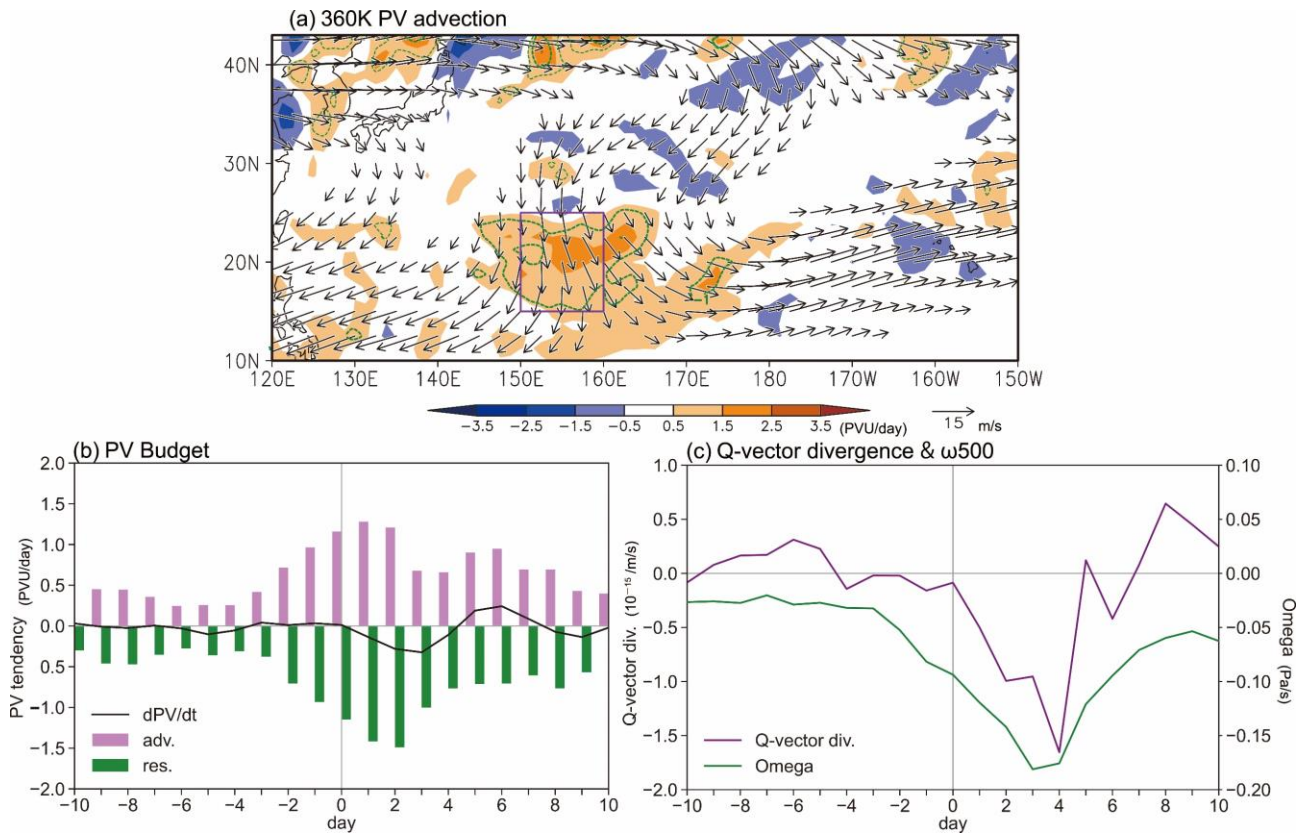
477 Fig. 2. Time series of (a) typical and (b) atypical CJ years for 5-day running mean OLR  
 478 averaged over the CJ region (black lines;  $W m^{-2}$ ) from July 1 to August 10. Red lines  
 479 indicate  $200 W m^{-2}$  OLR. (c) Time series of composite OLR averaged over the CJ region  
 480 (black line;  $W m^{-2}$ ) and composite 850-hPa geopotential height (Z850) anomaly  
 481 averaged over the east of Japan [ $35\text{--}45^\circ N$ ,  $135\text{--}155^\circ E$ ] (blue line; m) for CJ days. A  
 482 green line denotes the climatological mean OLR for July 20–August 8. Thick lines of  
 483 Z850 indicate statistical significance at the 95% confidence level of the anomalies.

484

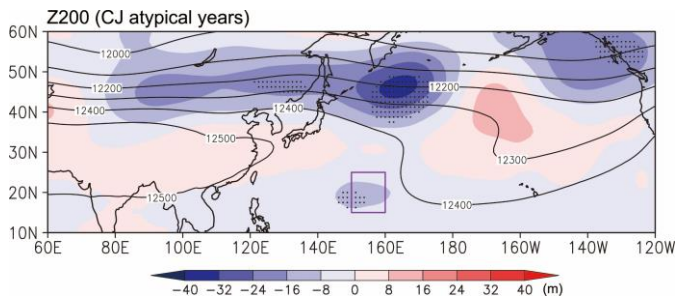


485 Fig. 3. Composite of (left column) geopotential height (contours; 12000–12500 m, with 100-  
 486 m intervals), its anomalies (shading; m), and WAF (vectors;  $\text{m}^2 \text{s}^{-2}$ ) at 200 hPa; (middle  
 487 column) 360-K PV (shading; PVU); and (right column)  $\text{AS}^+$  of the COL index at 200-  
 488 hPa (shading;  $\text{m} [100 \text{ km}]^{-1}$ ) and 500-hPa vertical  $p$ -velocity (green contours;  $\leq -0.1$   
 489  $\text{Pa s}^{-1}$ , with intervals of  $0.2 \text{ Pa s}^{-1}$ ). Red dots represent the centers of a cutoff low  
 490 contributing to CJ onset. Stippling in the left column indicates statistical significance at

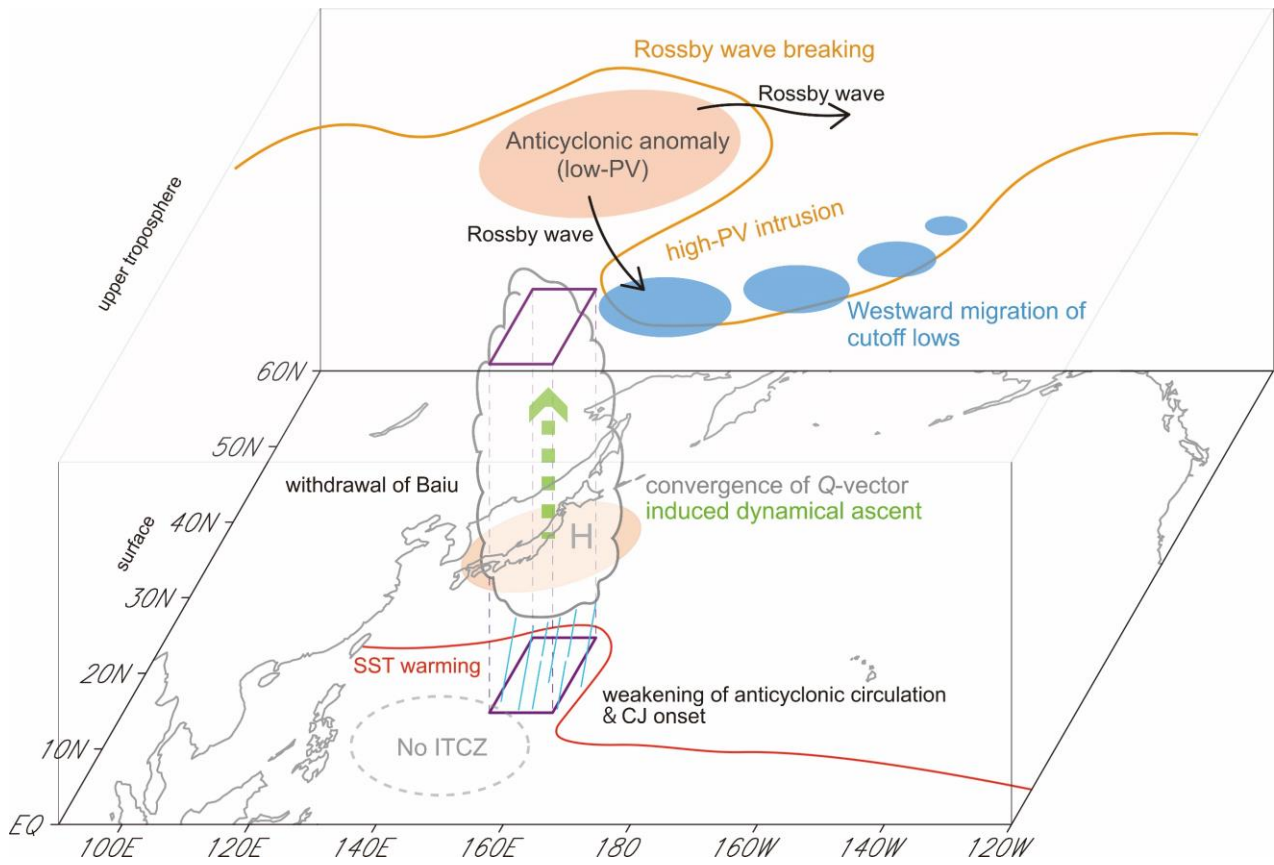
491 the 95% confidence level of the 200-hPa geopotential height anomalies. (a–c) day –5,  
492 (d–f) day –3, (g–i) day –1, (j–l) day 0, (m–o) day +1, and (p–r) day +3. Purple  
493 rectangles indicate the CJ region.



494 Fig. 4. (a) Advection (shading;  $\text{PVU day}^{-1}$ ) and residual terms (contours;  $< 0 \text{ PVU day}^{-1}$ ) of  
 495 the PV equation on day 0 at 360 K, based on the composite fields. The contour interval  
 496 is  $1.0 \text{ PVU day}^{-1}$ . Composite horizontal winds at 360 K are superimposed by vectors  
 497 ( $\text{m s}^{-1}$ ). The purple rectangle indicates the CJ region. (b) Time series of local PV  
 498 tendency (black line), horizontal advection of PV (magenta bars), and residual term  
 499 (green bars) at 360 K averaged over the CJ region ( $\text{PVU day}^{-1}$ ). (c) Time series of  
 500 composite anomalous Q-vector divergence integrated from 850 to 200 hPa (purple  
 501 line;  $10^{-15} \text{ m}^{-1} \text{ s}^{-1}$ ) and composite vertical  $p$ -velocity at 500 hPa (green line;  $\text{Pa s}^{-1}$ )  
 502 averaged over the CJ region.



503 Fig. 5. Composite of 200-hPa geopotential height (black contour; m) and its anomaly  
 504 (shading; m) in July 20–August 8 for atypical years. Stippling indicates statistical  
 505 significance at the 95% confidence level. The purple rectangle indicates the CJ region.



506 Fig. 6. Schematic illustration of CJ onset mechanisms over the subtropical WNP.

507



508

List of Tables

509 Table 1 Detected CJ days for typical years (20 years).

Aug 1, 1980	Aug 5, 1986	Jul 25, 1994	Jul 23, 2004	Aug 3, 2016
Aug 1, 1981	Jul 27, 1988	Jul 27, 1998	Jul 29, 2009	Jul 27, 2017
Jul 27, 1984	Aug 1, 1989	Jul 20, 1999	Aug 1, 2012	Jul 29, 2018
Jul 22, 1985	Jul 27, 1990	Jul 22, 2002	Aug 4, 2015	Jul 22, 2021

510