

## **EARLY ONLINE RELEASE**

This is a PDF of a manuscript that has been peer-reviewed and accepted for publication. As the article has not yet been formatted, copy edited or proofread, the final published version may be different from the early online release.

This pre-publication manuscript may be downloaded, distributed and used under the provisions of the Creative Commons Attribution 4.0 International (CC BY 4.0) license. It may be cited using the DOI below.

The DOI for this manuscript is

DOI:10.2151/jmsj.2025-006

J-STAGE Advance published date: November 13, 2024

The final manuscript after publication will replace the preliminary version at the above DOI once it is available.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31

# **A statistical study of gravity waves in the troposphere and lower stratosphere in the Antarctic based on the PANSY radar observations**

**Eiji TOKIMORI<sup>1</sup>**

*Department of Earth and Planetary Science  
The University of Tokyo, Tokyo, Japan*

**Masashi KOHMA**

*Department of Earth and Planetary Science  
The University of Tokyo, Tokyo, Japan*

**and**

**Kaoru SATO**

*Department of Earth and Planetary Science  
The University of Tokyo, Tokyo, Japan*

February 29, 2024

---

1) Corresponding author: Eiji Tokimori, Department of Earth and Planetary Science, The University of Tokyo, 7-3-1 Hongo, Bunkyo, Tokyo 113-0033 JAPAN  
Email: tokimori@eps.s.u-tokyo.ac.jp  
Tel: +81-3-5841-4296

## Abstract

Using observational data from the Program of the Antarctic Syowa Mesosphere-Stratosphere-Troposphere/Incoherent Scatter radar (PANSY radar) at Syowa Station (69.0°S, 39.6°E) over seven years, the climatology of gravity wave (GW) characteristics in the troposphere and lower stratosphere in the Antarctic were examined.

Our analysis shows that the GW kinetic energy in the lower stratosphere is consistent with previous studies using operational radiosonde observations in the Antarctic, including an enhancement during austral spring. We derive a theoretical formula relating horizontal and vertical wind contributions to the GW kinetic energy with the GW intrinsic frequency and the aspect ratio. The vertical variation of the intrinsic frequency suggests the presence of GW sources near the tropopause in addition to those in the troposphere and near the ground. The GW momentum fluxes estimated from radar data indicate that net GW forcing is eastward in the lower stratosphere in seasons except for summer, which acts to accelerate the lower part of the polar night jet. Furthermore, we present the climatology of Eulerian-mean vertical winds elucidated from the long-term radar observations.

**Keywords** gravity wave; Antarctic; troposphere-lower stratosphere; VHF radar

## 52 **1. Introduction**

53 Gravity waves (GWs) are atmospheric waves whose restoring force is buoyancy.  
54 Compared to Rossby waves, GWs have small temporal and spatial scales. Through vertical  
55 transport of horizontal momentum, GWs are known to play important roles in determining  
56 the position and strength of the zonal wind jets (Palmer et al., 1986; McFarlane, 1987). In  
57 addition, GWs largely contribute to the formation of the stratospheric and mesospheric  
58 general circulation (e.g., Plumb, 2002; Alexander et al., 2010) and equatorial zonal-mean  
59 zonal wind oscillations such as the quasi-biennial oscillation (QBO) (e.g., Sato and  
60 Dunkerton, 1997; Baldwin et al., 2001; Ern et al., 2014). In the Southern Hemisphere,  
61 upward propagating GWs which originate from the troposphere tend to converge toward the  
62 polar night jet (Sato et al., 2009; Amemiya and Sato, 2016; Kogure et al., 2018). This is  
63 considered one of the processes which is essential to mitigate the cooling bias in the polar  
64 stratosphere and the delay bias of the polar vortex breakdown in climate models  
65 (McLandress et al., 2012).

66 GWs in the Antarctic have been studied using observations from radiosondes, satellites,  
67 and radars as well as numerical model simulations (e.g., Pfenninger et al., 1999; Yoshiki  
68 and Sato, 2000; Alexander and Teitelbaum, 2007; Sato and Yoshiki, 2008; Jewtoukoff et al.,  
69 2015; Alexander and Murphy 2015; Yoo et al., 2018; Kruse et al., 2022). For Syowa Station  
70 (69.0°S, 39.6°E), Yoshiki et al. (2004) examined the seasonal variation of kinetic and  
71 potential energies of GWs in the troposphere and lower stratosphere from operational

72 radiosonde observations. Program of Antarctic Syowa Mesosphere-Stratosphere-  
73 Troposphere/Incoherent Scatter (MST/IS) radar (the PANSY radar; Sato et al., 2014) at  
74 Syowa Station, which is the first large VHF clear-air Doppler radar in the Antarctic, has been  
75 continuously operated with its full system of the radar since 30 September 2015. Using the  
76 PANSY radar data, dynamical characteristics of Antarctic GWs have been examined for the  
77 troposphere and lower stratosphere (e.g., Mihalikova et al., 2016; Minamihara et al., 2018;  
78 2020) and for the upper mesosphere (e.g., Sato et al., 2017; Shibuya et al., 2017). Using  
79 continuous data from the PANSY radar for one year from October 2015 to September 2016,  
80 Minamihara et al. (2018) showed the dominance of near-inertial frequency GWs in the lower  
81 stratosphere in all seasons and Minamihara et al. (2020) demonstrated larger GW  
82 intermittency in the troposphere than in the lower stratosphere.

83 This study aims to clarify the climatology of the GW characteristics including momentum  
84 fluxes and kinetic energy in the troposphere and lower stratosphere in the Antarctic using  
85 the PANSY radar observations over seven years from October 2015–September 2022.  
86 Seasonal variations of the mean winds including vertical winds, which can be accurately  
87 estimated only by the large atmospheric radars, are also shown first for the Antarctic. In  
88 addition, we derive a theoretical formula which relates statistically the intrinsic frequency  
89 with the ratio of horizontal to vertical wind contributions to the GW kinetic energy, apply it to  
90 the radar data, and show the seasonal change of the intrinsic frequency.

91 This paper is organized as follows: the PANSY radar observations and methods to

92 estimate the intrinsic frequency are described in section 2. Section 3 shows the climatology  
93 of GW characteristics as well as that of the background field of wind and Brunt-Väisälä  
94 frequency squared. The seasonal variation of the GW characteristics is discussed in section  
95 4. Last, the summary and concluding remarks are provided in section 5.

96

## 97 **2. Data and Methodology**

98 The PANSY radar is a pulse-modulated monostatic Doppler radar system operated at 47  
99 MHz and consists of 1045 crossed-Yagi antennas (Sato et al., 2014). A standard  
100 observation mode uses five beams pointing vertically and obliquely to the north, east, south,  
101 and west at a zenith angle of  $10^\circ$ . This radar receives scattering echo from atmospheric  
102 turbulence which ubiquitously exist in the atmosphere, although the strength depends on  
103 the case. The radar observes radial wind velocities with a range resolution of 150 m and a  
104 temporal resolution of about 90 s. In the present study, the data obtained from the echo  
105 spectra integrated over every 30 min are used (Sato et al., 1997). Note that this integration  
106 makes the analysis insensitive to the fluctuations with wave periods shorter than  
107 approximately 1 hour.

108 The radar horizontal winds were estimated from the radial velocities by assuming the  
109 uniformity of the wind field between the two symmetric beams. The radial velocities of the  
110 east beam  $V_E$  and the west beam  $V_W$  with zenith angles  $\pm \theta$  are expressed using the  
111 zonal wind  $u$  and vertical wind  $w$ ,

112 
$$V_E = u \sin \theta + w \cos \theta, V_W = -u \sin \theta + w \cos \theta$$

113 Thus,  $u$  can be estimated using  $V_E$  and  $V_W$

114 
$$u = \frac{V_E - V_W}{2 \sin \theta} (2)$$

115 The meridional winds  $v$  are calculated similarly. The vertical winds  $w$  are directly  
 116 estimated from the vertical beam. In the following analysis, two types of disturbances  
 117 extracted by a high-pass filter are examined, namely, short wave period GWs and short  
 118 vertical wavelength GWs. The former is defined as fluctuations with wave periods shorter  
 119 than 1 day, while the latter as fluctuations with vertical wavelengths shorter than 6 km. Note  
 120 that, while short period GWs are often defined as waves with a period shorter than a few  
 121 hours, in the present study, the term “short wave period GW” is used to refer to high-  
 122 frequency components, contrasting with the definition of GWs based on the vertical  
 123 wavelength. These two types of high-pass filter have advantages respectively. In the former,  
 124 many of the same GWs can be analyzed at different altitudes through a focus on wave  
 125 periods, assuming that wave periods do not vary greatly. Disturbances with ground-based  
 126 phase velocities are close to zero such as orographic GWs cannot be extracted. On the  
 127 other hand, zero phase speed disturbances are extracted in the latter. Figure 1 shows the  
 128 time-height sections of the unfiltered  $u$  and  $w$  and the two types of GW fluctuations near  
 129 the tropopause ( $z = \sim 10$  km). Wave patterns in the height range of 14–17 km are generally  
 130 similar for short wave period and short vertical wavelength GWs. On the other hand, below  
 131 14km, the wave patterns filtered by each method are quite different. Wavelike disturbances

Fig. 1

132 with vertical wavelengths shorter than 6 km and wave periods longer than 1 day are  
 133 dominant in the horizontal wind fields (Figs. 1e and to a lesser extent Fig. 1f), while  
 134 disturbances with almost vertically aligned but slightly tilted phase structures with large  
 135 amplitudes are observed particularly below  $z = \sim 13$  km only in the short wave period GWs.  
 136 The background fields are calculated as the components with vertical wavelengths longer  
 137 than 6 km and wave periods longer than 1 day. Hereinafter, disturbance which are extracted  
 138 by using high-pass filter in temporal or vertical direction and the background field of a  
 139 physical quantity  $A$  are denoted as  $A'$ ,  $A^\dagger$ , and  $\bar{A}$ , respectively. In the following, we use  
 140 prime when describing examples of quantities which include disturbances. The prime in  
 141 these explanations can be replaced with a dagger, and the same can be considered for short  
 142 vertical wavelength GWs.

143 Vertical fluxes of zonal and meridional momentum are estimated using the method  
 144 proposed by Vincent and Reid (1983). Assuming uniformity of statistical properties of  
 145 disturbances such as variances and covariances at a certain height, the vertical flux of zonal  
 146 momentum per unit mass  $\overline{u'w'}$  is estimated by

$$147 \quad \overline{u'w'} = \frac{\overline{V_E'^2} - \overline{V_W'^2}}{2 \sin 2\theta} (3)$$

148 The vertical flux of meridional momentum  $\overline{v'w'}$  is calculated similarly.

149 Figure 2 shows the percentage of available data from the vertical beam and the average  
 150 percentage from the four oblique beams as a function of height. In the following, the  
 151 climatology is shown only for the heights where the available data percentage is larger than

Fig. 2



152 60 %. In other words, vertical winds are obtained for the height range from 1.5 to 25 km,  
 153 while horizontal winds and momentum fluxes are obtained from 1.5 to 22 km.

154 The Brunt-Väisälä frequency  $N$  is calculated from radiosonde observations at Syowa  
 155 Station, which are made twice daily by the Japan Meteorological Agency.

156 To obtain the climatology of GW characteristics, the following procedures were performed:  
 157 First, by dividing each month into 6 time periods, a 5-day mean was calculated for each  
 158 quantity. The data on the 31 of January, March, May, July, August, October, December are  
 159 included to make the last 5-day mean of each month. The last 5-day mean for February was  
 160 calculated using data of the 26–28th of February or of the 26–29th of February depending  
 161 on the year. Next, the time series of the 5-day mean data were averaged over seven years.  
 162 Last, a one-month running mean was made in time and then a 500-m running mean was  
 163 made in the vertical.

164 Many previous studies examine GW characteristics such as intrinsic frequency  $\hat{\omega}$  using  
 165 observations with high vertical resolutions from radiosondes and MST radars by analyzing  
 166 hodographs assuming a monochromatic wave for each short vertical range (e.g., Hirota and  
 167 Niki, 1985; Sato and Yoshiki, 2008; Minamihara et al., 2018). In the present study, by taking  
 168 advantage of the capability to observe the vertical wind directly, a theoretical formula was  
 169 newly derived to estimate the intrinsic frequency statistically. First, the ratio of the kinetic  
 170 energy due to the vertical winds ( $KE_{(z)} \equiv \frac{1}{2} \rho_0 \overline{w'^2}$ ) to that due to horizontal winds ( $KE_{(h)} \equiv \frac{1}{2} \rho_0$   
 171  $(\overline{u'^2} + \overline{v'^2})$ ) is defined as

172 
$$R \equiv \frac{\overline{w'^2}}{\overline{u'^2} + \overline{v'^2}} (4)$$

173 The relation between the horizontal wind component parallel to ( $u_{\parallel}$ ) and that perpendicular  
174 to ( $u_{\perp}$ ) the wavenumber vector of an inertia-GW is expressed as

175 
$$|u_{\parallel}| = \left| \frac{\hat{\omega}}{f} u_{\perp} \right| (5)$$

176 Using the dispersion relation for non-hydrostatic inertia-GWs (Fritts and Alexander, 2003)

177 
$$\left( \frac{k}{m} \right)^2 = \frac{\hat{\omega}^2 - f^2}{N^2 - \hat{\omega}^2} (6)$$

178  $ku_{\parallel} + mw' = 0$  derived from the equation of continuity, and  $\overline{u'^2} + \overline{v'^2} = \overline{u_{\parallel}^2} + \overline{u_{\perp}^2}$ ,  $R$  is  
179 expressed as

180 
$$R = \left[ 1 + \left( \frac{f}{\hat{\omega}} \right)^2 \right]^{-1} \cdot \frac{1 - \left( \frac{f}{\hat{\omega}} \right)^2}{\left( \frac{f}{\hat{\omega}} \right)^2 - \left( \frac{f}{N} \right)^2} \cdot \left( \frac{f}{N} \right)^2 (7)$$

181 Then,  $|f/\hat{\omega}|$  is obtained as

182 
$$\left| \frac{f}{\hat{\omega}} \right| = \sqrt{\frac{2(R+1)}{1 + R(\tilde{N}^2 - 1) + \sqrt{[1 + R(\tilde{N}^2 - 1)]^2 + 4(R+1)R\tilde{N}^2}}} (8)$$

183

184 where

185 
$$\tilde{N} \equiv \frac{N}{f}. (9)$$

186 In addition,  $|k/m|$  is expressed by using  $\left| \frac{f}{\hat{\omega}} \right|$  as

187 
$$\left| \frac{k}{m} \right| = \sqrt{R \left( 1 + \left| \frac{f}{\hat{\omega}} \right|^2 \right)} (10)$$

188

### 189 3. Results

190 Figure 3 shows the time-height sections of the climatology of background fields of (a)  
191 zonal wind  $\bar{u}$ , (b) meridional wind  $\bar{v}$ , (c) vertical wind  $\bar{w}$ , and (d) Brunt-Väisälä frequency  
192 squared  $N^2$  along with the tropopause for the temperature climatology. The  $\bar{u}$  values tend  
193 to be negative below the height of  $z = \sim 3$  km and positive above  $z = \sim 9$  km. Westerly winds  
194 are stronger as height increases. The westerly winds are faster than  $20 \text{ m s}^{-1}$  above  $z =$   
195  $\sim 15$  km from April to November. The westerly wind takes a maximum near the top of the  
196 displayed height range, with a value of about  $40 \text{ m s}^{-1}$ . The height of  $\bar{u} = 0 \text{ m s}^{-1}$ , which is  
197 the critical level of zonally propagating orographic gravity waves, is higher in summer than  
198 in other seasons. The maximum height of the critical level is slightly higher than  $z = \sim 9$  km  
199 in summer. The  $\bar{v}$  values are generally negative, but positive values are observed in  
200 autumn and spring in the height range of  $\sim 15$ – $20$  km. Northerly winds are strong around  
201  $z = \sim 20$  km and reach  $10 \text{ m s}^{-1}$  or more in October. Sharp changes exist near  $z = \sim 20$  km,  
202 where the number of effective observation points is less than in lower altitudes. The effect  
203 of the effective observation points still remains in the 500-m vertical smoothing. Note,  
204 however, that if the smoothing range is increased to eliminate this effect, the vertical profile  
205 cannot be examined in detail. The  $\bar{w}$  values in the troposphere are generally positive and  
206 especially large at  $z < \sim 4$  km. In the height range of 13–25 km,  $\bar{w}$  values are mainly  
207 negative from January to March, with a minimum value of  $\sim -11 \text{ mm/s}$ , whereas they are  
208 strongly positive from June to November, with a maximum value of  $\sim 43 \text{ mm s}^{-1}$ . The

Fig. 3

209 tropopause is located at a height within 8–10 km from December to June and within 9–13  
 210 km from July to November. A local maximum in  $N^2$  values occur at  $z = \sim 10$  km from  
 211 January to April. In addition, the region with large  $N^2$  gradually descends from higher  
 212 altitudes in spring.

213 Figure 4 shows the climatology of  $\overline{u'w'}$ ,  $\overline{v'w'}$ , kinetic energy due to horizontal wind  
 214 fluctuations  $KE_{(h)}$  and to vertical wind fluctuations  $KE_{(z)}$ ,  $R$ ,  $|f/\hat{\omega}|$ , and  $|k/m|$  for the  
 215 short wave period GWs in time-height sections. Here, the basic density  $\rho_0(z)$  is given by  $\rho_s$   
 216  $e^{-\frac{z}{H}}$ , where  $H$  and  $\rho_s$  are 7 km and  $1.3 \text{ kg m}^{-3}$ , respectively.

217 The  $\overline{u'w'}$  values are strongly negative from March to November in the height range of  
 218  $\sim 12$ – $22$  km in the lower stratosphere, where strong westerly winds are observed (Figure  
 219 4a). In December to February,  $\overline{u'w'}$  is quite weak in the weak mean zonal wind. In contrast,  
 220 in the troposphere,  $\overline{u'w'}$  tends to be positive in  $z = 3$ – $10$  km, while negative below  
 221 throughout the year. The  $\overline{v'w'}$  values are negative in most of the height region of  $z = 1.5$ – $5$   
 222 km throughout the year (Figure 4b). The negative  $\overline{u'w'}$  and negative  $\overline{v'w'}$  in the lower  
 223 troposphere are consistent with orographically generated GWs in the southwestward mean  
 224 wind which is dominant at Syowa Station (Sato and Hirasawa, 2007). An interesting  
 225 correspondence between  $\overline{v'w'}$  and  $\bar{v}$  is observed in  $z = 10$ – $20$  km in the stratosphere:  
 226  $\overline{v'w'}$  is positive (negative) when  $\bar{v}$  is negative (positive).

227 The  $KE_{(h)}$  values in the troposphere ( $z < \sim 10$  km) are generally larger in winter than in  
 228 summer, and  $\sim 1$ – $3 \text{ kg m}^{-1} \text{ s}^{-2}$  (Figure 4c). The  $KE_{(h)}$  values in the lower stratosphere are

Fig. 4

229 less than  $1 \text{ kg m}^{-1} \text{ s}^{-2}$ , which is smaller than those in the troposphere. An interesting feature  
230 is that  $KE_{(h)}$  is maximized in spring for  $z = 15\text{--}20 \text{ km}$  in the stratosphere where  $N^2$  is  
231 maximized. This feature is consistent with results by previous studies based on radiosonde  
232 observations (Pfenninger et al. 1999; Yoshiki and Sato, 2000; Yoshiki et al. 2004). The  $KE_{(z)}$   
233 values are quite large in the lower troposphere in all seasons especially in winter and spring  
234 from August to November, while the  $KE_{(z)}$  exhibits clear seasonal variation above  $z = \sim 12$   
235 km in the stratosphere which is small in summer (December to February) and large in winter  
236 (April to October) (Figure 4c). Compared to the  $KE_{(h)}$ , the  $KE_{(z)}$  has a sharp decrease with  
237 height around  $z = \sim 11 \text{ km}$ , which is reflected by the  $R$  minimum observed around  $z = \sim 9$   
238 km (Figure 4e). In the height range of  $\sim 13\text{--}22 \text{ km}$  in the lower stratosphere,  $R$  is large from  
239 March to November. The vertical profile of  $R$  is minimized around  $z = \sim 9 \text{ km}$  near the  
240 tropopause, which is especially obvious in March to April. The  $R$  value is largest at the  
241 observed lowest height (1.5 km).

242 Figure 4f shows seasonal variation of  $f/\hat{\omega}$  obtained using Eq. (7). Note that  $f/\hat{\omega}$  is  
243 proportional to intrinsic wave periods. The  $f/\hat{\omega}$  value has a distinct seasonal variation in  
244 the height range of  $15\text{--}22 \text{ km}$ , taking a maximum of about 0.30 in summer and a minimum  
245 of about 0.11 in autumn and spring. In other words, the intrinsic wave periods are longer in  
246 summer and shorter in autumn and spring. The  $f/\hat{\omega}$  value takes a maximum in the vertical  
247 slightly below the tropopause throughout the year and particularly evident from March to  
248 April whose largest value is 0.38. In contrast, the vertical profile of  $f/\hat{\omega}$  has a minimum of

249 <0.1 near the observed lowest height of  $z=1.5$  km throughout the year and also minimized  
 250 at the observed highest height ( $z = \sim 22$  km) from May to November.

251 Figure 4g shows the climatology of  $k/m$ . Small  $k/m$  in the lower stratosphere in summer  
 252 is consistent with the prominence of GWs with near-inertial frequencies (Minamihara et al.,  
 253 2018). Large  $k/m$  observed in the troposphere is considered due to active orographic GWs.  
 254 Increasing  $k/m$  with height in the lower stratosphere from April to October is interpreted as  
 255 the GW oscillations being aligned more vertically in stronger westerly winds. The minimum  
 256 of  $k/m$  near the troposphere indicates dominance of GWs with horizontally aligned  
 257 oscillation surface compared to other altitudes.

258 Figures 5a and 5b respectively show the climatology of the vertical convergences of the  
 259 vertical flux of zonal and meridional momentum  $(\bar{X}, \bar{Y})$   $\left( \equiv \left( -\frac{1}{\rho_0} \frac{\partial \rho_0 \overline{u'w'}}{\partial z}, -\frac{1}{\rho_0} \frac{\partial \rho_0 \overline{v'w'}}{\partial z} \right) \right)$  for  
 260 the short wave period GWs in a time-height section. For clear visualization, a 2-km running  
 261 mean was made in the vertical. The  $\bar{X}$  value tends to be positive in the height range of 9–  
 262 17 km, which is particularly dominant in winter season from May to November having a mean  
 263 value of  $\sim 0.37$  m s<sup>-1</sup> day<sup>-1</sup>. In contrast,  $\bar{Y}$  values above  $z = 10$  km in the lower stratosphere  
 264 are not very large and weakly positive in May to August with an average value of  $\sim 0.24$  m s<sup>-1</sup>  
 265 day<sup>-1</sup> for  $z = 12$ –14 km, while they tend to be negative in the troposphere.

266 Figures 5c and 5d show annual-mean  $\bar{X}$  and  $\bar{Y}$  as a function of height with their standard  
 267 deviations. In the height range of 11–17 km in the stratosphere,  $\bar{X}$  is significantly positive,  
 268 while  $\bar{Y}$  is about 0 m s<sup>-1</sup> day<sup>-1</sup>. In the height range below 9 km in the troposphere, both  $\bar{X}$

Fig. 5

269 and  $\bar{Y}$  tends to be negative, with large variation in the vertical. It is important that the  
 270 standard deviation for both  $\bar{X}$  and  $\bar{Y}$  is quite large in the troposphere compared with that  
 271 in the stratosphere, which is related to large intermittency of GWs in the troposphere  
 272 (Minamihara et al., 2020).

273 Figure 6 shows results for the short vertical wavelength GWs. Since these GWs share  
 274 similar characteristics with the short wave period GWs, only the differences in the  
 275 climatological features between the two types of GWs are highlighted: (Fig. 6a) The  $\overline{u^\dagger w^\dagger}$   
 276 values of the short vertical wavelength GWs are larger in  $z = \sim 3\text{--}10$  km than short wave  
 277 period GWs. (Fig. 6b) The  $\overline{v^\dagger w^\dagger}$  values of the short vertical wavelength GWs are generally  
 278 smaller than short wave period GWs. (Fig. 6c)  $\text{KE}_{(h)}$  of the short vertical wavelength GWs  
 279 is larger below  $z = \sim 4$  km but smaller in  $z = \sim 7\text{--}10$  km than short wave period GWs,  
 280 especially in June through November. (Fig. 6d)  $\text{KE}_{(z)}$  of the short vertical wavelength GWs  
 281 tends to be smaller in the entire displayed height range. (Fig. 6e)  $R$  of the short vertical  
 282 wavelength GWs is generally smaller than short wave period GWs. Vertical change of  $R$  in  
 283  $z > 10$  km in the stratosphere is different between the two types of GWs:  $R$  of short vertical  
 284 wavelength GWs does not change significantly with height, whereas that of short wave  
 285 period GWs increases with height during winter and spring. (Fig. 6f) The  $f/\hat{\omega}$  values for the  
 286 short vertical wavelength GWs are generally larger especially in  $z = 15\text{--}22$  km from autumn  
 287 to spring. In addition,  $f/\hat{\omega}$  is maximized near the tropopause ( $z = \sim 9$  km) and amounts to  
 288 0.37 from January to April. (g) The aspect ratio  $k/m$  of the short vertical wavelength GWs

Fig. 6

289 is generally smaller than short wave period GWs.

290

#### 291 **4. Discussion**

292 In this section, vertical and seasonal variations of observed GW characteristics in terms  
293 of  $f/\hat{\omega}$ ,  $k/m$ , and the vertical flux of horizontal momentum are discussed.

294 In the lower stratosphere,  $f/\hat{\omega}$  in summer is larger than that in winter. This seasonal  
295 variation is qualitatively consistent with the previous studies (Yoshiki et al., 2004; Mihalikova  
296 et al., 2016), although the  $f/\hat{\omega}$  values in the present study are slightly smaller than those  
297 in previous studies, likely due to the differences in the definition of GW fluctuations and  
298 estimation methods of  $f/\hat{\omega}$ .

299 The  $f/\hat{\omega}$  maximum near the tropopause is related to the  $KE_{(h)}$  maximum which leads to  
300 small  $R$  there. This means that the air oscillations associated with GWs are more  
301 horizontally tilted there. According to the dispersion relation,  $R$  of a monochromatic GW  
302 becomes smaller in a larger  $N^2$  region. However, the  $R$  minimum is located not at the  $N^2$   
303 peak but slightly below. This feature indicates that the GWs having small  $R$  are not due to  
304 change in the upward propagation conditions and so do not come from the lower  
305 atmosphere but are generated there. A most plausible generation mechanism is  
306 spontaneous adjustment near the upper tropospheric jet (e.g., Hirota and Niki, 1985; Sato,  
307 1994; Plougonven and Snyder, 2007; Yasuda et al., 2015a; 2015b). This can explain not  
308 only the small  $R$ , but the minimum of  $R$ , since the GWs radiated from the jet-front system



309 do not propagate significantly in the vertical direction.

310 The partial reflection is known as one of the notable properties of GWs associated with  
 311 sharp gradient of  $N^2$  and might impact the vertical profiles of  $R$  around the tropopause.  
 312 The relation between GW oscillation surfaces and transmission rates across the tropopause  
 313 is summarized in Appendix. It demonstrates that GWs with an oscillation surface close to  
 314 vertical are more prone to partial reflection compared to those with an oscillation surface  
 315 close to horizontal. Thus, the partial reflection at the tropopause is expected to result in a  
 316 small  $R$  above the tropopause. However, the present results show that  $R$  remains almost  
 317 constant near the height of 9 km, where there is a large vertical gradient of  $N^2$ . This suggests  
 318 that the partial reflection play an only secondary role in formation of the climatological vertical  
 319 profiles of  $R$ .

320 In the height range of 15–22 km in the stratosphere,  $f/\hat{\omega}$  values are smaller at higher  
 321 altitudes from April to October. This feature is attributable to strong background westerly  
 322 winds greater than  $20 \text{ m s}^{-1}$  from April to November (Fig. 3a). Because  $\overline{u'w'}$  is negative  
 323 there, GWs should have  $c$  smaller than  $\bar{u}$ . Hence, the absolute values of the intrinsic phase  
 324 velocity  $|\hat{c}| (= |c - \bar{u}|)$  and intrinsic frequency  $|\hat{\omega}| (= |k\hat{c}|)$  are larger in the stronger  
 325 westerly winds.

326 In the height range of 15–22 km, vertical increase in negative  $\overline{u'w'}$  (Fig. 4a) and positive  
 327  $\bar{X}$  (Fig. 5a) are observed except in summer. As an interpretation, the sign of the wave forcing  
 328 can be explained by multiple upward propagating GWs having eastward and westward

329 intrinsic phase velocities as an interpretation: A large part of GWs having eastward phase  
330 velocities relative to the background westerly winds having westerly shear are absorbed  
331 when they encounter the critical levels, while a remaining part of GWs having westward  
332 phase speeds relative to the background winds survive and freely propagate upward. The  
333 negative  $\overline{u'w'}$  in the upper levels can be explained by the presence of latter GWs, whereas  
334 the positive  $\overline{X}$  can be explained by the critical level filtering of the former GWs. This is also  
335 the first observational evidence showing that the GWs forcing is eastward and accelerates  
336 the lower part of the polar night jet.

337 In the lower stratosphere,  $KE_{(z)}$  for short wave period GWs increases with height during  
338 winter while that for short vertical wavelength GWs does not change significantly. This result  
339 means that GWs with long vertical wavelengths are more dominant at higher altitudes. This  
340 feature can also be explained by change in  $m$  of upward propagating GWs having  
341 westward intrinsic phase speeds in the strong westerly shear.

342 In the lower stratosphere, the increase of  $R$  for short wave period GWs with height is  
343 larger than that for short vertical wavelength GWs from May to October (Figs. 4e, 6e). In this  
344 region, GW oscillation surfaces relatively get vertical due to strong westerly shear, and  
345 vertical amplitudes increase. The  $R$  for short wave period GWs reflects this change and  
346 increases with height because the extraction by wave periods captures the same GWs. On  
347 the other hand,  $R$  for short vertical wavelength GWs does not increase significantly. This is  
348 likely because GWs with large  $R$  are no longer extracted due to the increase of vertical

349 wavelength as vertical amplitudes increase.

350

## 351 **5. Summary**

352 A statistical analysis of the GWs in the troposphere and lower stratosphere has been  
353 performed based on the continuous data from the PANSY radar observations at Syowa  
354 Station in the Antarctic over seven years. The climatology of the background winds and  
355 characteristics of the two types of GWs, namely, GWs having short wave periods ( $\tau \leq 1$  d)  
356 and short vertical wavelengths ( $\lambda_z \leq 6$  km) were obtained. The climatology of the mean  
357 vertical wind in the Antarctic is a result made possible only by the long continuous data for  
358 seven years from the PANSY radar.

359 In the height range of 13–25 km, the vertical winds are negative with a minimum value of  
360  $-11 \text{ mm s}^{-1}$  from late December to early March, while they are positive with a maximum value  
361 of  $43 \text{ mm s}^{-1}$  in remaining time periods. This feature is the first observational result for the  
362 Antarctic with the aid of the advantage of a large atmospheric radar and consistent with a  
363 general view of the Eulerian mean vertical winds at high latitudes shown by previous studies  
364 (e.g., Cunnold et al., 1975). It seems that upward winds are dominant in the troposphere  
365 although clear tendency in their sign is not observed. The kinetic energy of GWs in the height  
366 range of 15–22 km is maximized in austral spring, which is consistent with previous studies  
367 based on radiosonde observations. A diagnostic estimation of  $f/\hat{\omega}$  taking advantage of the  
368 availability of both horizontal and vertical wind fluctuations was made by a newly proposed

369 method in the present study. An interesting result is that  $f/\hat{\omega}$  is maximized near the  
370 tropopause, suggesting GW generation from the tropopausal jet. A vertical profile of  $\overline{u'w'}$   
371 shows positive values in the lowermost stratosphere and negative ones above, which  
372 suggests the presence of multiple GWs causing strong eastward wave forcing in the lower  
373 part of the westerly polar night jet. The average of the eastward wave forcing amounts to  
374 about  $0.37 \text{ m s}^{-1} \text{ day}^{-1}$  in the height range of 9–17 km from May to November.

375 The PANSY radar observations will continue until September 2027. It is also possible to  
376 examine interannual variation of GWs. It is important to investigate the GW horizontal  
377 propagation and the wave sources by combination with radar data at other locations and  
378 GW-permitting general circulation model simulations (e.g. Okui et al.,2021). The analysis of  
379 GWs in the mesosphere is important to investigate seasonal changes of GW characteristics  
380 and to elucidate the relation with stratospheric GWs based on the PANSY radar  
381 observations.

382

383

384

### Data Availability Statement

385 The data from the PANSY radar is available from the PANSY Data Archive (<https://pansy-data.nipr.ac.jp/pansyda/home/>). The data from radiosonde observations is available at the  
386 JMA website (<https://www.data.jma.go.jp/obd/stats/etrn/upper/index.php>).

388

389

## Acknowledgments

390 Operational radiosonde observations by JMA and the PANSY radar operation are made  
 391 in the framework of Japanese Antarctic Research Expedition (JARE). We greatly appreciate  
 392 the summer and wintering members of JARE. This work was supported by JSPS KAKENHI  
 393 Grant JP22H00169 and JST FOREST JPMJFR2231.

394

395

## Appendix

396 To Investigate the impact of partial reflection at the tropopause on the present results, the  
 397 energy ratio of upward GW in the stratosphere which passed through the tropopause to the  
 398 upward GWs in the troposphere (i.e., transmission rate) is obtained based on the analytical  
 399 solution for GWs in two layers with different static stability. Following Sutherland and  
 400 Yewchuk (2004), we consider small-amplitude waves in a stationary two-dimensional  
 401 Boussinesq fluid under the assumption that the background winds are zero. The Brunt-  
 402 Väisälä frequencies squared are given by constant values of  $N_T^2$  and  $N_S^2$  in the troposphere  
 403 and stratosphere, respectively.

404 The small-amplitude waves are known to satisfy the following equation:

$$405 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial z^2} \right) \frac{\partial^2 \psi}{\partial t^2} + N^2 \frac{\partial^2 \psi}{\partial x^2} = (A1)$$

406 where  $\psi$  is the streamfunction for the waves. Solutions of (A1) are a superposition of  $\psi = \hat{\psi}$   
 407  $(z) \exp[i(kx - \omega t)]$ , where  $\hat{\psi}(z)$  in the troposphere ( $z < 0$ ) and stratosphere ( $z > 0$ ) are  
 408 obtained as  $\hat{\psi}_T = A_T \exp(ik\sqrt{N_T^2 - \omega^2}z) + B_T \exp(-ik\sqrt{N_T^2 - \omega^2}z)$  and  $\hat{\psi}_S = A_S \exp$

409  $(ik\sqrt{N_S^2 - \omega^2}z)$ , respectively. From continuity of pressure and vertical velocity across the  
 410 tropopause ( $z = 0$ ), the transmission rate, is given by

$$411 \quad \frac{A_S^2}{A_T^2} = \frac{4(N_T^2 - \omega^2)}{(\sqrt{N_T^2 - \omega^2} + \sqrt{N_S^2 - \omega^2})^2} \quad (\text{A2})$$

412 We define  $\Theta_T = \arccos\left(\frac{\omega}{N_T}\right)$ , which indicates the angle between phase line of GWs and the  
 413 vertical direction. Using the dispersion rate of internal GWs  $\omega^2 = N^2k^2/(k^2+m^2)$ , the  
 414 transmission rate is rewritten as

$$415 \quad \frac{A_S^2}{A_T^2} = \frac{4 \sin^2 \Theta_T}{(\sin \Theta_T + \sqrt{N_S^2/N_T^2 - \cos^2 \Theta_T})^2} \quad (\text{A3})$$

416 The transmission rate increases with  $\Theta_T$  in the range of  $0 < \Theta_T < \frac{\pi}{2}$ , and GWs with an  
 417 oscillation surface close to vertical are prone to partial reflection. Sato et al. (2012) derived  
 418 the formulation of partial reflection rates using the dispersion relation of hydrostatic inertia-  
 419 GWs:  $(N_S - N_T)/(N_S + N_T)$ . The equation is rewritten to the transmission rate:

$$420 \quad \frac{A_S^2}{A_T^2} = \frac{4N_T^2}{(N_S + N_T)^2} \quad (\text{A4})$$

421 Note that, for  $\omega^2 \ll N_T^2, N_S^2$  (low-frequency waves), (A3) is equivalent to (A4).

422

423

## References

424

425 Alexander, M. J., M Geller., C. McLandress, S. Polavarapu, P. Preusse, F Sassi, K. Sato,  
 426 S. Eckermann, M. Ern, A. Hertzog, Y. Kawatani, M. Pulido, T. A. Shaw, M. Sigmund, R.  
 427 Vincent, and S. Watanabe, 2010: Recent developments in gravity-wave effects in  
 428 climate models and the global distribution of gravity-wave momentum flux from

- 429 observations and models. *Quart. J. Roy. Meteor. Soc.*, **136**, 1103–1124.
- 430 doi:10.1002/qj.637.
- 431 Alexander, M. J., and M. Teitelbaum, 2007: Observation and analysis of a large amplitude  
432 mountain wave event over the Antarctic peninsula. *J. Geophys. Res.: Atmos.*, **112**, D21.  
433 doi:10.1029/2006JD008368.
- 434 Alexander, S., and D. Murphy, 2015: The seasonal cycle of lower-tropospheric gravity  
435 wave activity at Davis, Antarctica (69°S, 78°E). *J. Atmos. Sci.*, **72(3)**, 1010–1021.  
436 doi:10.1175/JAS-D-14-0171.1.
- 437 Amemiya, A., and K. Sato, 2016: A new gravity wave parameterization including three-  
438 dimensional propagation. *J. Meteor. Soc. Japan Ser. II*, **94(3)**, 237–256.  
439 doi:10.2151/jmsj.2016-013.
- 440 Baldwin, M., L. Gray, T. Dunkerton, K. Hamilton, P. Haynes, W. Randel, M. Alexander, I.  
441 Hirota, T. Horinouchi, D. Jones, J. Kinnnersley, C. Marquardt, K. Sato, and M. Takahashi,  
442 2001: The quasi-biennial oscillation. *Rev. Geophys.*, **39(2)**, 179–229.  
443 doi:10.1029/1999RG000073.
- 444 Cunnold, D., F. Alyea, N. Phillips, and R. Prinn, 1975: A three-dimensional dynamical-  
445 chemical model of atmospheric ozone. *J. Atmos. Sci.*, **32**, 170–194, doi:10.1175/1520-  
446 0469(1975)032<0170:ATDDCM>2.0.CO;2.
- 447 Ern, M., F. Ploeger, P. Preusse, J. C. Gille, L. J. Gray, S. Kalisch, M. G. Mlynczak, J. M.  
448 Russell III, and M. Riese, 2014: Interaction of gravity waves with the QBO: A satellite

- 449 perspective. *J. Geophys. Res.: Atmos.*, **119(5)**, 2329–2355. doi:10.1002/2013JD020731.
- 450 Fritts, D. C., and M. J. Alexander, 2003: Gravity wave dynamics and effects in the middle  
451 atmosphere. *Rev. Geophys.*, **41(1)**, 1003. doi:10.1029/2001RG000106.
- 452 Hertzog, A., M. J. Alexander, and R. Plougonven, 2012: On the intermittency of gravity  
453 wave momentum flux in the stratosphere. *J. Atmos. Sci.*, **69(11)**, 3433–3448.  
454 doi:10.1175/JAS-D-12-09.1.
- 455 Hirota, I., and T. Niki, 1985: A statistical study of inertia-gravity waves in the middle  
456 atmosphere. *J. Meteor. Soc. Japan Ser. II*, **63(6)**, 1055–1066.  
457 doi:10.2151/jmsj1965.63.6\_1055.
- 458 Jewtoukoff, V., A. Hertzog, R. Plougonven, A. Cámara, and F. Lott, 2015: Gravity waves in  
459 the Southern Hemisphere derived from balloon observations and ECMWF analyses. *J.*  
460 *Atmos. Sci.*, **72**, 3449–3468. doi:10.1175/JAS-D-14-0324.1.
- 461 Kogure, M., T. Nakamura, M. K. Ejiri, T. Nishiyama, Y. Tomikawa, and M. Tsutsumi, 2018:  
462 Effects of horizontal wind structure on a gravity wave event in the middle atmosphere  
463 over Syowa (69°S, 40°E), the Antarctic. *Geophys. Res. Lett.*, **45**, 5151–5157.  
464 doi:10.1029/2018GL078264.
- 465 Kruse, C. G., M. J. Alexander, L. Hoffmann, A. van Niekerk, I. Polichtchouk, J. T.  
466 Bacmeister, L. Holt, R. Plougonven, P. Šácha, C. Wright, K. Sato, R. Shibuya, S.  
467 Gisinger, M. Ern, C. I. Meyer, & O. Stein, 2022: Observed and modeled mountain waves  
468 from the surface to the mesosphere near the Drake Passage. *J. Atmos. Sci.*, **79(4)**, 909-



469 932. doi:10.1175/JAS-D-21-0252.1.

470 McFarlane, N. A., 1987: The effect of orographically excited gravity wave drag on the  
471 general circulation of the lower stratosphere and troposphere. *J. Atmos. Sci.*, **44**, 1775–  
472 1800. doi:10.1175/1520-0469(1987)044<1775:TEOOEG>2.0.CO;2.

473 McLandress, C., T. G. Shepherd, S. Polavarapu, and S. R. Beagley, 2012: Is missing  
474 orographic gravity wave drag near 60°S the cause of the stratospheric zonal wind biases  
475 in chemistry–climate models? *J. Atmos. Sci.*, **69**, 802–818. doi:10.1175/JAS-D-11-  
476 0159.1.

477 Mihalikova, M., K. Sato, M. Tsutsumi, and T. Sato, 2016: Properties of inertia-gravity  
478 waves in the lowermost stratosphere as observed by the PANSY radar over Syowa  
479 Station in the Antarctic. *Ann. Geophys.*, **34**, 543–555. doi:10.5194/angeo-34-543-2016.

480 Minamihara, Y., K. Sato, and M. Tsutsumi, 2020: Intermittency of gravity waves in the  
481 Antarctic troposphere and lower stratosphere revealed by the PANSY radar observation.  
482 *J. Geophys. Res. Atmos.*, **125(15)**, e2020JD032543. doi:10.1029/2020JD032543.

483 Minamihara, Y., K. Sato, M. Tsutsumi, and T. Sato, 2018: Statistical characteristics of  
484 gravity waves with near-inertial frequencies in the Antarctic troposphere and lower  
485 stratosphere observed by the PANSY radar. *J. Geophys. Res.: Atmos.*, **123(17)**, 8993–  
486 9010. doi:10.1029/2017JD028128.

487 Okui, H., K. Sato, D. Koshin, and S. Watanabe, 2021: Formation of a mesospheric  
488 inversion layer and the subsequent elevated stratopause associated with the major

- 489 stratospheric sudden warming in 2018/19. *J. Geophys. Res.: Atmos.*, **126**,  
490 e2021JD034681. doi:10.1029/2021JD034681.
- 491 Palmer, T. N., G. J. Shutts, and R. Swinbank, 1986: Alleviation of a systematic westerly  
492 bias in general circulation and numerical weather prediction models through an  
493 orographic gravity wave drag parametrization. *Quart. J. Roy. Meteor. Soc.*, **112**: 1001-  
494 1039. doi:10.1002/qj.49711247406.
- 495 Pfenninger, M., A. Z. Liu, G. C. Papen, and C. S. Gardner, 1999: Gravity wave  
496 characteristics in the lower atmosphere at south pole. *J. Geophys. Res.: Atmos.*,  
497 **104(D6)**, 5963–5984. doi:10.1029/98JD02705.
- 498 Plougonven, R., V. Jewtoukoff, A. Cámara, F. Lott, and A. Hertzog, 2017: On the relation  
499 between gravity waves and wind speed in the lower stratosphere over the Southern  
500 Ocean. *J. Atmos. Sci.*, **74(4)**, 1075–1093. doi:10.1175/JAS-D-16-0096.1.
- 501 Plougonven, R., and C. Snyder, 2007: Inertia–gravity waves spontaneously generated by  
502 jets and fronts. Part I: different baroclinic life cycles. *J. Atmos. Sci.*, **64** 2502–2520.  
503 doi:10.1175/jas3953.1.
- 504 Plumb, R. A., 2002: Stratospheric transport. *J. Meteor. Soc. Japan Ser. II*, **80(4B)**, 793–  
505 809. doi:10.2151/jmsj.80.793.
- 506 Sato, K., 1994: A statistical study of the structure, saturation and sources of inertio-gravity  
507 waves in the lower stratosphere observed with the MU radar. *J. Atmos. Terr. Phys.*,  
508 **56(6)**, 755–774. doi:10.1016/0021-9169(94)90131-7.

- 509 Sato, K., and T. J. Dunkerton, 1997: Estimates of momentum flux associated with  
510 equatorial Kelvin and gravity waves. *J. Geophys. Res.: Atmos.*, **102(D22)**, 26247-26261.  
511 doi:10.1029/96JD02514.
- 512 Sato, K., and N. Hirasawa, 2007: Statistics of Antarctic surface meteorology based on  
513 hourly data in 1957-2007 at Syowa Station. *Polar Sci.*, **1**, 1–15.  
514 doi:10.1016/j.polar.2007.05.001.
- 515 Sato, K., M. Kohma, M. Tsutsumi, and T. Sato, 2017: Frequency spectra and vertical  
516 profiles of wind fluctuations in the summer Antarctic mesosphere revealed by MST radar  
517 observations. *J. Geophys. Res.: Atmos.*, **122**, 3–19. doi:10.1002/2016JD025834.
- 518 Sato, K., D. J. O'Sullivan, and T. J. Dunkerton, 1997: Low-frequency inertia-gravity waves  
519 in the stratosphere revealed by three-week continuous observation with the MU radar,  
520 *Geophys. Res. Lett.*, **24**, 1739–1742. doi:10.1029/97GL01759.
- 521 Sato, K., S. Tatenno, S. Watanabe, and Y. Kawatani, 2012: Gravity wave characteristics in  
522 the Southern Hemisphere revealed by a high-resolution middle-atmosphere general  
523 circulation model. *J. Atmos. Sci.*, **69**, 1378–1396. doi:10.1175/JAS-D-11-0101.1.
- 524 Sato, K., M. Tsutsumi, T. Sato, T. Nakamura, A. Saito, Y. Tomikawa, K. Nishimura, M.  
525 Kohma, H. Yamagishi and T. Yamanouchi, 2014: Program of the Antarctic Syowa  
526 MST/IS Radar (PANSY). *J. Atmos. Sol.-Terr. Phys.*, **118, PartA**, 2-15,  
527 doi:10.1016/j.jastp.2013.08.022.
- 528 Sato, K., and M. Yoshiki, 2008: Gravity wave generation around the polar vortex in the

- 529 stratosphere revealed by 3-hourly radiosonde observations at Syowa Station. *J. Atmos.*  
530 *Sci.*, **65**, 3719–3735. doi:10.1175/2008JAS2539.1.
- 531 Sato, K., S. Watanabe, Y. Kawatani, Y. Tomikawa, K. Miyazaki, and M. Takahashi, 2009:  
532 On the origins of mesospheric gravity waves. *Geophys. Res. Lett.*, **36(19)**, L19801.  
533 doi:10.1029/2009GL039908.
- 534 Sutherland, B., and K. Yewchuk, 2004: Internal wave tunneling. *J. Fluid Mech.*, **511**, 125–  
535 134. doi:10.1017/S0022112004009863.
- 536 Vincent, R. A., and I. M. Reid, 1983: HF Doppler measurements of mesospheric gravity  
537 wave momentum fluxes. *J. Atmos. Sci.*, **40(5)**, 1321–1333. doi:10.1175/1520-  
538 0469(1983)040<1321:HDMOMG>2.0.CO;2.
- 539 Yasuda, Y., K. Sato, and N. Sugimoto, 2015: A theoretical study on the spontaneous  
540 radiation of inertia-gravity waves using the renormalization group method. Part I:  
541 derivation of the renormalization group equations. *J. Atmos. Sci.*, **72**, 957–983.  
542 doi:10.1175/JAS-D-13-0370.1.
- 543 Yasuda, Y., K. Sato, and N. Sugimoto, 2015: A theoretical study on the spontaneous  
544 radiation of inertia-gravity waves using the renormalization group method. Part II:  
545 verification of the theoretical equations by numerical simulation. *J. Atmos. Sci.*, **72**, 984–  
546 1009. doi:10.1175/JAS-D-13-0371.1.
- 547 Yoo, J. H., T. Choi, H. Y. Chun, Y. H. Kim, I. S. Song, and B. G. Song, 2018: Inertia-gravity  
548 waves revealed in radiosonde data at Jang Bogo Station, Antarctica (74°37'S,

549 164°13'E): 1. Characteristics, energy, and momentum flux. *J. Geophys. Res. Atmos.*,  
550 **123**, 13–305. doi:10.1029/2018JD029164.

551 Yoshiki, M., N. Kizu, and K. Sato, 2004: Energy enhancements of gravity waves in the  
552 Antarctic lower stratosphere associated with variations in the polar vortex and  
553 tropospheric disturbances. *J. Geophys. Res.: Atmos.*, **109**, D23104.  
554 doi:10.1029/2004JD004870.

555 Yoshiki, M., and K. Sato, 2000: A statistical study of gravity waves in the polar regions  
556 based on operational radiosonde data. *J. Geophys. Res.*, **105(D14)**, 17995–18011.  
557 doi:10.1029/2000JD900204.

558

559

## List of Figures

560

561 Fig. 1 Time-height sections of (a)  $u$  and (b)  $w$  in the altitude range of 10–17 km from  
562 12UTC 17 to 12UTC 20 November 2020. (c) and (d) Same as (a) but for fluctuations  
563 with wave periods shorter than 1 day. (e) and (f) Same as (a) but for fluctuations with  
564 vertical wavelengths shorter than 6 km.

565

566 Fig. 2 Vertical profiles of the percentage of effective observation points for the vertical  
567 beam (blue) and oblique beams (orange).

568

569 Fig. 3 (a) Time-height sections of the climatological mean of background field of zonal wind  
570  $\bar{u}$ . The contour interval is 2 m/s. The thick line indicates 0 m/s. (b) Same as (a) but for  
571 meridional wind  $\bar{v}$ . The contour interval is 0.5 m/s. (c) Same as (a) but for vertical wind  $\bar{w}$ .  
572 The contour interval is 0.003 m/s. (d) Same as (a) but for Brunt-Väisälä frequency squared  
573  $N^2$  with the tropopause (red circles) for the climatological mean temperature. The contour  
574 interval is  $2 \times 10^{-5} \text{ s}^{-2}$ .

575

576 Fig. 4 (a–g) Time-height sections of climatology of wave characteristics of the short wave  
577 period GWs. Time-height sections of (a) the vertical flux of zonal momentum  $\overline{u'w'}$ , (b) the  
578 vertical flux of meridional momentum  $\overline{v'w'}$ , (c) the horizontal kinetic energy  $\text{KE}_{(h)}$ , (d) the

579 vertical kinetic energy  $KE_{(z)}$ , (e) the ratio of the vertical kinetic energy to the horizontal  
 580 kinetic energy  $R$ , (f) ratio of the Coriolis parameter to the intrinsic frequency  $f/\hat{\omega}$ , and (g)  
 581 the ratio of the horizontal wave number to the vertical wave number  $k/m$ . The contours of  
 582 (a) and (b) indicate the background field of zonal ( $\bar{u}$ ) and meridional ( $\bar{v}$ ) wind. The contour  
 583 intervals are 5 m/s and 2.5 m/s.

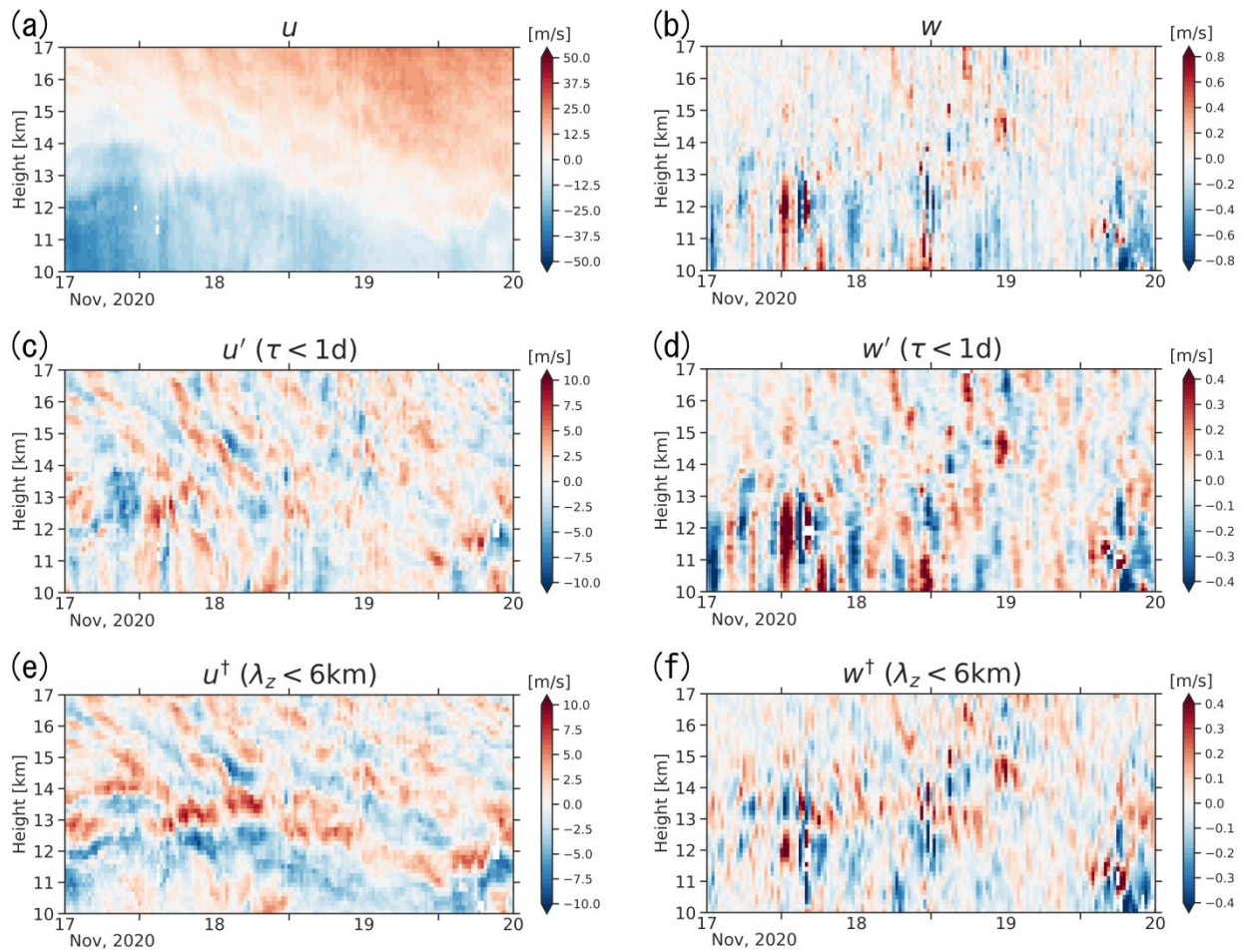
584

585 Fig. 5 (a–b) Time-height sections of the vertical flux divergence of the horizontal  
 586 momentum for the short wave period GWs. Time-height sections of (a) the divergence of  
 587 the vertical flux of zonal momentum  $-\frac{1}{\rho_0} \frac{\partial \rho_0 \overline{u'w'}}{\partial z}$ , and (b) the divergence of the vertical flux  
 588 of meridional momentum  $-\frac{1}{\rho_0} \frac{\partial \rho_0 \overline{v'w'}}{\partial z}$ . The contours of (a) and (b) indicate the background  
 589 field of zonal ( $\bar{u}$ ) and meridional ( $\bar{v}$ ) wind. The contour intervals are 5 m/s and 2.5 m/s. (c–  
 590 d) The vertical profiles for the vertical flux divergences of the horizontal momentum and their  
 591 standard deviations. The vertical profiles for (c) the vertical flux divergence of zonal  
 592 momentum  $-\frac{1}{\rho_0} \frac{\partial \rho_0 \overline{u'w'}}{\partial z}$  and (d) the vertical flux divergence of meridional momentum  $-\frac{1}{\rho_0}$   
 593  $\frac{\partial \rho_0 \overline{v'w'}}{\partial z}$ . The solid and dashed lines of (c) and (d) indicate the time-mean momentum flux  
 594 divergences and their standard deviations.

595

596 Fig. 6 Same as Fig. 4, but due to short vertical wavelength GWs.

597



598

599 Fig. 1 Time-height sections of (a)  $u$  and (b)  $w$  in the altitude range of 10–17 km from

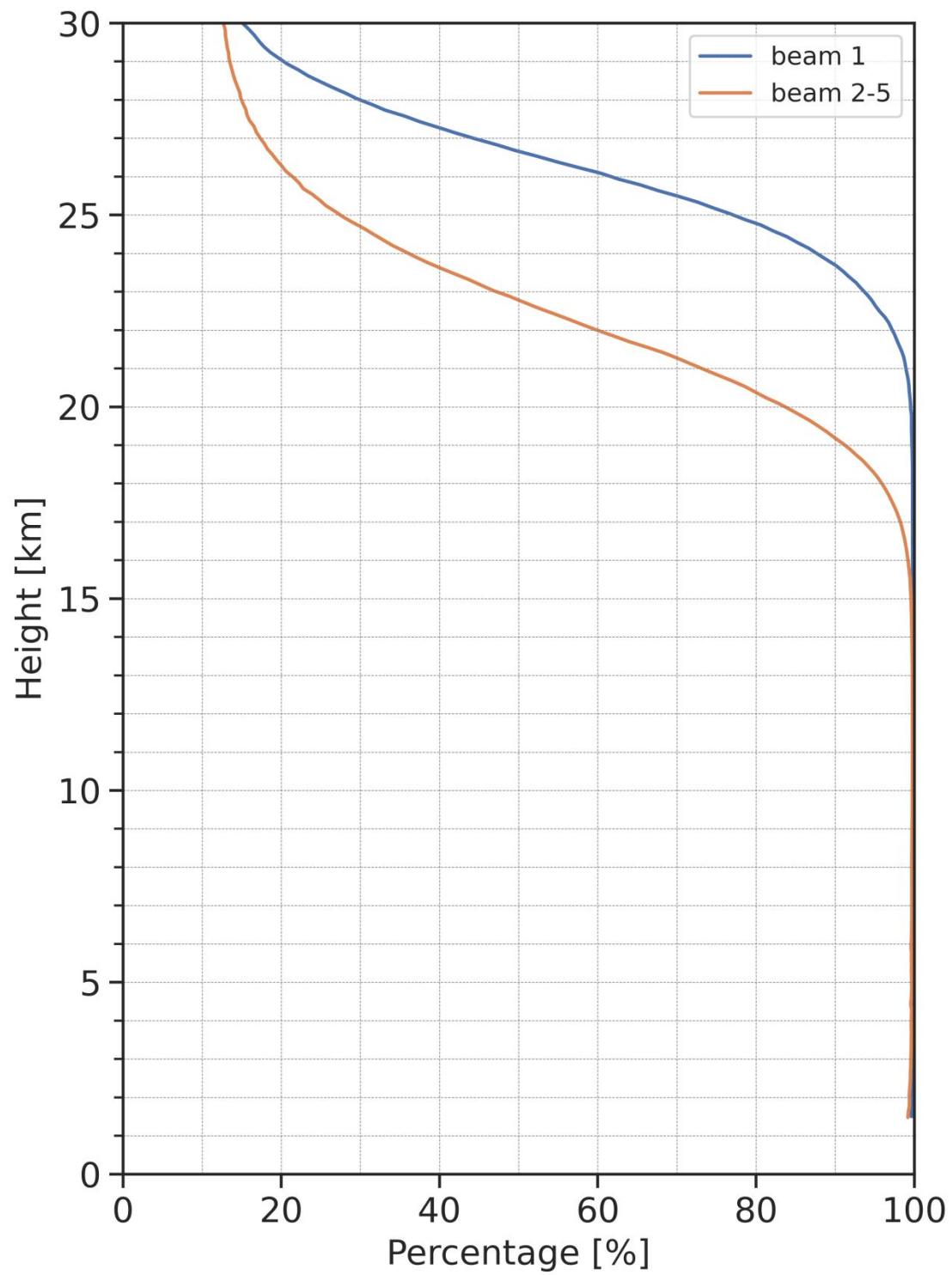
600 12UTC 17 to 12UTC 20 November 2020. (c) and (d) Same as (a) but for fluctuations

601 with wave periods shorter than 1 day. (e) and (f) Same as (a) but for fluctuations with

602 vertical wavelengths shorter than 6 km.

603



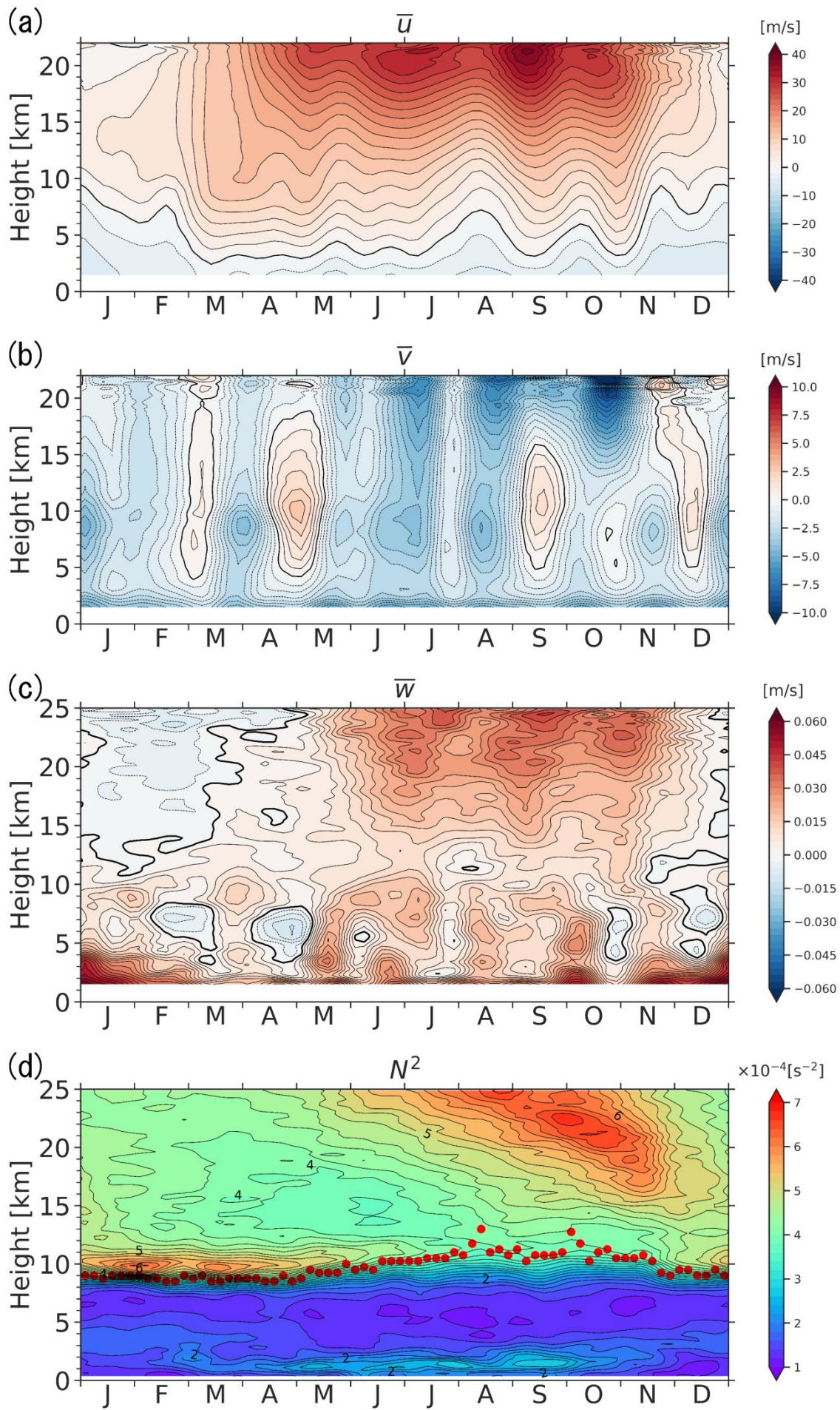


604

605 Fig. 2 Vertical profiles of the percentage of effective observation points for the vertical

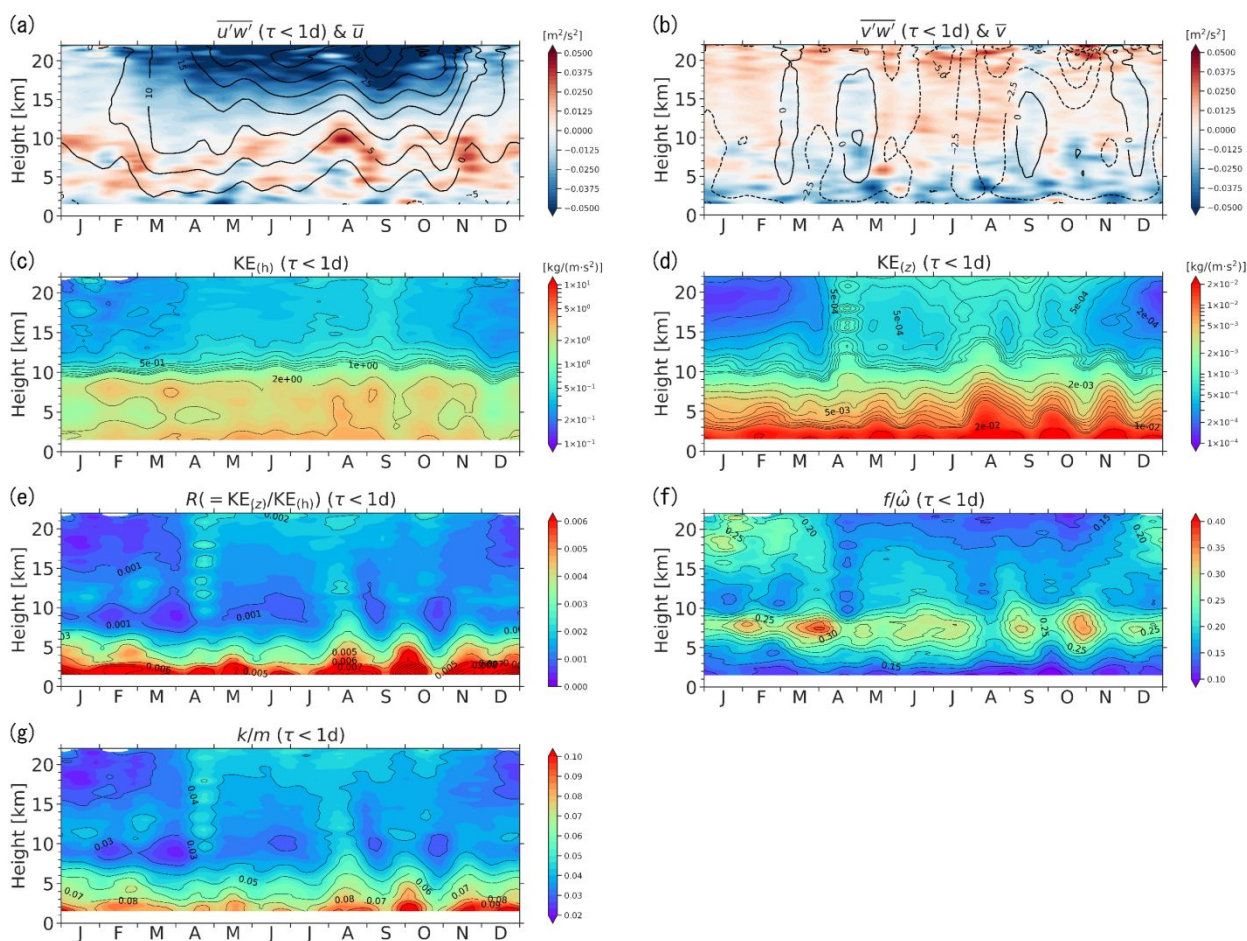
606 beam (blue) and oblique beams (orange).

607



609 Fig. 3 (a) Time-height sections of the climatological mean of the background field of zonal  
610 wind  $\bar{u}$ . The contour interval is 2 m/s. The thick line indicates 0 m/s. (b) Same as (a) but  
611 for meridional wind  $\bar{v}$ . The contour interval is 0.5 m/s. (c) Same as (a) but for vertical wind  
612  $\bar{w}$ . The contour interval is 0.003 m/s. (d) Same as (a) but for Brunt-Väisälä frequency  
613 squared  $N^2$  with the tropopause (red circles) for the climatological mean temperature. The  
614 contour interval is  $2 \times 10^{-5} \text{ s}^{-2}$ .

615



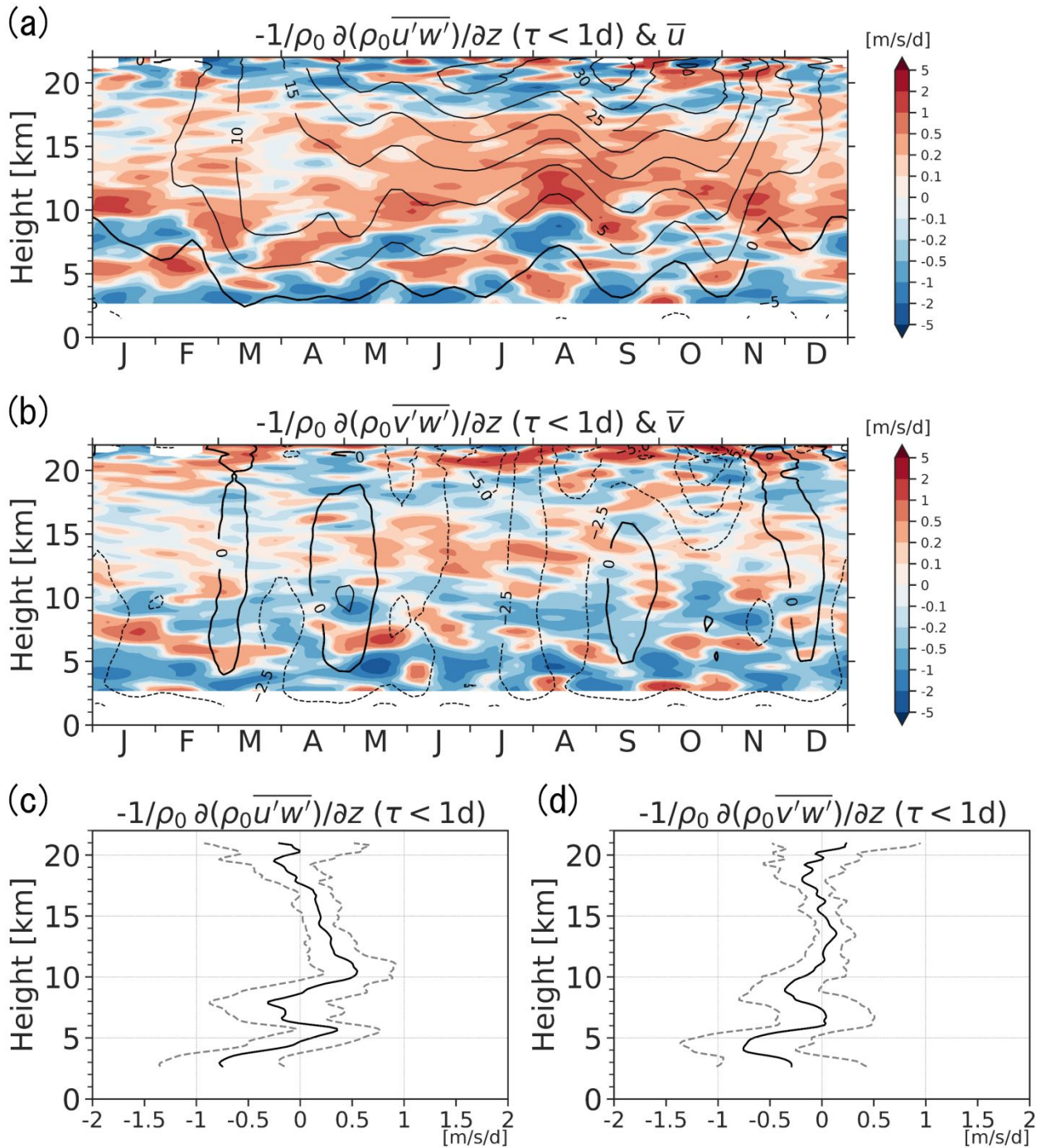
616

617 Fig. 4 (a–g) Time-height sections of climatology of wave characteristics of the short wave

618 period GWs. Time-height sections of (a) the vertical flux of zonal momentum  $\overline{u'w'}$ , (b) the619 vertical flux of meridional momentum  $\overline{v'w'}$ , (c) the horizontal kinetic energy  $KE_{(h)}$ , (d) the620 vertical kinetic energy  $KE_{(z)}$ , (e) the ratio of the vertical kinetic energy to the horizontal621 kinetic energy  $R$ , (f) ratio of the Coriolis parameter to the intrinsic frequency  $f/\hat{\omega}$ , and (g)622 the ratio of the horizontal wave number to the vertical wave number  $k/m$ . The contours of623 (a) and (b) indicate the background field of zonal ( $\bar{u}$ ) and meridional ( $\bar{v}$ ) wind. The contour

624 intervals are 5 m/s and 2.5 m/s.

625

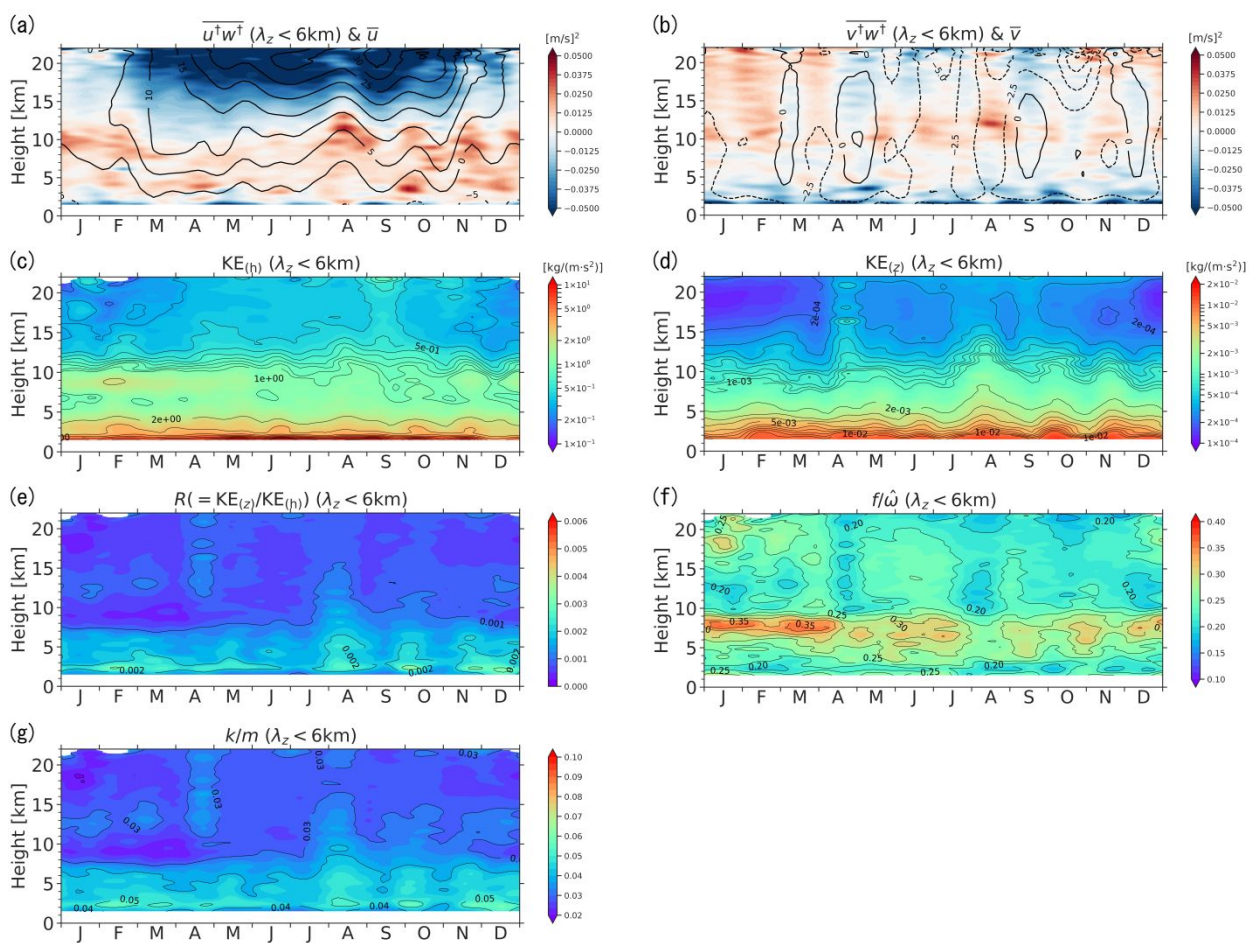


626

627 Fig. 5 (a–b) Time-height sections of the vertical flux divergence of the horizontal  
 628 momentum for the short wave period GWs. Time-height sections of (a) the divergence of  
 629 the vertical flux of zonal momentum  $-\frac{1}{\rho_0} \frac{\partial \rho_0 \overline{u'w'}}{\partial z}$ , and (b) the divergence of the vertical flux  
 630 of meridional momentum  $-\frac{1}{\rho_0} \frac{\partial \rho_0 \overline{v'w'}}{\partial z}$ . The contours of (a) and (b) indicate the background  
 631 field of zonal ( $\bar{u}$ ) and meridional ( $\bar{v}$ ) wind. The contour intervals are 5 m/s and 2.5 m/s. (c–

632 d) The vertical profiles for the vertical flux divergences of the horizontal momentum and their  
633 standard deviations. The vertical profiles for (c) the vertical flux divergence of zonal  
634 momentum  $-\frac{1}{\rho_0} \frac{\partial \overline{\rho_0 u' w'}}{\partial z}$  and (d) the vertical flux divergence of meridional momentum  $-\frac{1}{\rho_0}$   
635  $\frac{\partial \overline{\rho_0 v' w'}}{\partial z}$ . The solid and dashed lines of (c) and (d) indicate the time-mean momentum flux  
636 divergences and their standard deviations.

637



638

639 Fig. 6 Same as Fig. 4, but due to short vertical wavelength GWs.