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2	understanding and diagnosis of tropical cyclone inner core
3	structure
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### Abstract

77 The inner core of a tropical cyclone (TC) is vital for TC energetics and often undergoes 78 dramatic changes. This article provides a review on the understanding and operational 79 practices of the structural changes in the TC inner core, mainly focusing on recent 80 literature and activities. The inner core structure of a TC is generally described as an 81 axisymmetric vortex in the vicinity of a hydrostatic and gradient wind-balanced state. 82 However, this schematic can sometimes be oversimplified. Recent studies have 83 documented small-scale features of the inner core, structural changes in TC rapid 84 intensification, secondary eyewall formation, and eyewall replacement cycles using 85 observational data, and idealized and sophisticated models. In line with the progress in understanding the inner core structure, several operational agencies have recently 86 87 analyzed TC structural changes using their subjective analyses or diagnostic tools, 88 contributing to disaster prevention. We also discuss potential impacts of climate change 89 on the inner core structure, for which further work is required to reach a solid conclusion.

90

91 **Keywords:** Tropical cyclones; structure change; operational forecast; climate change

### 93 1. Introduction

94 Tropical cyclones (TCs; all acronyms are summarized in the appendix) are often highly destructive, and an understanding of these systems and their operational activities 95 96 are important in atmospheric science and disaster prevention. The inner core of TCs is 97 particularly important owing to the strong winds and intense rainfall. The inner core of a 98 developed TC consists of an eye, an eyewall surrounding the eye, and inner rainbands 99 originating from the eyewalls. It is generally accepted that diabatic heating in the eyewall, 100 banking upward and outward, is vital for TC energetics, and the relevant updraft forces 101 downward motion in the eye and outside the eyewall. Although this schematic is convenient 102 for describing the basic structure of the inner core in a mature axisymmetric TC, the actual 103 inner core of a TC is not as simple as it has small-scale features and asymmetries, which 104 are dependent on the stage of life cycle, outer core, and environment. In addition, the inner 105 core of the TC can experience a dramatic change such as the Secondary Eyewall Formation 106 (SEF) in which another eyewall develops outside the existing eyewall. The existing eyewall 107 is often replaced with the outer eyewall in a period of one day, which is referred to as the 108 evewall replacement cycle (ERC). As many researchers have become interested in these 109 topics, new perspectives have been introduced over the last several years, which build upon 110 existing studies. In addition to research purposes, the inner core structure of TCs is also 111 important for operational analyses and forecasting of TC intensity and size. Operations on 112 TC inner core structure in various agencies have recently become more active, however 113 global activities are less documented in publications. Climatological changes in the TC inner 114 core structure are also relevant. Although the development of a high-resolution model that 115 can resolve the TC inner core is expensive for climatological simulation, some recent studies 116 have discussed the structural changes in TC inner cores in future climate scenarios.

117 As numerous studies on TC inner core structure changes have been performed in 118 the last several years, here we propose a review of these activities. The goal is to assist in

organizing the available information, motivate further research, and contribute to disaster 119 120 prevention and mitigation. The paper is organized as follows: In Section 2, a review of recent 121 studies on fine-scale features and the fundamental understanding of the TC inner core, 122 excluding the SEF and ERC, which are described in Section 3. Sections 2 and 3 begin with 123 basic TC dynamics and conventional theories, followed by the recent studies. Recent 124 activities on the operational analyses and forecasting of the TC inner core structure are 125 summarized in Section 4, and the climatological impact is outlined in Section 5. Finally, 126 Section 6 summarizes the conclusions. The review largely focused on literature published 127 since 2018, and built upon existing reviews of the TC inner core structure (Stern et al. 2014a; 128 Vigh et al. 2018). However, some studies published before 2018 were also referenced for 129 better understanding. We do not review the structural changes in the tropical and 130 extratropical transition, which is nicely summarized in Wood et al. (2023). Also, the inner 131 core structure change is strongly related to the TC intensity change. A reader may refer to 132 Chen et al. (2023) for recent studies on TC intensity change.

133

### 134 2. TC inner core structure (excluding SEF/ERC)

135 The basic structure of the TC inner core is reviewed prior to introducing recent 136 progress in TC inner core structure research. A storm undergoes explosive convection, 137 known as a convective burst, during its genesis and development. It has a deep structure 138 that covers the entire troposphere. A mature TC has an eye characterized by a warm core 139 and dry air except near the surface (Hawkins and Rubsam 1968). The eye is surrounded by 140 an eyewall consisting of convective clouds sloping upward and outward, similar to a stadium. 141 The inflow in the boundary layer directs humid air to the eyewall and obtains further moisture 142 from warm sea surface, whereas most of the air flows outward in a shallow layer at the 143 tropopause. The eyewall region exhibited intense diabatic heating and precipitation, and the 144 cloud-free eye was thought to have been formed to compensate for subsidence. The TC

Page 7 of 79

#### For Peer Review

tangential velocity is usually maximized at altitudes of 500-1000 m (Powell et al. 2003) and gradually weakens with increasing height. The tangential wind component that consists of strong cyclonic circulation is often called the primary circulation, while the radial and vertical wind components in the radial-pressure section are called the secondary circulation.

149 A mature TC is typically regarded as an axisymmetric vortex in the vicinity of gradient 150 wind and hydrostatic balanced state (Ooyama 1969). The combination of the gradient wind 151 balance and hydrostatic balance yields the thermal-wind balance, linking the vertical 152 decrease in tangential wind to the radial decrease in temperature owing to the warm core. As the saturated equivalent potential temperature  $\theta_e^*$  (or moist entropy  $s^* = C_p \log \theta_e^*$ ;  $C_p$  is 153 154 the specific heat capacity at constant pressure) is nearly conserved above the boundary layer, the motion of a parcel in the eyewall follows the constant  $\theta_{e}^{*}$  surface. From a dynamical 155 point of view, the absolute angular momentum (AAM) defined by the following equation is 156 157 also an important quantity that is conserved without surface friction or turbulent mixing.

$$M = \frac{1}{2}fr^2 + rv\tag{1}$$

where *M* is the AAM, *f* is the Coriolis parameter, *r* is the distance from the TC center, and *v* is the tangential velocity. The coincident surface of constant *M* and constant  $\theta_e^*$  in the eyewall region indicates moist slantwise neutrality (Emanuel 1983).

161 The horizontal motion of an air parcel in a rapidly rotating environment is restricted 162 by inertial stability *I*<sup>2</sup> defined as follows:

$$I^{2} = \left(f + \frac{2v}{r}\right)\left(f + \zeta\right) = \frac{1}{2r^{3}}\frac{\partial M^{2}}{\partial r}$$
(2)

163 where  $\zeta$  is the vertical component of relative vorticity. The horizontal motion in a rapidly 164 rotating fluid is constrained by *I* instead of the Coriolis parameter *f* as the Rossby number 165 (v/fr) is much larger than unity. For example, characteristic scales of inertial period and 166 dynamically determined boundary layer depth are  $2\pi/I$  and  $\sqrt{K/I}$  instead of  $2\pi/f$  and 167  $\sqrt{K/f}$ , respectively, where *K* is the diffusive eddy viscosity (Ito et al. 2011; Kepert 2001; 168 Zhang et al. 2011).

169 A mature TC is rather symmetric; however, it also has notable asymmetric features. 170 One of the examples is small scale vorticities called as "mesovorticies" which behave as 171 vortex Rossby waves whose background field is a TC-scale vortex (Macdonald 1968; 172 Montgomery and Kallenbach 1997). Such asymmetric vorticity perturbations can be 173 amplified by barotropic instability and/or forcing. The vertical shear of environmental 174 horizontal wind (simply referred to as VWS) is known to yield an azimuthal wavenumber-1 component, in which active convection, heavy rainfall, and local maximum wind speed tend 175 176 to be observed in the downshear or downshear-left (Corbosiero and Molinari 2003; Kepert 177 2001; Ueno and Kunii 2009). Asymmetries also develop due to the translation speed of a 178 TC. The addition of the TC translation and primary circulation wind vectors results in faster 179 earth-relative winds to the right of the TC motion and enhanced boundary layer radial 180 convergence generally ahead of and to the right of motion (Kepert 2001; Kepert and Wang 181 2001: Shapiro 1983). These asymmetries can project onto the asymmetries created by the 182 VWS (Uhlhorn et al. 2014; Zhang and Uhlhorn 2012).

183

### 184 2.1 TC inner-core structure in different stages

While the typical inner core structure of a mature TC is described above, Tao and Zhang (2019) conducted idealized simulations to investigate the structural changes before, during, and after the TC rapid intensification (RI) under various VWS conditions. Prior to the onset of RI, the vortex and convection structure exhibit significant asymmetry. It causes the upper-level warm anomaly induced by diabatic heating to be displaced from the mid-level warm anomaly. Strong updrafts are primarily located on the down-tilt side. Boundary layer Page 9 of 79

#### For Peer Review

191 inflows positioned underneath and upwind of the updrafts, while outflows are found on the 192 downwind side. On the RI onset, updrafts and downdrafts begin to spread out alternately, 193 encircle the surface center, and overlap. It leads to a temporary weakening of convection. 194 The previously displaced warm cores start to merge, and the radial flows in the boundary 195 layer become more axisymmetric, consistent with findings by Miyamoto and Takemi (2013). 196 During RI, although some asymmetry remains, the structure of an eye became more upright, 197 and the eyewall had strong updrafts with downdrafts outside the eyewall and subsidence in 198 the eye. These processes are illustrated in Fig. 1. Alvey III and Hazelton (2022) investigated 199 the misaligned vortices located in the middle and lower troposphere can align due to deep 200 convection (not necessarily symmetric). Using ensemble simulations, Alvey et al. (2020) 201 showed that the RI members are characterized by persistent and deep convection in the 202 downshear. As a result, the vortex became aligned through the stretching term and 203 precession. The stratiform precipitation and anvil clouds were seen in the upshear quadrants. 204 Condensate transported from the downshear guadrants makes the middle to upper 205 tropospheric air more humid by evaporation and sublimation. This contributes to the 206 symmetrization of precipitation region, which is a necessary precursor for RI. Chen et al. 207 (2018) and Chen et al. (2021) displayed that higher sea surface temperatures (SSTs) can 208 facilitate more symmetric inner core precipitation which promotes faster vertical vortex 209 alignment and faster contraction of the radius of maximum wind (RMW) preceding RI.

Even in the axisymmetric model, the inner core structure differs significantly between the developing and mature stages. Peng et al. (2019) displayed how the constant AAM and  $\theta_e^*$  surfaces evolved to become parallel from an almost orthogonal state in the early development stage (Fig. 2). In the early development stage (Phase I), sporadic and deep convection from the high *s*\* air near the sea surface repeatedly redistribute large *M* and *s*\* values vertically from the lower troposphere to the tropopause; the locally large value

of *M* is associated with convective rings. In the later development stage (Phase II), the congruent *M* and *s* surfaces coevolve toward the center and become aligned. Fei et al. (2021) investigated the role of vertical advection on the boundary layer structure, intensification rate, and intensity. The removal of vertical advection of radial wind significantly reduced both the height and strength of supergradient wind core. Meanwhile, the removal of vertical advection of agradient wind reduced the height of supergradient wind core but slightly increased the strength.

223 An observational study on Hurricane Michael (2018) confirmed that M surfaces are 224 redistributed in the inner core region and that RI is accompanied by the  $\partial z / \partial r$  increase 225 along the constant M surface in the inner core (DesRosiers et al. 2022). It supports the 226 previous study showing the smaller RMW with the larger  $\partial z / \partial r$  (Stern et al. 2014b). 227 Meanwhile, Ito and Yamamoto (2022) argued for the slope of a constant M surface using 228 commercial aircraft data for TCs, most of which were in the decaying stage in the western 229 North Pacific. Their analysis showed that strong TCs tended to have a small  $\partial z / \partial r$  in the 230 upper troposphere. Considering that the slope corresponds to the inertial stability divided by 231 the baroclinicity, they believed that changes can be attributed to the strong potential 232 temperature gradient of strong TCs.

Other environmental factors, such as VWS, synoptic-scale atmospheric circulation, and upper-level troughs, also influence the inner core structure. Using a database of composited airborne Doppler radars, Wadler et al. (2018) found that intensifying TCs have updrafts at higher altitudes and stronger magnitudes in the upshear quadrants than steadystate TCs. Strong and deep updrafts located inside the RMW efficiently spun the vortex (Rogers et al. 2013; Zhang and Rogers 2019).

Li et al. (2019) revisited the dynamics of eyewall contraction. Using the azimuthalmean tangential wind budget and the diagnostic equation proposed by Stern et al. (2015), in which the change of RMW is decomposed into the radial gradient of local time tendency

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242 of the azimuthal mean tangential wind and the curvature of the radial profile of azimuthal-243 mean tangential wind, they showed radial advection dominated the RMW contraction in the 244 lower boundary layer and the vertical advection causes the RMW contraction in the upper 245 boundary layer and lower troposphere. Also, the eyewall contraction is dependent on the 246 horizontal mixing near the eyewall. Wu and Ruan (2021) reported that the rapid RMW 247 contraction tends to precede the RI in observations. The possible mechanism of this 248 phenomena was given by Li et al. (2021b). First, they affirmed that the AAM is not conserved 249 following the RMW. During the rapid contraction phase, the RMW decreases inward across 250 the AAM surfaces, explaining the RMW decreases with relatively small intensification. On 251 the other hand, when the RMW contraction slows down and the intensification becomes 252 rapid, the AAM surfaces move inward passing through the RMW.

253

### 254 2.2 Nontraditional features in the inner core

When Hurricane Patricia (2015) reached its lifetime maximum intensity, an atypical 255 256 inner core structure was observed, with a maximum tangential wind in the mid-troposphere, 257 in addition to the conventional maximum at the lower level (Stern et al. 2020). This feature, 258 which is ultimately due to the inertial oscillation driven by surface friction, was found to be 259 prominent in intense and/or small storms. The numerical simulation displayed that the multi-260 maxima disappeared when the vertical mixing was strong. Yamada et al. (2021) described 261 double warm cores in the eye region of TC Lan (2017) based on dropsonde observations 262 during the Tropical Cyclones-Pacific Asian Research Campaign for the Improvement of 263 Intensity Estimations/Forecasts (T-PARCII) aircraft campaign (Fig. 3). Their saturation point 264 analyses revealed that the air parcels in the upper warm core originated from the eyewall 265 rather than the lower stratosphere. Furthermore, the dropsondes indicated the vigorous turbulent mixing between eye and eyewall. Based on these findings, they proposed a 266 267 conceptual model in which the parcels in the eye boundary layer were mixed through eddies

and moved upward on the inner side of the eyewall updrafts and consisted of the upperlevel warm core. Double warm cores have been reproduced by simulations (Stern and
Zhang 2013) and Hurricane Patricia also appeared to have a double warm core structure
based on high density Global Hawk dropsonde data (Rogers et al. 2017).

272 Inside the eye region, sporadic short-lived deep convective clouds of up to 9 km, 273 which were considerably taller than stratocumulus hub clouds of up to 3 km (Simpson 274 1952), were observed in the decay stage of TC Trami (2018) during T-PARCII. Both 275 observations and numerical simulations display that these clouds formed after the 276 weakening and outward shift of the eyewall due to significant sea-surface cooling because 277 of the slow translation speed of TC Trami (2018) (Hirano et al. 2022). Their analysis 278 showed that the genesis of deep eye clouds may indicate the decay of the warm core in 279 the middle-lower troposphere.

The striating clouds ("striations" or "finger-like features") at  $r \sim 30$  km were clearly observed by the Himawari-8 satellite, which had remarkably high angular velocities at the inward flank of the eyewall (Fig. 4). Their radial orientation is gradually tilted in a time scale of 10 minutes according to the differences in the tangential speed depending on the altitude (Tsukada and Horinouchi 2020).

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286 2.3 Wavenumber-1 component

Geostationary satellite Himawari-8 has a special mode to take images every 30 seconds. Horinouchi et al. (2023) utilized those rapid-scan observations for analyzing the flows in the eye region of TC Haishen (2020) during the intensification. The low-level local circulation center was located several kilometers away from the storm-scale center. Their analysis showed that the local circulation behaves wavenumber-1 component which developed algebraically (Nolan et al. 2001). An important role of the local circulation is to homogenize the rotational angular velocity within the eye and contributes to the increase of azimuthal-

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294 mean tangential wind. Dai et al. (2021) found that the wavenumber-1 convection asymmetry 295 in the outer eyewall of Typhoon Lekima (2019) was not integrally related to the ambient 296 VWS or frictional effect associated with TC motion as in (Kepert 2001). They suggested that 297 this asymmetry results from the phase locking between the radially-outward propagating 298 wavenumber-2 VRWs, which originate from the inner eyewall, and the wavenumber-1 299 asymmetry that cyclonically propagates near the radially-inward side of the outer eyewall.

300

### 301 2.4 Boundary layer modulation on TC inner core structure

302 Ahern et al. (2019) analyzed dropsonde composites of the TC boundary layer 303 structure at different stages of the TC lifecycle. Intensifying TCs are characterized by deeper 304 jets above the logarithmic layer in the eyewall region, higher (weaker) inertial stability within 305 (the outer area of) the evewall, and more inward-penetrating boundary layer radial inflow 306 than weakening TCs. Focusing on the decay phase of a full-physics simulation of Hurricane 307 Irma (2017), Ahern et al. (2021) analyzed how the asymmetric agradient forcing within the 308 boundary layer from the VWS and the storm motion force local accelerations of the primary 309 vortex, suggesting that the interplay between the directionality of the VWS and storm motion 310 vectors produces structural asymmetries. Zhang et al. (2023) analyzed a large number of 311 Doppler profile data in multiple storms and derived composites of boundary layer structure 312 of TC with different intensity and intensity change rates. First, they showed that the depth of 313 an inflow layer is deeper in weaker storms than in strong storms. They also found that 314 intensifying TCs tend to have the rapid radial decay of the tangential wind outside of RMW 315 (called "narrow"). The narrow vortex is also characterized with larger inflow and strong 316 updrafts being located near RMW, while non-intensifying TCs have large inflow in the outer-317 core region. The total advection of mean AAM near the RMW is much larger in narrow vortex 318 than in broad vortex suggesting larger intensification rate given the same intensity of these 319 two types of vortices.

320 While VWS is typically not favorable for TC intensification, Hurricane Michael (2018) 321 intensified under moderate VWS. Using dropsondes and idealized simulations, Wadler et al. 322 (2021a) and Wadler et al. (2021b) found that enhanced low-level outflow upshear originating 323 from the inner-core insulated the boundary layer inflow from low-entropy convective 324 downdrafts, aiding in boundary layer flux recovery. Rios-Berrios et al. (2018) also used 325 idealized simulations to explore intensification under moderate VWS. Their analysis 326 revealed that a reduction in tilt and increased symmetry precede intensification, as these 327 processes are linked to a significant increase of near-surface vertical mass fluxes and 328 equivalent potential temperature. A vorticity equation indicated that the increase of near-329 surface vertical mass fluxes facilitate intensification by stretching near the surface and tilting 330 of horizontal vorticity in the free troposphere. Notably, the reduction in tilt occurs due to 331 vortex merger that forms a single closed circulation. This vortex merger occurs after the 332 vortex in the middle troposphere moves to the upshear left. It means that the flow supports 333 near-surface vortex stretching, deep updrafts, and the reduction of low-entropy fluxes in the 334 upshear left quadrant. Zhang et al. (2013) showed that anomalously large enthalpy fluxes 335 located to the left of the shear vector, owing to the presence of mesoscale ocean eddies, 336 also aided in faster boundary layer recovery. It is supported by Chen et al. (2018) and Chen 337 et al. (2021) indicating that higher SST has been found to aid symmetrization through faster 338 boundary layer recovery. In addition, strong upper ocean salinity vertical gradients can 339 increase the upper ocean density stratification and reduce SST cooling (Hlywiak and Nolan 340 2019; Rudzin et al. 2018). Le Hénaff et al. (2021) and John et al. (2023) showed that 341 anomalously fresh sea surface salinity (SSS) due to enhanced outflow from the Mississippi 342 River reduced SST cooling under Hurricanes Michael and Sally, respectively, which 343 facilitated axisymmetrization. Strength of the vertical turbulent mixing also regulates the 344 boundary layer inflow and recovery process through multiscale interaction (Zhang and 345 Rogers 2019; Zhang et al. 2017b).

Page 15 of 79

#### For Peer Review

346 Chen and Chavas (2020) and Hlywiak and Nolan (2021) investigated the time-347 dependent response of a TC structure to surface roughening and drying, analogous to 348 landfall scenarios, based on idealized simulations. Chen and Chavas (2020) studied the 349 axisymmetric response to instantaneous changes in the surface, whereas Hlywiak and 350 Nolan (2021) simulated the three-dimensional response of translating systems. Both studies 351 found similar results: surface drying produced monotonic weakening and horizontal 352 expansion of the vortex as reduced moisture fluxes into the BL eventually stabilized the 353 evewall and weakened secondary circulation. The response to drying was initially weak, 354 however became more apparent over time after significant weakening occurred. Higher 355 surface friction produces a more immediate effect compared to drying through the 356 deceleration of the primary circulation and weakening of inertial stability. This supports the 357 transient acceleration of the secondary circulation, enhancing the radial inflow and angular 358 momentum import within the BL and accelerating the outflow above the boundary layer 359 before weakening and inner core expansion commenced. Subsequently, Chen and Chavas 360 (2020) found that the total response of the maximum winds is approximately a product of 361 the individual responses to surface roughening and drying, indicating the applicability of the 362 maximum potential intensity theory (Emanuel 1986) to the landfall decay process. Hlywiak 363 and Nolan (2022) followed up Hlywiak and Nolan (2021) with an investigation of the 364 asymmetric response of the inner-core boundary layer during landfall and found that the 365 accelerated secondary circulation response to increased friction begins over land and within 366 the offshore flow preceding landfall of the eye. Friction over land forces a deep subgradient 367 inflow layer, subsequently enhancing the near-eyewall convergence within the offshore flow 368 and accelerating the downstream supergradient boundary layer jet (Fig. 5). These 369 asymmetries in the inner core boundary layer at landfall are due to the sharp gradient of 370 surface frictional stress, a mechanism which is similar yet larger in magnitude to the 371 asymmetries that develop for translating TC over the open ocean (Kepert and Wang 2001;

372 Shapiro 1983). Aircraft observations of landfalling Ida (2021) documented boundary layer 373 inflow and wind asymmetry from ocean to land (Rogers and Zhang 2023) generally 374 supporting Hlywiak and Nolan's findings in numerical simulations.

TC boundary layers contain a lot of small-scale coherent structures, such as roll 375 376 vortices and tornado-like vortices (e.g. Ito et al. 2017; Zhang et al. 2008). Recent LES 377 simulations have demonstrated that a boundary layer becomes shallower with stronger VWS 378 near the surface by employing the fine-mesh. For example, Ito et al. (2017) identified three 379 types of near-surface coherent structures. A Type-A roll nearly parallel to the tangential wind 380 prevailed outside of the RMW, and Type-B rolls almost orthogonal to the type-A rolls were 381 found near the RMW. They were caused by an inflection-instability. Type-C rolls nearly 382 parallel to the tangential wind were found inside the RMW, which can be explained by a 383 parallel instability. When the grid spacing is 100 m or less, roll vortices significantly contribute 384 to the transfer of heat and momentum (Liu et al. 2021). Similarly, LES simulations of a 385 landfalling TC have replicated the presence of a thin boundary layer and highlighted the 386 critical role of roll vortices (Li et al. 2021a).

387

### 388 2.5 Dry and moist TCs

389 Several studies have attempted to establish a fundamental understanding of the 390 contribution of moisture to the inner core structure of TCs, including the radius of maximum 391 wind (RMW), width of the eyewall, and depth of the inflow layer. Generally, TC-like storms 392 (hereafter, dry TCs) are known to potentially exist by relying only on sensible heat fluxes 393 without water substances (Mrowiec et al. 2011), although real TCs are tied to water in Earth's 394 present climate. By comparing typical TCs to dry TCs, Cronin and Chavas (2019) 395 investigated the role of moisture on TC structure. The RMW will become substantially larger 396 when a TC is maintained under dry conditions, and the inflow layers of the dry TCs are much 397 deeper than those of typical TCs. Wang and Lin (2020) further investigated the differences

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398 between moist and dry TCs using idealized simulations and found that the sub-saturation of 399 a moist atmosphere, not the moisture itself, causes distinctions between moist and dry TCs. 400 The inner core structures of the moist reversible and dry TC were almost the same, whereas 401 a typical moist TC with falling hydrometeors was significantly different from a dry or moist 402 reversible TC (Fig. 6). It suggests that falling hydrometeors place a strong constraint on the 403 inner core structure of TCs through redistributing entropy. However, the mechanism by 404 which the irreversible entropy production modulates the inner core structure of TCs remains 405 unknown.

406

### 407 2.6 Impact of TC outer core and environment on storm structure

408 The outer core structure was also found to be an important factor influencing the 409 inner core structure. Using an axisymmetric nonhydrostatic model, Tao et al. (2020) revisited 410 Lilly's model, which relates the tangential wind structure of an axisymmetric steady-state TC 411 to its outer size and environmental conditions by simplifying the assumptions. They showed 412 that variations in the sea surface, boundary layer and tropopause temperatures, and the 413 AAM at some outer radius affect the radial profile of the tangential velocity at the top of the 414 boundary layer. On the other hand, these parameter variations do not substantially affect normalized tangential velocity,  $V(r/r_m)/V_m$ , where  $V_m$  is the maximum tangential wind at 415 416 RMW. Chavas et al. (2015) demonstrated that the RMW could be reasonably estimated from 417 the outer size by applying a TC structure model. They found that the eyewall expansion of 418 Hurricane Helene (2006) during its RI was due to environmental-scale low-level 419 convergence and upper-level divergence of the angular momentum flux by accelerating the 420 tangential velocity outside the eyewall.

421 Martinez et al. (2020) found that initially large TCs remained large during 422 development by importing a large angular momentum into the inner core and spinning up

423 the wind field (Fig. 7). Martinez et al. (2020) also displayed that environmental factors such 424 as the amount of moisture can modulate the outer core structure, which in turn has a 425 significant effect on the inner core structure. The moist environment promotes outer core 426 convection, spins up the outer core wind field, and leads to the contraction of large angular 427 momentum surfaces into the inner core of the TC, which expands the horizontal field of the 428 tangential velocity (Fig. 7). Qin and Wu (2021) also confirmed this environmental impact on 429 the outer core structure and the consequent impact on the inner core structure. Shen et al. 430 (2021) revealed that limiting the enthalpy fluxes within the outer core reduces the total inward 431 transport of angular momentum, leading to a smaller inner core size, whereas suppressing 432 the fluxes within the inner core has little influence on the overall storm size. They generally 433 support the findings of Xu and Wang (2010b) and Xu and Wang (2010a), which investigated 434 the relationship between an inner core and an outer core and impact of environmental 435 moisture. These results highlight the importance of the spatial heterogeneity of surface 436 fluxes in the inner core boundary layer structure.

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### 438 **3 SEF and ERC**

439 TCs often possess a secondary eyewall outside the original eyewall (Willoughby et 440 al. 1982) as illustrated in Fig. 8, and SEF events are more likely to occur as the TCs intensify 441 (Kossin and Sitkowski 2009; Kuo et al. 2009). It typically begins with a spiral rain band 442 morphing into a new eyewall outside the inner eyewall. Kuo et al. (2009) reported that 443 approximately 57% and 72% of Category 4 and Category 5 TCs, respectively, possessed 444 concentric eyewalls at some point during their lifetime in the western North Pacific. After the 445 secondary (or outer) eyewall is generated, the inner core region exhibits multiple maxima of 446 tangential winds and precipitation (Sitkowski et al. 2011). The low-echo reflectivity region 447 between the concentric eyewalls is called a moat. Following the SEF, TCs often undergo an 448 ERC, characterized by the weakening of the original inner eyewall and its replacement by

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449 the outer eyewall, which becomes the new primary eyewall. If the environmental conditions 450 remain favorable for TC development, TCs can then reintensity. Such a process is typically 451 over a timeframe ranging from a couple of hours to a day or two (Kossin and DeMaria 2016; 452 Sitkowski et al. 2011). There are also cases in which SEF TCs do not undergo an ERC; 453 instead, the outer eyewall decays and the inner eyewall retains (Yang et al. 2013). Although 454 the inner eyewall typically decays and the outer eyewall contracts with intensification on a 455 timescale of less than a day or two, multiple eyewalls can sometimes coexist for a longer 456 period, or the outer eyewall decays earlier (Kossin and DeMaria 2016; Yang et al. 2013). 457 Many physical mechanisms have been proposed for the SEF and ERC (as summarized in 458 Stern et al. 2014a; Vigh et al. 2018), yet they are not fully understood.

459 Simplified models are known to reproduce the structure similar to a secondary 460 evewall. Nong and Emanuel (2003) and Emanuel et al. (2004) reported that an SEF-like 461 structure is generated even with an axisymmetric model. Whereas SEF events are 462 reproduced much less in an axisymmetric model, it may aid in the exploration of certain 463 aspects of plausible mechanisms. Using a two-dimensional non-divergent barotropic model, 464 a strong inner vortex representing the TC core can envelop neighboring weak vorticity 465 patches, forming an SEF-like vorticity ring (Kuo et al. 2004). However, Moon et al. (2010) 466 argued that the allocation of convection-induced small vorticity dipoles, which have 467 comparable strength to the inner-core vorticity and potentially better represent moist 468 convective vorticity in the TC's outer core, did not result in coherent concentric vorticity rings 469 in barotropic two-dimensional flow. In contrast, Moon and Nolan (2010) successfully 470 simulated a secondary horizontal wind maximum by prescribing the same vorticity dipoles 471 using a three-dimensional model that calculates more sophisticated physical processes. 472 These findings underscore the importance of considering the complexity of three-473 dimensional processes in capturing SEF TCs.

474

The SEF was associated with the upward motion outside the primary eyewall. In

475 addition to subsidence induced by the updraft in the inner eyewall, Rozoff et al. (2006) 476 proposed that rapid filamentation dominated by strain plays a crucial role in moat formation. 477 This rapid filamentation distorts vorticity generation within a 30-minute timescale, impeding 478 deep convection. Observations indicate that mature hurricanes typically exhibit a radial area 479 with a slightly negative radial gradient of azimuthal-mean vorticity beyond the RMW at lower 480 levels, known as a beta-skirt region (Mallen et al. 2005). Within this region, under conditions 481 of rapid filamentation, sufficient convective available potential energy (CAPE), and low 482 convective inhibition, sporadic convection can persistently occur. Building upon the 483 framework of two-dimensional theory (Vallis and Maltrud, 1993), Terwey and Montgomery 484 (2008) argued that this convective energy likely upscales towards the tangential direction 485 over the beta-skirt region, leading to the accumulation of a ring of low-level tangential jets 486 outside the primary eyewall.

487 Energy in the SEF region can accumulate through the stagnation of vortex Rossby 488 waves (Montgomery and Kallenbach 1997), which is related to the slowdown of the outward 489 group velocity with increasing radial wavenumbers in the outer region. Some studies support 490 the contribution of the accumulation of eddy kinetic energy near the stagnation radius to the 491 SEF (e.g. Menelaou et al. 2012), while some studies do not (e.g. Qiu et al. 2010). In addition, 492 humid outer environments can be one of the important factors for the SEF (Ge 2015). This 493 is because the unstable condition is favorable for TC to establish a secondary eyewall in the 494 outer region.

In the recent decades, numerical models have demonstrated the increasing ability in reproducing the SEF occurrence; however, there remains significant uncertainty in simulated SEF regarding the onset time and duration of ERCs, as well as in predicting changes in TC intensity and structure during ERCs. Three-dimensional high-resolution models with a horizontal grid spacing of less than a few kilometers are needed for reproducing the SEF and ERC (e.g., Houze et al. 2007). Analyzing the simulated Typhoon

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501 Sinlaku (2008), Wu et al. (2012) and Huang et al. (2012) identified a sequence of structural 502 changes within and just above the boundary layer, and proposed an unbalanced dynamical 503 pathway to SEF, which was further elaborated by Huang et al. (2018). Some elements of this unbalanced dynamical pathway were also revealed by numerical modeling data (Abarca 504 505 and Montgomery 2013) and data collected from flight observations (e.g. Abarca et al. 2016; 506 Didlake and Houze 2011). Taking a different perspective, Kepert (2013) proposed a formula 507 showing the analytical solution for the frictionally-induced boundary layer updraft, and 508 emphasized the coupling and positive feedbacks between the friction-induced updraft and 509 the aloft convection. The hypothesis of Kepert (2013) was supported by Kepert and Nolan 510 (2014), Zhang et al. (2017a), Kepert (2017), and Kepert (2018).

511 As the tangential wind field expands preceding SEF, a corresponding augmentation 512 in surface heat fluxes beyond the evewall is anticipated. Cheng and Wu (2018) conducted 513 numerical experiments where they applied various caps on surface winds, used for surface 514 flux calculations, across different radial intervals, illustrating the importance of the WISHE 515 mechanism in SEF and ERC. In these numerical experiments, SEF failed to occur when 516 surface heat fluxes were markedly suppressed both inside and outside the SEF region. 517 When surface heat fluxes were moderately suppressed within the same area, SEF initiation 518 was delayed, and the intensity of both evewalls diminished. Notably, the suppression of 519 surface heat fluxes in the inner-core region had negligible impact on the outer eyewall 520 evolution. Observations and numerical experiments have shown that SEF tends to occur 521 under weak-to-moderate VWS conditions (Didlake et al. 2017; Zhang et al. 2017a). It implies 522 the contribution of asymmetric features, such as a rainband. Other factors are known to be 523 related to SEF. For example, no-ice sensitivity experiments displayed that the SEF was 524 delayed without an ice phase (Terwey and Montgomery 2008).

525 The aforementioned mechanisms and speculations are not necessarily exclusive and 526 may reflect different aspects of the same phenomenon. Alternatively, some characteristics

527 may only be valid in certain special cases. The SEF and ERC are topics that have been 528 continuously investigated. Very recent studies have covered the above-mentioned 529 mechanisms in more detail, display evidence in real case observations, propose a new 530 theory, and investigate special cases. Additionally, the decay of the inner eyewall was 531 investigated. These advances are summarized in the following subsections.

532

### 533 3.1 Classification of SEF and ERC

534 Cheung et al. (2024) investigated a dataset of 87 secondary eyewall progressions 535 primarily based on 89-92-GHz passive microwave imagery. In their works, the secondary 536 evewall is defined as the azimuthal coverage of at least 50% surrounding the inner 537 eyewall. They classified the first stage into two types: a spiral band stemming from the 538 inner evewall and an outer rainband. The former typically progressed into half-concentric 539 coverage, and the latter progressed into the full-concentric coverage. The exit stage 540 consists of two distinct categories: Replacement or no replacement. The "no replacement" can be further categorized into three types: the secondary eyewall fading on microwave 541 542 imagery, the merging of the secondary eyewall with the inner eyewall and becoming a 543 spiral rainband after the loss of concentricity. One common pathway is that an outer 544 rainband develops into a fully concentric evewall followed by an ERC, while another typical 545 pathway involves an inner rainband forming a half-concentric eyewall without any 546 replacement. ERC events favor more intense storms, weaker VWS and greater relative 547 humidity.

548

### 549 3.2 Stationary band complex (SBC) and SEF

550 Based on airborne Doppler radar analysis, Didlake et al. (2018) argued that the SEF 551 was initiated from an organized rainband complex comprising convective precipitation in the 552 downshear-right and stratiform precipitation in the downshear-left to upshear-left (Fig. 9).

Page 23 of 79

#### For Peer Review

553 This rainband complex remained quasi-stationary relative to the storm center, like the SBC 554 discussed in Willoughby et al. (1984). In the downwind sections (left of the VWS) of the 555 rainband complex, falling ice particles formed a wide and uniform precipitation band with 556 predominantly stratiform characteristics. Stratiform precipitation in the SBC induced 557 mesoscale descending inflow (MDI). This process aided in the expansion of the vortex 558 circulation and transported mid-level low-equivalent potential temperature ( $\theta_{e}$ ) into the 559 boundary layer. The updraft next to the MDI was seemingly caused by convergence and 560 upward acceleration. It was induced by the negative buoyancy of MDI, entering the high- $\theta_e$ 561 region in the boundary layer. This updraft and the MDI in the downshear-left guadrant 562 increased the tangential velocity, and it yielded the axisymmetric structure of the secondary 563 eyewall. This can explain why SEF events typically occur under weak-to-moderate VWS and why SEF is sensitive to the ice phases. 564

Vaughan et al. (2020) compiled a five-year climatology of the SBC based on passive microwave satellite data. They demonstrated that approximately 80% of 84 SEF events were preceded by an SBC in the 6 h time window prior to SEF. The geometry of the SBC has higher azimuthal extent and lower crossing angle from 12–24 h before the SEF (Fig. 10). This suggests that SBC-associated dynamic processes are important for SEF. However, SBCs with substantial radial extent and high circularity without subsequent SEF were also detected in the dataset (Fig. 10c).

Yu and Didlake (2019) used idealized simulations with a full-physics threedimensional model to investigate the response to a prescribed heating that mimicked the contribution of stratiform around spiral TC rainbands, similar to Moon and Nolan (2010). The heating structure represented a quasi-stationary rainband complex. The vortex response included the MDI and a low-level forced radial updraft inside the rainband heating. Stratiform-induced cold pool interacted with tangential flow of upwind warm air, and it caused the updraft through buoyancy. It clearly shows that the diabatic forcing of rainband is critical

579 for triggering and sustaining the forced low-level updraft, which might lead to a SEF.

580 Yu et al. (2021a) and Yu et al. (2022) further investigated the role of the stratiform 581 rainband processes using a full-physics simulation of Hurricane Matthew (2016). Yu et al. 582 (2021a) focused on the tangential velocity in the pre-SEF stage. Under a moderate VWS, 583 the storm developed a quasi-SBC that aligned with the shear vector. Prior to the SEF, the 584 storm experienced a broadening of the tangential velocity, which was largely by horizontal 585 advection of momentum according to MDI in the downshear-left stratiform region. The MDI 586 was connected to the boundary layer in the upshear-left quadrant, and convections were 587 induced along its inner edge. It helped develop the maximum of low-level tangential velocity 588 within the incipient secondary eyewall. Yu et al. (2022) focused on the emergence, 589 maintenance, and impact of these persistent updrafts which developed within the left-of-590 shear guasi-SBC. Updraft initiation was based on the process described by Yu and Didlake 591 (2019). In this process, buoyancy advection resulted from an MDI-induced cold pool interacting with the high- $\theta_{e}$  air in the inner core. A budget analysis of  $\theta_{e}$  demonstrated that 592 593 the updrafts in the left of the VWS were maintained by enhanced moist instability due to 594 differential horizontal advection in the boundary layer. A potential vorticity (PV) budget 595 showed that these updrafts generated PV anomalies that propagated cyclonically downwind. 596 The propagation of PV anomalies downstream increased the azimuthal mean PV at the 597 radius of the SEF, corresponding to the axisymmetrization of the secondary eyewall itself. 598 These studies provide a dynamical explanation, as illustrated in Fig. 9, of how stratiform 599 rainband processes can explain the SEF in a sheared, mature TC. Recent studies, such as 600 those by Wang and Tan (2020), Zhu et al. (2022), and Kasami and Satoh (2024) 601 demonstrated a similar MDI pathway to SEF in their modelling studies. Wang et al. (2019a) 602 conducted an idealized simulation under the axisymmetric environment on an *f*-plane, yet 603 the rainband contributed the simulated SEF in a similar manner.

604

Recent observational evidence of this stratiform pathway to the SEF has also been

605 presented (Fischer et al. 2020; Kanada and Nishii 2023; Razin and Bell 2021). Fischer et al. 606 (2020) examined the RI and associated ERCs of hurricane Irma (2017) using flight-level and 607 airborne radar observations, microwave satellite observations, and model-based 608 environmental analyses. Irma's RI event included two short ERC episodes (less than 12 h). 609 During the first SEF event, the upward motion and tangential velocity had a secondary peak 610 at the forefront of the MDI. Kanada and Nishii (2023) focused on ground radar-based 611 observations of the outer eyewall formation and inner eyewall weakening of TC Hinnamnor 612 when it approached to Okinawa's main island in 2022. They revealed that an SBC developed 613 in the down-to-left guadrant of a moderate VWS that turned into an outer eyewall. As the 614 VWS weakened, the SBC became more axisymmetric, and a weakly convective "moat" 615 region appeared between the outer and inner eyewalls.

616

### 617 3.3 boundary layer processes

618 The importance of boundary layer processes on SEF has been reconfirmed by 619 many studies. Kepert (2018) developed a boundary layer model for TC rainbands. The 620 boundary layer flow yields a strong low-level convergence and consists of an updraft along 621 the rainband and some region located downwind. The upper boundary layer has a marked 622 wind peak along the band that was approximately 20% stronger than the balanced flow. 623 Therefore, the secondary peak of wind at the SEF region can be explained by the 624 boundary layer dynamics near a rainband. Yu et al. (2021b) investigated the SEF using 625 the Kepert (2018) nonlinear boundary layer model and storm composites of tangential 626 wind observations from Wunsch and Didlake (2018). For the pre-SEF composite, the 627 boundary layer model response exhibited clear secondary maxima in the updrafts, 628 tangential wind, and radial inflow in the left-of-shear guadrant, which contributed 629 substantially to the azimuthal mean. This finding suggests that, leading to SEF, the earliest 630 signal of coupling with the boundary layer and free troposphere is likely to occur in these

Page 26 of 79

left-of-shear sectors. As this is also the same region where the MDI triggered new updrafts
in previous studies on individual storms, these studies support the idea that the rainband
complex process detailed in the previously discussed studies is likely a prevalent
mechanism for SEF in sheared storms.

635 Huang et al. (2012) and Wu et al. (2012) identified dynamical processes consistent 636 with unbalanced boundary layer features such as supergradient wind, and their 637 contributions to SEF were revealed by the momentum budget of tangential and radial 638 winds (Huang et al. 2018). These findings continue to be demonstrated in many studies 639 (Ahern et al. 2022; Chen 2018; Guan and Ge 2018; Persing and Montgomery 2022; Razin 640 and Bell 2021; Wang et al. 2019a; Wang et al. 2018; Wang and Tan 2020, 2022). Most of 641 these studies emphasized the cooperation of this boundary layer pathway with other 642 mechanisms occurring in an aloft-free atmosphere, such as the asymmetric dynamics 643 associated with outer rainbands.

644 Miyamoto et al. (2018) proposed that the SEF can be attributed to the instability of 645 the flow in a free atmosphere coupled with Ekman pumping. Vertical wind perturbation is 646 damped in the classic Ekman theory, which considers slow flow in a horizontally uniform 647 structure. Meanwhile, a positive feedback mechanism for vertical wind perturbation works 648 in curving fast flows. This instability tends to be satisfied when an angular velocity is high. 649 absolute vertical vorticity is low, radial gradient of angular velocity is small and negative, 650 and gradient of vertical vorticity is strongly negative. In their setup, the unstable condition 651 is satisfied only between two and seven times the RMW. They verified that the necessary 652 condition for this instability was satisfied in the SEF simulated using a full-physics three-653 dimensional model.

654

### 655 3.4 SEF/ERC in a sheared environment

656

Liu et al. (2022) and Wang and Tan (2022) reproduced the SEF/ERC in simulations

657 under moderate-strong VWS and weak to moderate VWS to a vortex with maximum wind at approximately 45 m s<sup>-1</sup> and 70 m s<sup>-1</sup> (or stronger), respectively. They found that increasing 658 659 the VWS caused earlier SEF through the formation of outer rainbands. Moreover, Wang and 660 Tan (2022) showed that a vortex with a larger outer core size or a stronger outer wind field 661 could undergo ERC in a non-sheared or strongly sheared environment. In a simulation of 662 Hurricane Earl (2010), Ahern et al. (2022) argued that Earl's azimuthal asymmetry in low 663 level wind and thermal fields affects the azimuthal structure of the broadening of low-level 664 swirling winds; therefore, the secondary maximum winds first appear in the downshear-left 665 guadrant. Low-level azimuthal asymmetry was related to moderate-to-strong VWS and 666 asymmetric friction due to TC motion. By analyzing satellite data, Yang et al. (2021) 667 demonstrated that during ERCs, the mean VWS in the environment for long-lived (longer 668 than 20 h) and short-lived ERC events were weak and moderate, respectively.

669

### 670 3.5 Development of warm-core ring and moat prior to SEF

671 In an idealized numerical simulation, Wang et al. (2019b) found that an off-center 672 warm ring in the upper level outside the eye emerged prior to the SEF and during the 673 broadening of the tangential wind field, and then rapidly strengthened after the SEF. They 674 suggested that this off-center warm ring is a plausible indication of the subsequent 675 occurrence of SEF. Qin et al. (2021) performed a semi-idealized simulation of Typhoon 676 Matsa (2005) and found that the moat formed with a well-developed anvil cloud extending 677 outward from the eyewall. Beneath the anvil cloud, the heating-induced inflow appeared to 678 enhance sublimation cooling by drying local conditions. Subsidence is further enhanced by 679 the downward motion that compensates the strong updraft in the eyewall. Furthermore, the 680 strong filamentation effect and reduced ambient VWS facilitated the axi-symmetrization of 681 the moat and SEF. The authors suggested that a strong eyewall with a well-developed anvil 682 in strength and space may favor SEF when the VWS environment transitions from moderate

to weak magnitude. This feature is supported by an observational study (Kanada and Nishii2023).

685

686 3.6 Role of VRWs

687 Several studies have investigated the role of vortex Rossby wave activity and 688 examined its role in the SEF or intensity/structure change during an ERC process. Fischer 689 et al. (2020) indicated that the theoretical values of VRW's stagnation radius, provided by 690 Montgomery and Kallenbach (1997), are close to the SEF regions of Hurricane Irma (2017). 691 Guimond et al. (2020) presented evidence of VRW activity in the outer eyewall of Hurricane 692 Matthew (2016) under moderate to strong VWS. After ERC completion, Hurricane Matthew 693 did not reintensify as it contracted, in addition, it was not substantially weakened under the 694 high shear environment. Cha et al. (2021) investigated the ERC process of Hurricane 695 Matthew using ground-based and airborne radars. During the ERC, the VRW damping 696 mechanism proposed by Reasor et al. (2004) could assist the vortex resiliency and 697 resistance to increasing VWS. The AAM budget analysis by Guimond et al. (2020) also 698 indicated that VRW dynamics appear to build up a second SEF event. Flight-level 699 observations of Hurricane Matthew (2016) displayed secondary peaks of tangential velocity 700 where VRW were active, implying their connection to the SEF process.

701

### 702 3.7 Barotropic and baroclinic instability during the ERC

Observations have shown that the location of inner eyewall often wobbles with a cycloidal track when multiple eyewalls form. Menelaou et al. (2018) demonstrated that the wobbling comes from the wavenumber-1 instability that grows exponentially in a threedimensional framework (Fig. 11). This instability can be interpreted as the coupling of two baroclinic VRWs across the moat. In contrast, this wobbling was not reproduced in a twodimensional barotropic model framework unless an asymmetric condition is provided at the

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initial time. The interaction of multiple eyewalls also affects the intensity and other structuressubstantially.

711 The inner eyewall of Hurricane Wilma (2005) became elliptic (Fig. 12; Lai et al. 2019), 712 and the radial flow had significant wavenumber-2 component at lower levels as 713 wavenumber-1 component weakened. Using a nondivergent barotropic model, they showed 714 that this structure was developed through barotropic instability across the moat in which the 715 sign of radial vorticity gradient changed (referred to as type-2 instability; Kossin et al. 2000). 716 The inner eyewall significantly decays due to the development of wavenumber-2 radial flow. 717 With a three-dimensional full-physics model, Lai et al. (2021b) pointed out that the inner 718 eyewall decay corresponded to the net negative radial advection after the elliptic structure 719 developed through the type-2 instability. It suggests that the eddies that develop under 720 concentric evewall condition can significantly weaken the inner evewall, as well as the cutoff 721 of moisture transport in the boundary layer. This finding is supported by the observation-722 based study of Tsujino et al. (2021).

723 Lai et al. (2021b) also mentioned that an idealized simulation with physics 724 parameterization schemes turned off can intensify the outer eyewall in addition to the inner 725 eyewall decay. Lai et al. (2021c) investigated the interaction between the inner eyewall and 726 outer eyewall using an unforced shallow-water model. The radial eddy transport due to 727 VRWs that developed through the type-2 instability can explain the inner eyewall weakening 728 and outer eyewall intensification. In terms of AAM budget, the inner eyewall weakening and 729 outer eyewall intensification can be viewed as divergence and convergence of the eddy 730 angular momentum flux, respectively.

While the above-mentioned studies discuss the early stage of eddies developing according to the type-2 barotropic instability, Lai et al. (2021a) investigated the impacts for a longer period using forced and unforced shallow-water equations. The inner eyewall weakening and outer eyewall intensification repeatedly appear associated with the development of eddies. They also implied that the difficulty in the prediction of intensitychanges during ERCs partly stems from type-2 instability.

737 Rostami and Zeitlin (2022) indicated that a robust tripolar vortex structure emerges 738 during the late stages of the type-2 barotropic instability of double eyewall TC-like vortices 739 when the intensities of the eyewalls are comparable with a moist-convective shallow-water 740 model. This tripolar structure is more complicated than those in the studies employing two-741 dimensional nondivergent barotropic models, rotating shallow water models or laboratory 742 experiments. Slocum et al. (2023b) demonstrated the type-2 instability by using a two-743 dimensional nonlinear nondivergent barotropic model with a simplified vorticity profile of 744 Hurricane Maria (2017). Their results indicated that the type-2 instability may occur near the 745 end of an ERC and yield a tripolar vorticity structure.

746

### 747 3.8 Decay of the inner eyewall

748 Using tangential winds estimated from Himawari-8 satellite data, Tsujino et al. 749 (2021) demonstrated that momentum loss to surface friction could not sufficiently explain 750 the inner eyewall intensity decay rate during the ERC of Typhoon Trami (2018). They 751 suggested that eddies also weaken the inner eyewall. Based on a series of slab boundary 752 layer model experiments, Kuo et al. (2022) proposed a scaling law in which inner eyewall pumping is proportional to storm intensity and the root square of a non-dimensional moat 753 754 size (the actual moat size normalized by the Rossby length). From this perspective, they 755 explained why satellite observations often document wider moats in long-lived ERCs (Yang 756 et al. 2021).

Using idealized simulations, Yang et al. (2024) showed that the decay of the inner eyewall occurs earlier following the onset of an outer eyewall if the initial vortex is small and weak. They demonstrated that spiral rainbands of a large and strong TC were more active outside of the outer eyewall. These active rainbands reduced the inward-penetrating

inflow toward the outer eyewall, slowing down the contraction and intensification of theouter eyewall. This prolonged the duration of the concentric eyewall structure.

763

### 764 3.9 Special ERCs cases

Molinari et al. (2019) summarized several unusual features of ERCs during Hurricane Frances (2004). It underwent three consecutive ERCs that resulted in an annular eyewall structure, which is rarely observed during Pacific typhoons. It has been proposed that unusual easterlies in the upper levels produce an environment with low inertial stability. This persistently low inertial stability in the outflow layer contributes to an anomalously strong outflow and faster intensification of the outer eyewall, resulting in multiple ERCs and shorter ERC durations.

Using airborne radar observations, Razin and Bell (2021) analyzed the unconventional ERC of Hurricane Ophelia (2005), which underwent two ERC events at category-1 intensity and over a low SST, at approximately 23°C. Their results supported two previously proposed pathways to SEF; one is due to stratiform heating of TC rainbands (Didlake et al. 2018), while the second is associated with the unbalanced boundary layer dynamics (Huang et al. 2012).

778 Wang and Wang (2021) conducted sensitivity experiments on the decay of the 779 original eyewall and the genesis of an outer eyewall as TC Megi (2011) crossed Luzon Island. 780 The original inner eyewall was not fully destroyed over flat land, highlighting the importance 781 of topography. Additionally, short-lived deep convection (possibly a new inner eyewall) 782 developed after the center of TC Megi (2011) moved into the South China Sea due to 783 favorable oceanic conditions for deep convection. Lau et al. (2024) also investigated island-784 induced eyewall formation in the case of TC Mangkhut (2018). Their findings generally align 785 with those of Wang and Wang (2021). Furthermore, Lau et al. (2024) analyzed their results 786 from the perspective of unbalanced dynamics.

787

### 788 3.10 Other perspectives

789 The impact of TC-induced SST cooling, ocean waves, radiation, and data 790 assimilation on the SEF and ERC has been addressed in several studies. Yang et al. 791 (2020) and Li et al. (2022a) demonstrated that the inclusion of TC induced SST cooling 792 and ocean waves led to a simulated ERC duration that better matched observations. Yang 793 et al. (2020) displayed that when both the ocean and waves were considered, the lifetime 794 of the ERC was significantly prolonged for Typhoon Sinlaku (2008). According to Li et al. 795 (2022a), the exclusion of the SST cooling associated with slow TC motion resulted in an 796 impractically long ERC during Typhoon Trami (2018). In this case, the substantial negative 797 feedback likely reduced the energy supply to the inner eyewall more severely. Because 798 these results seem oppositional, further investigation is needed to clarify the impact of a 799 coupling as well as the relevant mechanism.

Trabing and Bell (2021) showed that the magnitude of shortwave radiation affects the SEF timing through nonlinear interactions at longer lead times. Generally, shortwave radiation served to delay the SEF and ERC. The proposed mechanism is that the shortwave radiation alters the convective and stratiform heating profile and frequency, and it stabilize the atmosphere and reduces the convective available potential energy.

805

### 806 4 Operational Analysis and Forecast

Utilizing information about the inner core structure such as RMW in an operational setting to forecast structural changes and the wider implications for TC intensity prediction is challenging. Operational centers have assessed inner core structural changes with the aid of existing and developing operational tools. Combining subjective assessments of inner-core changes with the available objective guidance remains the leading operational methodology. Although all available data are useful for the assessment by forecasters and

813 analysts, the availability and manual assessment of satellite imagery is essential. The 814 inner core structural change is important for the TC intensity estimate because satellite 815 analysts at operational centers examine satellite images and utilize the Dvorak Technique 816 to derive a current intensity (CI) number, which is one of important sources of TC intensity 817 (Dvorak 1984). In this section, the operational analysis and forecasting of the TC inner 818 core structure, use of tools, and relevant research are described. Regarding the surface 819 wind estimation, readers may refer to Knaff et al. (2021), which is a nice review for 820 operational center practices, historical databases, current and emerging objective 821 estimates of TC surface winds, including algorithms, archive datasets, and individual 822 algorithm strengths and weaknesses.

823

### 824 4.1 Operational analysis of RMW

The RMW can be operationally used for various purposes: 1) to monitor inner core structural changes associated with SEF, ERC, and RI; 2) to estimate central pressure; 3) to correct numerically simulated wind fields (e.g., Aijaz et al. 2019), 4) to potentially aid diagnostic intensity forecasts (e.g., Carrasco et al. 2014), and 5) to forecast waves and storm surges. Considering the importance of the RMW to the TC wind structure, a questionnaire was conducted to collect operational practices on the method of RMW analyses. The questions were:

832 "Q1: Does your operational center analyze the RMW routinely and include it in your post-833 analysis best track?

Q2-1: If yes to Q1, please describe the method of analysis with possible references such
as research papers, documents, memorandum, etc.

836 Q2-2: If no, please select the reasons (check all that apply). 1. Lack of observations, 2.

837 Lack of methods, 3. No needs, 4. Others"

The respondents to the questionnaire were Regional Specialized Meteorological

Center (RSMC) Miami, RSMC Tokyo, RSMC La Réunion, RSMC Nadi, Tropical Cyclone
Warning Centre (TCWC)-Australia, TCWC-Wellington, and Joint Typhoon Warning Center
(JTWC). Of the seven respondents, four indicate that their center analyzes RMW in realtime, two centers do not analyze RMW, and one center analyzed RMW in real time
however they do not conduct post-analysis/quality control. The answers to these questions
are summarized in Tables 1 and 2.

845 For centers that generally analyze RMW, a manual assessment of all available 846 observations was the primary method. Radar, scatterometry, passive microwave (37 GHz 847 and 89 GHz frequencies), and visible imagery, as well as Synthetic Aperture Radar (SAR), 848 Soil Moisture Active/Passive (SMAP), and Soil Moisture and Ocean Salinity Mission 849 (SMOS)-derived wind speeds are analyzed, with preference for the highest quality data. 850 Climatology is occasionally used in the absence of real-time observations. Internal 851 documents describe the best practices for analysis, particularly for microwave imagery, as 852 well as the procedures for shifting from the inner RMW to the outer RMW as the primary 853 radius of maximum winds when an ERC is taking place. Post-analysis of the RMW is 854 performed coincidentally with other parameters, such as position and intensity. Centers 855 that do not routinely analyze RMW cited the sparsity of observations, lack of operational 856 requirements, non-standard structures such as monsoon gyres, and temporal 857 inhomogeneity of supporting datasets.

From a research community, Tsukada and Horinouchi (2023) recently proposed the improved method of IR-based RMW estimation for a TC with a clear eye, following up the work of Kossin et al. (2007). Compared with C-band SAR sea-surface wind estimates, the mean absolute error of 4.7 km in previous studies was reduced to 1.7 km. Chavas and Knaff (2022) developed a simple semiempirical model to estimate the RMW using operationally available parameters, including an outer wind radius, the Coriolis parameter, and maximum wind speed. The empirical model is based on the physical understanding of

865	the radial structure of TCs (Chavas and Lin 2016; Emanuel and Rotunno 2011; Emanuel
866	et al. 2004). Chavas and Knaff (2022) showed that the model estimates RMWs with much
867	better accuracy than previous methods (e.g., Knaff et al. 2011; Knaff et al. 2015).
868	Additionally, Avenas et al. (2023) applied the method of Chavas and Knaff (2022) to
869	estimate RMWs using outer wind radii derived from satellite radiometers and
870	scatterometers and SAR-derived RMWs as ground truth.
871	
872	4.2 Operational assessment of ERCs
873	Although the predictability of an ERC event is low, efforts have been continuing.
874	As ERC affects intensity trends and anticipated impacts, predicting the onset and
875	completion of ERC remains an important challenge for operational centers. While high
876	resolution numerical weather prediction models can resolve ERCs, the variability in
877	predictions and low skill limit their applicability in operational settings. In many cases, the
878	models indicated an ERC event, however the timing is incorrect. Applying hourly data
879	assimilation cycling to the simulations of Hurricane Matthew (2016), Green et al. (2022)
880	showed that concentric eyewalls could be better resolved by the inclusion of ground-based
881	radar observations. Assimilating radar observations more rapidly reduced the bias of the
882	storm structure, indicating the importance of an improved representation of the initial TC
883	structure in forecasting the ERC process.

Considering the limitations of high-resolution modeling, forecasters at National
Hurricane Center (NHC), Central Paciffic Hurricane Center (CPHC), JTWC, RSMC La
Réunion and Bureau of Meteorology (BoM) assess the University of Wisconsin CIMSS
Microwave Probability of Eyewall Replacement Cycle (M-PERC) tool (Kossin et al. 2023;
available online at https://tropic.ssec.wisc.edu/real-time/archerOnline/web/index\_erc.shtml)
to assist ERC prediction. M-PERC, which was developed using Atlantic data, applies a
logistic regression probabilistic model to evaluate the environmental conditions that favor
891 ERC. Evaluation of M-PERC indicate that the algorithm efficiently depicts ERC events in 892 all ocean basins despite the Atlantic focused training of the model. Independent validation 893 of the model using a climatological probability of ERC of 13% yielded a Brier skill score of 894 35%. Additionally, Pulmano and Joykutty (2021) found that for Atlantic Basin TCs between 895 2017 and 2019, the algorithm correctly predicted approximately 41% of the total ERC 896 events. The model was trained on SEF events that lead to ERC and thus model 897 probabilities will rise with SEF development and intensification of the outer ring regardless 898 of where the ERC completes or not. Pulmano and Joykutty showed that this can at times 899 lead to higher probability events in the presence of dry air environments at higher latitudes 900 that do not result in completion of the ERC. In addition, Kossin et al. (2023) noted the 901 intensity evolution of ERC events as a function of TC intensity and found that the previous 902 paradigm of TC weakening as result of an ERC should be modified to note a change in 903 intensification rate instead. Kossin et al. (2023) shows TC intensification rate changes 904 during ERC events which indicates a slowing of intensification for storms undergoing 905 ERCs for Category 1-2 storms whereas storms at Category 3 intensity or stronger are 906 more likely to undergo weakening (Fig. 13). Lower probability ERC events indicated by M-907 PERC may occur during the RI phase with the ERC resolving quickly and only resulting in 908 a brief pause in RI on the order of 12 hours with RI resuming once the ERC is completed. 909 An example of this occurred during the RI of Hurricane Dorian in 2019 on August 29th 910 which was confirmed by aircraft reporting concentric eyewalls with the inner eye diameter 911 of only 4 nautical miles.

912

913 4.3 Use of inner core structure data for operational intensity prediction

914 Operational centers either use or anticipate tools such as M-PERC, raw 915 microwave satellite imagery, statistical-dynamical models, and lightning data to predict the 916 intensity fluctuations associated with changes in the inner core structure. A case study in

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917 the 2020 JTWC Annual Tropical Cyclone Report highlighted the successful prediction of 918 multiple ERC events for TC 25P (Harold) using M-PERC algorithms, demonstrating the 919 value of the tool for anticipating the associated short-term intensity fluctuations (typically a 920 slight weakening prior to re-intensification) in an operational setting (Francis and Strahl 921 2021). Additionally, the BoM reported frequent use of microwave imagery to provide short-922 term subjective predictions of inner core structural changes and related intensity 923 fluctuations. For example, a visual assessment of the ERC evident in passive microwave 924 imagery influenced the operational decision-making for TC Trevor (2019). The system was 925 approximately 12 h from landfall at CI 5.0 / 80 knots in a very favorable broad scale 926 environment. Intensification at or above the standard Dvorak rate would lead to Category 4 927 (Australian system – 90-105 knot 10 min. mean wind) landfall forecasts were credible. 928 However, based on the double evewall structure evident in the SSMI microwave imagery 929 (Fig. 14), a subjective judgment was made that the inner eyewall would likely decay over 930 the next 12-18 h leading to little intensification over that period, therefore the landfall 931 forecast was limited to Category 3. In this case, this is what unfolds (Tony Wedd, Senior 932 Meteorologist, BoM).

933 Rapid intensification can be preceded by inner core changes, as shown by Li et al. 934 (2022b) who used the best-track dataset for the North Atlantic and Eastern North Pacific 935 during 1999-2019 to examine the statistical relationship between the rapid contraction of 936 the RMW and RI. Their findings demonstrated that rapid RMW contractions were 937 frequently followed by RI. Operationally, forecasters subjectively assess passive 938 microwave imagery and other satellite imagery to identify processes such as contraction of 939 the inner core as indicators of intensity change. Intensity tools aim to capture these 940 processes by placing various levels of emphasis on each process, depending on their 941 predictive value. The well-known statistical hurricane intensity prediction scheme (SHIPS; 942 DeMaria et al. 2005) incorporated the IR brightness temperature parameter derived from

943 infrared satellite data. The Rapid Intensification Prediction Aid (RIPA), which provides
944 statistical guidance for predicting the likelihood of RI in the western North Pacific and other
945 basins, incorporates IR brightness temperatures, core size, and core symmetry as
946 predictor variables. RIPA has been used operationally at the JTWC since late 2017 (Knaff
947 et al. 2020).

948 Observational data have been used to validate physical parameterizations in 949 operational TC forecast models. Composites of global positioning system (GPS) 950 dropsondes, Doppler radar and Stepped Frequency Microwave Radiometer (SFMR) data have been used to evaluate the performance of the forecasted TC structures which lead to 951 952 identification of model deficiencies (e.g. Zhang et al. 2015). Observation based new 953 parameterizations of turbulent mixing have been implemented in U.S. operational TC 954 models (e.g., Hurricane Weather and Research Forecasting System (HWRF)), which led 955 to significant improvement in hurricane intensity forecasts as well as RI prediction (Zhang 956 et al. 2015; Zhang et al. 2018).

957 Operational forecasters consider the potential applicability of inner core lightning 958 data for their forecast processes, particularly considering the limited availability of high-959 resolution microwave imagery, which is typically necessary to reveal rapidly changing 960 inner core structures. The lightning data from TC Harold (2020), which underwent multiple 961 periods of rapid intensification, indicated patterns that were highly consistent with the 962 documented relationships between increased inner core lightning density and 963 intensification (Francis and Strahl 2021; Lin and Chou 2020; Stevenson et al. 2018). 964 Operational forecasters consider lightning density as a possible forecasting aid, and the 965 lightning-based model is running in parallel and demonstration mode at NHC for the 966 Atlantic and eastern Pacific using GOES-16 and GOES-18 GLM data (Slocum et al. 967 2023a). Further studies are needed to identify reliable lightning indicators that are valid for 968 all basins and can be used as tools to predict intensity changes, particularly for RI.

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Finally, the ingestion of dry air into the inner core is associated with rapid
weakening. Forecasters report using satellite imagery, including Morphed Integrated
Microwave Imagery at the Cooperative Institute for Meteorological Satellite Studies
(MIMIC) total precipitable water loops, to identify inner core erosion due to dry air intrusion
and VWS. However, assessing satellite imagery is a subjective process, and reliably
determining the extent and effects of dry air intrusion into the inner core remains a
forecasting challenge.

976

#### 977 **5 TC inner core structure change in future climate**

978 The horizontal scale of a TC affects the size of the damaged area caused by 979 strong winds and waves, attracting socioeconomic interest. Future changes in TC inner 980 core structure are also an important issue. Some studies have investigated future changes 981 in TC size (Knutson et al. 2020). Gutmann et al. (2018) evaluated the influence of global 982 warming on the size of TCs using the WRF model and indicated that although the 983 influence depended on individual TCs, the mean size across all TCs did not change 984 significantly owing to global warming. Wehner (2021) reported that climate change does 985 not influence the average radial distribution of a simulated TC on a specified Saffir-986 Simpson scale, at least when using the NCAR Community Atmosphere Model version 5.1 987 with a horizontal grid spacing of 25 km. Song et al. (2020) showed that TC size would 988 increase under guadruple CO<sub>2</sub> forcing over all ocean basins except the North Atlantic and 989 North Indian Oceans using SEM0-UNICOM. As introduced here, the results obtained in 990 previous studies are inconsistent, which is partly due to differences in the definition of TC 991 size, experimental design, and model. Knutson et al. (2020) emphasized that "future 992 studies should further assess model capabilities of simulating present day TC sizes, which 993 has so far been done only to a limited extent. A better understanding of the mechanisms 994 determining TC sizes in observations and models is important, as is the monitoring and

Page 40 of 79

995 accumulation of observed climate records of TC size."

996 In general, TCs are in a mature stage in the tropics and consist of a strong rotating 997 circulation with a large axisymmetric component. As TCs transition into the mid-latitudes, 998 their structures become more asymmetric owing to the baroclinic environment in the 999 midlatitudes. Typhoon Faxai in 2019 made landfall in the Tokyo metropolitan area at a 1000 latitude of approximately 35°N with a central pressure of 960 hPa, and caused severe 1001 damage owing to strong winds. The intensity of Faxai exceeded the maximum potential 1002 intensity, which was attributed to Faxai maintaining an axisymmetric structure similar to 1003 that of a well-developed TC in the tropics well after a TC would normally begin an 1004 extratropical transition. This was due to favorable environmental conditions such as 1005 relatively weak VWS (Miyamoto et al. 2022). It is unclear whether the environmental 1006 conditions before Faxai made landfall in Japan are associated with global warming. 1007 However, there is an urgent need to address the impacts of global warming on TC 1008 structure in the mid-latitudes where large cities and densely populated areas are located. 1009 Using a nonhydrostatic regional model with a horizontal resolution of 0.04°, Kanada et al. 1010 (2020) conducted approximately 100 dynamical downscaling experiments for mid-latitude 1011 typhoons in both current and warming climates (Fig. 15). In a future warmer climate, the 1012 extratropical transition position of tropical cyclones will shift to higher latitudes owing to 1013 higher SST, larger near-surface water vapor content/capacity, and smaller baroclinicity 1014 compared to the current climate conditions. The results of Fujiwara et al. (2023) were 1015 consistent with these results; they also showed that eyewalls become deeper in warmer 1016 climates. This will likely facilitate TCs intruding further into mid-latitude regions while 1017 retaining an axisymmetric structure, intensity, and smaller radius of maximum winds, as 1018 observed in the tropics.

Changes in several recent destructive TCs have also been studied using
 convection-permitting regional climate model simulations under pre-industrial, current, and

1021	future climate conditions (Patricola and Wehner 2018). The results indicate significant
1022	rainfall contraction and enhancement in the core regions of storms simulated in future
1023	warmer climate conditions and suggest that climate change has likely begun to enhance
1024	rainfall for recent destructive TCs.

### 1026 6. Concluding remarks

1027 This paper compiled recent publications and relevant information on research and 1028 operational use of TC inner core structural changes with an overview of conventional 1029 understanding. These are summarized as follows:

1030 The changes in the inner core structure during each intensity stage are described in 1031 detail. Many studies have focused on non-conventional distinctive structures such as 1032 dual warm cores, mid-tropospheric maxima in wind speed, short-lived deep convective 1033 clouds in the eye region, and finger-like features from both observational and modeling 1034 perspectives. The numerical simulations confirm the dependence of the RMW on the 1035 size and humidity of the outer core region. Non-axisymmetric structures, including 1036 those related to VWS, as well as contributions from factors such as translation speed, 1037 interactions with the land and ocean, and transformations from high-frequency 1038 components have advanced. A comparison with dry TCs suggests the potential impact 1039 of falling hydrometeors on the depth of the inflow layer and the size of the inner core. 1040 Observations and numerical simulations have focused on the transformation of the 1041 SBC into a secondary eyewall. Diabatic cooling from rain in the SBC causes MDI, 1042 which triggers enhanced convection in the upshear region. The axisymmetrization of 1043 the enhanced convection finally yielded a secondary eyewall. Also, many studies have 1044 reconfirmed the importance of boundary layer dynamics in the SEF region. From a 1045 dynamical perspective, the interaction between the inner and outer eyewall vortices 1046 has been applied to explain the enhanced wobbling and decay of the inner eyewall or

1047the persistence of multiple eyewalls. Some studies have investigated the1048environmental conditions required for special multiple eyewall events. As such, both1049dynamical and thermodynamical processes have been proposed in the boundary layer1050and free atmosphere for multiple eyewalls, and the understanding of the SEF and1051ERC has progressed over the last several years. However, further studies are needed1052to clarify whether they are complementary or exclusive, and whether they are case1053dependent.

1054 With the progress in understanding the inner core structure, many operational 1055 agencies have started to utilize information on the inner core structure for disaster 1056 prevention and mitigation. The guestionnaire to operational centers revealed that five 1057 operational agencies had already analyzed the RMW of TCs based on available 1058 observations with a preference for the highest quality data. Some agencies have 1059 begun to use guidance and subjective analyses to analyze and/or predict SEF and 1060 ERC. Inner core lightning activity and dry air intrusion from satellite observations were 1061 investigated for use in operational analysis and forecast. In-situ observations were 1062 used to improve model physics with a focus on turbulent mixing.

Studies on climatological changes in the inner core structure are limited or unreliable
 owing to the high computational cost and diversity of the results. However, several
 publications have reported an increase in the inner core rainfall amount, an
 axisymmetric structure maintained at higher latitudes using regional climate models.

1067

Building upon prior studies, extensive research has been conducted on structural changes in the TC inner core during various phases, SEF and ERC. Consequently, our knowledge of TC inner core structure has significantly advanced in recent years. However, some controversies and fragmented understandings still remain. It is essential to appropriately assess each result in terms of methodological reliability and strive for a more generalized

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1073 perspective. It requires refinement in observational methodologies and numerical 1074 simulations, as the TC inner core is not easily observable. On one side, some operational 1075 agencies have already begun analyzing the RMW and concentric eyewalls using both 1076 existing and new tools. Although standardizing methodologies is challenging, enhanced 1077 international communication among operational centers and researchers is expected to 1078 foster advancements in publishing valuable information for TC-related disaster prevention 1079 based on updated insights. Further research on the impact of climate change on TC inner 1080 core structure is recommended. To make this more feasible, further model improvements 1081 and development of a kilometer-scale climate model (Miura et al. 2023) will be required, 1082 which demands huge computational resources. It is also worthy of note that machine 1083 learning and artificial intelligence techniques have been growing rapidly as a tool which 1084 may yield the new insight on the TC inner core research and operation. 1085

### 1087 Abbreviations

- 1088 AAM Absolute Angular Momentum
- 1089 AMSR2 Advanced Microwave Scanning Radiometer-2
- 1090 ARCHER Automated Rotational Center Hurricane Eye Retrieval
- 1091 ASCAT Advanced SCATterometer
- 1092 BoM Australian Bureau of Meteorology
- 1093 CI Current Intensity
- 1094 CPH Central Paciffic Hurricane Center
- 1095 CIMSS Cooperative Institute for Meteorological Satellite Studies
- 1096 DA Data Assimilation
- 1097 ERC Eyewall Replacement Cycle
- 1098 GPS Global Positioning System
- 1099 HWRF Hurricane Weather Research and Forecasting
- 1100 IR InfraRed
- 1101 JTWC Joint Typhoon Warning Center
- 1102 LES Large Eddy Simulation
- 1103 MDI Mesoscale Descending Inflow
- 1104 MIMIC Morphed Integrated Microwave Imagery at the Cooperative Institute for
- 1105 Meteorological Satellite Studies
- 1106 M-PERC Microwave Probability of Eyewall Replacement Cycle
- 1107 NHC National Hurricane Center
- 1108 NRL Naval Research Laboratory
- 1109 RI Rapid Intensification
- 1110 RIPA Rapid Intensification Prediction Aid
- 1111 RMW Radius of the Maximum Wind
- 1112 RSMC Regional Specialized Meteorological Center

- 1113 SAR Synthetic Aperture Radar
- 1114 SBC Stationary Band Complex
- 1115 SEF Secondary Eyewall Formation
- 1116 SFMR Stepped Frequency Microwave Radiometer
- 1117 SHIPS Statistical Hurricane Intensity Prediction Scheme
- 1118 SMAP Soil Moisture Active/Passive
- 1119 SMOS Soil Moisture and Ocean Salinity mission
- 1120 SST Sea Surface Temperature
- 1121 TC Tropical Cyclone
- 1122 TCWC Tropical Cyclone Warning Centre
- 1123 T-PARCII Tropical Cyclones–Pacific Asian Research Campaign for the Improvement of
- 1124 Intensity estimations/forecasts
- 1125 VRW Vortex Rossby Wave
- 1126 VWS Vertical Wind Shear (i.e., Environmental vertical shear of horizontal wind)

### 1128 Data availability statement

- 1129 This paper is a review article based on the recent publications, answers to questionnaires,
- 1130 and experiences of forecasters. See the paper and/or contact the corresponding author of
- 1131 the paper for the detailed data availability. Regarding the questionnaires and forecasters'
- 1132 experiences, contact Kosuke Ito for more details.
- 1133
- 1134

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1156

1158 Table 1. The responses from operational centers to Q2-1 in Section 4.1.

## 

Explanations		
Manual analysis of imagery from scatterometers, SMAP, SMOS, SAR, radar, and microwave overpasses		
All available observations. By decreasing order of quality: radar data, SAR data, scatterometer data, microwave imagery, IR or Vis imagery. Internal documents describe the best way to use microwave imagery in particular, or when to shift from inner RMW to outer RMW as the primary radius of max winds when an ERC is taking place.		
Standard Dvorak techniques and available scatterometry observations. Otherwise lack of observations.		
Available radar and microwave imagery. The lower 37GHz channel is preferred, otherwise estimate distance inside the 89 GHz circulation. IR and Vis imagery are used if an eye is present. Additionally, analysis of guidance from scatterometry, noting the various sensors and resolution limitations, each sensor is considered on merit. SMAP, SMOS, ASCAT, AMSR2 and HY-2B can all be helpful, particularly when the RMW is larger. Finally in the absence of objective information, climatology can be used.		
The post analysis of RMW is performed at the same time as other post analysis of intensity, track, and wind radii using all available observations, etc.		

1162 Table 2. The responses from operational centers to Q2-2 in Section 4.1.

	Response #	Explanations
	1	Lack of observations and no needs
	2	While we understand the usefulness of RMW in surveys and research, we believe that careful consideration is needed in terms of the characteristic of the basin such as an unorganized tropical cyclone from a monsoon gyre, and temporal homogeneity of data in order to routinely include RMW in the best track.
1164		
1105		





1171

Fig. 2. Moist entropy (contour interval =  $10 \text{ J kg}^{-1} \text{ K}^{-1}$ ; purple lines) and angular momentum M ( $10^6 \text{ m}^2 \text{ s}^{-1}$ ; shading) at different simulation times. Left column for early stage (Phase I), right column for late stage (Phase II). Figures 5a, 5c, 8a and 8c of Peng et al. (2019) with labels modified. © American Meteorological Society. Used with permission.



1178 Fig. 3. (left) Flight paths superimposed onto infrared images during the aircraft observation.

1179 (right) Vertical profiles of the observed perturbation potential temperature within the eye.



Fig. 4. Mesovortices and striations. (a-d) Red arrows indicate the mesovortices, and the
range of striations were indicated by blue arrows. (e) Striations. The colored horizontal lines
are shown to indicate their positions at the same r if they are rotated at 1.75 × 10−3 rad/s.
Figure 5 of Tsukada and Horinouchi (2020).



Fig. 5. A schematic illustration of the flow around a landfalling TC. The subgradient winds with large inflow angles are indicated in blue, while the supergradient winds at the top of the boundary layer are indicated in red. Figure 23 of Hlywiak & Nolan (2022). © American Meteorological Society. Used with permission.



Fig. 6. Schematic plot of typical and dry-type TCs. Figure 16 of Wang and Lin (2020). ©
American Meteorological Society. Used with permission.



Fig. 7. AAM at z = 1 km (shaded), radial velocity averaged from surface to z = 1 km (cyan contours at 3, 5, 10, and 15 m/s) for the simulations: (a) small incipient vortex in the dry environment, (b) small incipient vortex in the moist environment, (c) large incipient vortex in the dry environment, and (d) large incipient vortex in the moist environment. The AAM contour of  $3.0 \times 10^6$  m<sup>2</sup> s<sup>-1</sup> is shown in orange. The inner black line represents RMW, while the outer black line represents the radius of gale-force winds. Figure 6 of Martinez et al. (2020).



Fig. 8. Hurricane Matthew's track (solid line) and reflectivity at z = 4 km at 1930 UTC 6 Oct and 2126 7 Oct, respectively. Figure 1 of Cha et al. (2021). © American Meteorological Society. Used with permission.



Fig. 9. A schematic diagram showing the SBC reflectivity (gray and purple) during the SEF
process. The MDI emerges at the left-of-shear quadrants, where the surface cold pool
underneath interacts with the high-θe envelope (yellow). Convective updrafts are
reinvigorated in this region, which then generates enhanced positive PV anomalies that
propagate cyclonically downwind to form the secondary eyewall. Figure 13 of Yu et al. (2022).
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Fig. 10. Kernel density estimation of SBC's azimuthal extent ( $\Psi$ ) and crossing angle (a smaller value indicates higher circularity) prior to SEF (a-b), and (c) cases with no SEF within 24 h. Figure 9 of Vaughan et al. (2020).





Fig. 11. Normalized potential vorticity representing multiple eyewalls. Figure 3 of Menelaouet al. (2018). C American Meteorological Society. Used with permission.



1229 Fig. 12. The simulated radar reflectivity (dBZ) of Hurricane Wilma at z = 2 km at (a) t = 42,

1230 (b) 59.5, (c) 62, (d) 64, (e) 66, and (f) 69 h, exhibiting the elliptical inner eyewall structure.

1231 Figure 2 of Lai et al. (2019). © American Meteorological Society. Used with permission.

1232



1234 Fig. 13. (top) Intensity and (bottom) intensity change during Atlantic hurricane ERC events.

1235 See Kossin et al. (2023) for details. Fig. 3 of Kossin et al. (2023). © American Meteorological





1237

1238 Fig. 14. Microwave images of Tropical Cyclone Trevor (2019) revealing double eyewall

1239 structure. Images courtesy of NRL.



Fig. 15. Composite of TC-centered 10-m wind fields when the TC center was located in 142°E-147°E and  $35^{\circ}N-40^{\circ}N$  in the (a) current and (b) warming climates. The differences with respect to the current climate is shown in (c). (d)–(f) Same as (a)–(c), but for vertical cross sections of horizontal wind speeds. 'A', 'B', and 'C' in the panels indicate the areas of high winds, moderate wind speeds, and the jet, respectively. Figure 3 of Kanada et al. (2020).

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